



**HARARE
PROTOCOL**
ON PATENTS,
UTILITY MODELS AND
INDUSTRIAL DESIGNS
2025

Adopted at
Harare, Zimbabwe
1982

**Harare Protocol
on Patents,
Utility Models and
Industrial Designs**

2025 Edition

**ARIPO Secretariat
Harare
2025**

Harare Protocol on Patents, Utility Models and Industrial Designs

Harare Protocol on Patents, Utility Models and Industrial Designs (2025 Edition).

Copyright © 2025 by ARIPO. All rights reserved. Except as permitted under the copyright legislation of an ARIPO Member State, as the case may be, no part of this publication may be reproduced or distributed in any form, or by any means, or stored in a database or retrieval system, without the prior written permission of the publisher.

Printed and published by the ARIPO Secretariat. Any correspondence on copyright and other issues contained in this publication should be addressed to:

The Director General
ARIPO
No. 11 Natal Road
Belgravia
P.O. Box 4228
HARARE.
Zimbabwe.

TABLE OF CONTENTS

PREAMBLE	10
PART 1 : GENERAL PROVISIONS	10
Section 1 <i>Interpretation</i>	10
Section 2 <i>Scope</i>	11
Section 3 <i>Administration</i>	12
Section 4 <i>Fees</i>	12
Section 5 <i>Distribution of Fees</i>	12
Section 6 <i>Time Limits</i>	12
PART 2: PATENTS	13
Section 7 <i>ARIPO Patent</i>	13
Section 8 <i>Patentable Inventions</i>	13
Section 9 <i>Exceptions to Patentability</i>	13
Section 10 <i>Novelty</i>	14
Section 11 <i>Non-prejudicial Disclosures</i>	14
Section 12 <i>Inventive Step</i>	14
Section 13 <i>Industrial Applicability</i>	15
Section 14 <i>Entitlement to File an ARIPO Patent Application</i>	15
Section 15 <i>Multiple Applicants</i>	15
Section 16 <i>Right to an ARIPO Patent</i>	15
Section 17 <i>ARIPO Patent Application Filed by Non-entitled Persons</i>	15
Section 18 <i>Right of the Inventor to be Mentioned</i>	16
Section 19 <i>Appointment of Representatives</i>	16
Section 20 <i>Term of the ARIPO Patent</i>	16
Section 21 <i>Maintenance of ARIPO Patent and Patent Application</i>	16
Section 22 <i>Rights Conferred by an ARIPO Patent</i>	16
Section 23 <i>Rights Conferred by an ARIPO Patent Application</i>	16
Section 24 <i>Extent of Protection</i>	17
Section 25 <i>Transfer of Rights</i>	17
Section 26 <i>Applicable Law</i>	17
Section 27 <i>Filing of an ARIPO Patent Application</i>	17
Section 28 <i>ARIPO Divisional Application</i>	17
Section 29 <i>Transmittal of ARIPO Patent Application</i>	18

Harare Protocol on Patents, Utility Models and Industrial Designs

<i>Section 30</i>	<i>Translation of ARIPO Patent Application</i>	18
<i>Section 31</i>	<i>Requirements of an ARIPO Patent Application</i>	18
<i>Section 32</i>	<i>Designation of Contracting States</i>	18
<i>Section 33</i>	<i>Filing Date</i>	19
<i>Section 34</i>	<i>Unity of Invention</i>	19
<i>Section 35</i>	<i>Description</i>	19
<i>Section 36</i>	<i>Claims</i>	19
<i>Section 37</i>	<i>Abstract</i>	19
<i>Section 38</i>	<i>Priority Right</i>	19
<i>Section 39</i>	<i>Claiming Priority</i>	20
<i>Section 40</i>	<i>Effect of Priority Right</i>	20
<i>Section 41</i>	<i>Examination on Filing</i>	20
<i>Section 42</i>	<i>Examination as to Formal Requirements</i>	21
<i>Section 43</i>	<i>Publication of the ARIPO Patent Application</i>	21
<i>Section 44</i>	<i>Observations by Third Parties</i>	21
<i>Section 45</i>	<i>Examination as to Substance</i>	21
<i>Section 46</i>	<i>Decision to Grant a Patent</i>	22
<i>Section 47</i>	<i>Amendments</i>	22
<i>Section 48</i>	<i>Grant or Refusal</i>	23
<i>Section 49</i>	<i>Publication of the ARIPO Patent</i>	23
<i>Section 50</i>	<i>Post-grant Amendment</i>	23
<i>Section 51</i>	<i>ARIPO Patent Register</i>	24
<i>Section 52</i>	<i>Inspection of ARIPO Patent Register</i>	24
<i>Section 53</i>	<i>Conversion of ARIPO Patent Application to a National Patent Application</i>	24
<i>Section 54</i>	<i>Conversion of ARIPO Patent Application to a Utility Model Application</i>	25
<i>Section 55</i>	<i>Restoration of Rights</i>	25
PART 3: INTERNATIONAL APPLICATIONS		26
<i>Section 56</i>	<i>Application of the Patent Cooperation Treaty</i>	26
<i>Section 57</i>	<i>ARIPO Office as a Receiving Office</i>	26
<i>Section 58</i>	<i>ARIPO as Designated Office or Elected Office</i>	26
PART 4: UTILITY MODELS		27
<i>Section 59</i>	<i>ARIPO Utility Model</i>	27
<i>Section 60</i>	<i>Applicability of Provisions Relating to Patents</i>	27

Harare Protocol on Patents, Utility Models and Industrial Designs

<i>Section 61</i>	<i>Invention Qualifies for a Utility Model Protection</i>	<i>27</i>
<i>Section 62</i>	<i>Term of Protection of Utility Model</i>	<i>27</i>
<i>Section 63</i>	<i>Examination as to Substance of Utility Model Application</i>	<i>28</i>
<i>Section 64</i>	<i>Conversion of Utility Model Application to a Patent Application</i>	<i>28</i>
PART 5: INDUSTRIAL DESIGNS		29
<i>Section 65</i>	<i>ARIPO Industrial Design</i>	<i>29</i>
<i>Section 66</i>	<i>Applicability of Provisions Relating to Patent</i>	<i>29</i>
<i>Section 67</i>	<i>Novelty</i>	<i>29</i>
<i>Section 68</i>	<i>Term of the ARIPO Industrial Design</i>	<i>29</i>
<i>Section 69</i>	<i>Requirements of an ARIPO Industrial Design Application</i>	<i>29</i>
<i>Section 70</i>	<i>Publication of the ARIPO Industrial Design Application</i>	<i>30</i>
<i>Section 71</i>	<i>Filing Date of the ARIPO Industrial Design Application</i>	<i>30</i>
<i>Section 72</i>	<i>Examination as to Substance of the ARIPO Industrial Design Application</i>	<i>30</i>
<i>Section 73</i>	<i>Registration or Refusal of the ARIPO Industrial Design Application</i>	<i>30</i>
PART 6: APPEAL AND ITS PROCEDURES		31
<i>Section 74</i>	<i>Board of Appeal</i>	<i>31</i>
<i>Section 75</i>	<i>Persons Entitled to Appeal</i>	<i>31</i>
<i>Section 76</i>	<i>Decisions Subject to Appeal and Effect of an Appeal</i>	<i>31</i>
<i>Section 77</i>	<i>Filing Appeal</i>	<i>32</i>
<i>Section 78</i>	<i>Decision of the Board of Appeal</i>	<i>32</i>
PART 7: FINAL PROVISIONS		33
<i>Section 79</i>	<i>Regulations</i>	<i>33</i>
<i>Section 80</i>	<i>Entry into Force</i>	<i>33</i>
<i>Section 81</i>	<i>Denunciation of the Protocol</i>	<i>33</i>
<i>Section 82</i>	<i>Signature of the Protocol</i>	<i>34</i>
<i>Section 83</i>	<i>Amendment of the Protocol</i>	<i>34</i>
REGULATIONS FOR IMPLEMENTING THE HARARE PROTOCOL		35
SCHEDULE I: FEES		84
SCHEDULE II: FORMS		92

**Harare Protocol on Patents, Utility Models and Industrial Designs
within the Framework of
the African Regional Intellectual Property Organization (1982)**

List of Contracting States
(Status as of January 1, 2025)

State	Date on which State became party to the Protocol
Botswana	May 6, 1985
Cape Verde	October 14, 2022
Eswatini	March 17, 1988
The Gambia	January 16, 1986
Ghana	April 25, 1984
Kenya	October 24, 1984
Lesotho	October 23, 1987
Liberia	March 24, 2010
Malawi	April 25, 1984
Mozambique	May 8, 2000
Namibia	April 23, 2004
Rwanda	September 24, 2011
São Tomé & Príncipe.....	August 19, 2014
Seychelles	January 1, 2022
Sierra Leone	February 25, 1999
Sudan	April 25, 1984
Uganda	April 25, 1984
United Republic of Tanzania	September 1, 1999
Zambia	February 26, 1986
Zimbabwe	April 25, 1984

(Number of Contracting States: 20)



**AFRICAN REGIONAL INTELLECTUAL PROPERTY
ORGANIZATION (ARIPO)**

**HARARE PROTOCOL ON PATENTS, UTILITY MODELS AND INDUSTRIAL
DESIGNS WITHIN THE FRAMEWORK OF THE AFRICAN REGIONAL
INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)**

(adopted on December 10, 1982, at Harare (Zimbabwe), and amended by the Administrative Council of ARIPO on December 11, 1987, April 27, 1994, November 28, 1997, May 26, 1998, November 26, 1999, November 30, 2001, November 21, 2003, November 24, 2006, November 25, 2013, November 17, 2015, December 5, 2016, November 22, 2017, November 23, 2018, November 20, 2019, August 26, 2021, December 8, 2021, November 25, 2022 and December 10, 2024)

and

**REGULATIONS FOR IMPLEMENTING THE HARARE PROTOCOL ON
PATENTS, UTILITY MODELS AND INDUSTRIAL DESIGNS WITHIN THE
FRAMEWORK OF THE AFRICAN REGIONAL INTELLECTUAL
PROPERTY ORGANIZATION (ARIPO)**

(text entered into force on April 25, 1984, and amended by the Administrative Council of ARIPO on April 27, 1994, November 27, 1998, November 24, 2000, November 21, 2003, November 24, 2006, November 30, 2011, November 25, 2013, November 17, 2015, December 5, 2016, November 22, 2017, November 23, 2018, November 20, 2019, August 26, 2021, December 8, 2021, November 25, 2022 and December 10, 2024)

Preamble

The Contracting States of this Protocol,

Having regard to the Agreement on the Creation of the African Regional Intellectual Property Organization (ARIPO) then known as the Industrial Property Organization for English-Speaking Africa, concluded in Lusaka (Zambia) on December 9, 1976, and in particular to its Article III(c), in accordance with which the objectives of the Organization include the establishment of such common services or organs as may be necessary or desirable for the co-ordination, harmonization and development of the intellectual property activities affecting its members,

Having regard to Article 19 of the Paris Convention for the Protection of Industrial Property signed in Paris on March 20, 1883, and revised on July 14, 1967, and a Regional Treaty within the meaning of Article 45(1), of the Patent Cooperation Treaty of June 19, 1970,

Desiring to strengthen co-operation between the States in respect of protection and exploitation of patents, utility models and industrial designs and to provide quality intellectual property services for the social, economic and technological development of Member States,

Desiring that such protection may be obtained in those States by a single procedure for the grant of patents, registration of utility models and of industrial designs and by the establishment of certain standard rules governing patents so granted and utility models and industrial designs so registered,

Considering the advantages to be gained by the pooling of resources in respect of intellectual property administration,

Hereby agreed as follows:

**PART 1
GENERAL PROVISIONS**

*Section 1
Interpretation*

For the purposes of this Protocol:

“Administrative Council” means the Administrative Council established by the Agreement on the creation of the African Regional Intellectual Property Organization (ARIPO);

"Application" means an application for the grant of a patent or for the registration of a utility model or an industrial design, as the case may be, under this Protocol;

"ARIPO Journal" means the Journal published by the Office;

“Budapest Treaty” means the Treaty on the International Recognition of the Deposit of Micro-organisms for the Purposes of Patent Procedure done at Budapest in 1977;

"Contracting State" means a State that has ratified or acceded to this Protocol;

"Designated State" means a State designated in an ARIPO application;

"Director General" means the Director General of ARIPO;

"Elected office" means an industrial property office of a Contracting State, or any other office or organization including the ARIPO Office acting for that industrial property office, which is elected by an applicant under Chapter II of the Patent Cooperation Treaty;

"Regulations" means the Regulations for Implementing the Harare Protocol on Patents, Utility Model and Industrial Designs within the Framework of the African Regional Intellectual Property Organization (ARIPO);

"International application" means a patent application filed under the Patent Cooperation Treaty;

"International depository authority" means a depository institution which has acquired the status of international depository authority as provided for in Article 7 of the Budapest Treaty;

"International preliminary examination" means an examination carried out in accordance with Article 33 of the Patent Cooperation Treaty;

"Office" means the ARIPO Office;

"Official or officially recognized exhibition" means an exhibition recognized by a State or falling within the terms of the Convention on International Exhibitions.

"Paris Convention" means the Paris Convention for the Protection of Industrial Property, 1883;

"Patent Cooperation Treaty" means the Patent Cooperation Treaty done at Washington on June 19, 1970, including the Regulations and Administrative Instructions under the Treaty, as last revised;

"Protocol" means the Harare Protocol on Patents, Utility Models and Industrial Designs within the Framework of the African Regional Intellectual Property Organization (ARIPO) adopted at Harare, Zimbabwe, on December 10, 1982;

"Representative" means a legally recognized and authorized representative of the applicant residing in any Contracting State who has the right to represent applicants before the industrial property office of any Contracting State and has been so authorized through power of attorney to act on behalf of the applicant with respect to the Office.

Section 2

Scope

This Protocol shall apply to the grant of patents and the registration of utility models and industrial designs under the framework of the African Regional Intellectual Property Organization (ARIPO).

***Section 3
Administration***

The African Regional Intellectual Property Organization (ARIPO) is empowered to grant patents, register utility models and industrial designs, and administer such patents, utility models and industrial designs on behalf of Contracting States in accordance with this Protocol through its Secretariat.

***Section 4
Fees***

(1) The Office may levy fees for any official task or procedure carried out under this Protocol.

(2) Where the Regulations provide that a fee shall be paid, and the applicant fails to pay the fees in due time, the application shall lapse.

(3) The amounts of the fees and the manner in which they are to be paid shall be prescribed in the Regulations.

***Section 5
Distribution of Fees***

Fees collected in accordance with this Protocol shall be distributed between the Office and the Contracting States as prescribed in the Regulations.

***Section 6
Time Limits***

(1) Where this Protocol does not specify a time limit for a process, such time limits shall be prescribed in the Regulations.

(2) The Regulations shall, in particular, specify-

- (a) the time limits which are to be observed in proceedings before the Office;
- (b) the manner of computation of time limits; and
- (c) the conditions under which time limits may be extended.

**PART 2
PATENTS**

***Section 7
ARIPO Patent***

(1) Patents granted by virtue of this Protocol shall be called ARIPO patents.

(2) An ARIPO patent shall in each of the Contracting States for which it is granted have the effect of, and be subject to, the same conditions as a national patent granted by that State.

***Section 8
Patentable Inventions***

(1) ARIPO patents shall be granted for any inventions in all fields of technology, provided that they are new, involve an inventive step and are susceptible of industrial application.

(2) The following, in particular, shall not be regarded as inventions within the meaning of paragraph 1 -

- (a) discoveries, scientific theories and mathematical methods;
- (b) aesthetic creations;
- (c) schemes, rules and methods for performing mental acts, playing games or doing business, and programs for computers; and
- (d) presentations of information.

(3) Paragraph 2 shall exclude the patentability of the subject matter or activities referred to therein only to the extent to which an ARIPO patent application or an ARIPO patent relates to such subject matter or activities as such.

***Section 9
Exceptions to Patentability***

(1) ARIPO patents shall not be granted in respect of -

- (a) inventions of which commercial exploitation would be contrary to public order or morality; or
- (b) plant or animal varieties or essentially biological processes for the production of plants; or animals.
- (c) methods for treatment of the human or animal body by surgery or therapy and diagnostic methods practised on the human or animal body.

(2) Paragraph 1(b) shall not apply to microbiological processes or the products thereof.

(3) The methods referred to under paragraph 1(c) shall not apply to products, in particular substances or compositions, for use in any of these methods.

Section 10

Novelty

(1) An invention shall be considered to be new if it is not anticipated by prior art.

(2) Prior art means everything made available to the public anywhere in the world by means of a written disclosure, including drawings and other illustrations, or an oral disclosure, by use or by display at an exhibition, provided that such publication occurred before the date of filing of the ARIPO patent application or, where, priority is claimed, before the priority date.

(3) The contents of ARIPO patent applications filed prior to the date referred to in paragraph 2 and published on or after that date shall be considered as comprised in the prior art.

(4) Paragraphs 2 and 3 shall not exclude the patentability of any substance or composition, comprised in the State of the art, for use in a method referred to in Section 9(1)(c), provided that its use for any such method is not comprised in the State of the art.

(5) Paragraphs 2 and 3 shall also not exclude the patentability of any substance or composition referred to in paragraph 4 for any specific use in a method referred to in Section 9(1)(c), provided that such use is not comprised in the State of the art.

Section 11

Non-prejudicial Disclosures

(1) For the purpose of Section 10, disclosure of the invention shall not be taken into consideration if it occurred not more than six (6) months before the date of filing of the ARIPO patent application and if it was due to or in consequence of -

- (a) an evident abuse in relation to the applicant or his legal predecessor; or
- (b) the applicant or his legal predecessor displayed the invention at an official or officially recognised international exhibition falling within the terms of the Convention on International Exhibitions, 1928.

(2) Paragraph 1(b) shall apply only if the applicant States, when filing the ARIPO patent application, that the invention has been so displayed and files a supporting certificate within the time limit in accordance with the conditions prescribed in the Regulations.

Section 12

Inventive Step

(1) An invention shall be considered as involving an inventive step if, having regard to the State of the art, it is not obvious to a person skilled in the art.

(2) Where the State of the art also includes documents within the meaning of Section 10(3), the documents shall not be considered in deciding whether there has been an inventive step.

Section 13
Industrial Applicability

An invention shall be considered susceptible of industrial applicability if it can be made or utilized in any kind of industry, including agriculture.

Section 14
Entitlement to File an ARIPO Patent Application

An ARIPO patent application may be filed by a person possessing the right to an ARIPO patent in terms of Section 16.

Section 15
Multiple Applicants

An ARIPO patent application may be filed by two or more applicants.

Section 16
Right to an ARIPO Patent

(1) The right to an ARIPO patent shall belong to the inventor or his successor in title.

(2) Where the inventor referred to in paragraph 1 is an employee, the right to an ARIPO patent shall be determined in accordance with the law of the Contracting State.

(3) Where two or more persons have made an invention independently of each other, the right to an ARIPO patent shall belong to the person whose ARIPO patent application has the earliest filing date or priority date, if claimed, provided that the first application has been published.

Section 17
ARIPO Patent Application Filed by Non-entitled Persons

(1) A person, other than the applicant for an ARIPO patent, who has been adjudged to be entitled to the grant of the ARIPO patent by a final decision of a court of a Designated State, may in accordance with the Regulations-

- (a) prosecute the ARIPO patent application as his own application in place of the applicant;
- (b) file a new ARIPO patent application in respect of the same invention;
or
- (c) request that the ARIPO patent application be refused.

(2) Section 28, shall apply mutatis mutandis to a new ARIPO patent application filed under paragraph 1(b).

Section 18
Right of the Inventor to be Mentioned

The inventor shall have the right to be mentioned as such in an ARIPO patent application.

Section 19
Appointment of Representatives

(1) An applicant who does not reside or have principal place of business in a Contracting State shall appoint a representative to act in all proceedings established by this Protocol and its Regulations.

(2) An applicant having their residence or principal place of business in a Contracting State may be represented in proceedings established by this Protocol and its Regulations.

Section 20
Term of the ARIPO Patent

The term of an ARIPO patent shall be 20 years from the date of filing of the application, subject to the payment of maintenance fees.

Section 21
Maintenance of ARIPO Patent and Patent Application

(1) An applicant or holder of an ARIPO patent shall pay the annual maintenance fees as prescribed in the Regulations.

(2) Where the annual maintenance fee is not paid as prescribed in the Regulations, the application or patent shall lapse.

Section 22
Rights Conferred by an ARIPO Patent

(1) An ARIPO patent shall confer on its proprietor from the date on which the mention of its grant is published in the ARIPO Journal in each Contracting State in respect of which it is granted the same rights as would be conferred by a national patent granted in that State.

(2) An alleged infringement of any ARIPO patent shall be enforced in accordance with the national law of the State in which the alleged infringement occurred.

Section 23
Rights Conferred by an ARIPO Patent Application

An ARIPO patent application shall, on the date of its publication, provisionally confer upon the applicant the protection provided for in Section 22 in the Designated States unless the national law of the Designated State provides otherwise.

Section 24
Extent of Protection

- (1) The extent of the protection conferred by an ARIPO patent or an ARIPO patent application shall be determined by the terms of the claims.
- (2) The description and the drawings shall be used to interpret the claims.

Section 25
Transfer of Rights

- (1) The right of an ARIPO patent application or an ARIPO patent may be transferred with respect to one or more of the Designated States.
- (2) The request for recordal of the transfer of rights of an ARIPO patent application or an ARIPO patent shall be made in writing and signed by the parties to the agreement.
- (3) An ARIPO patent application or an ARIPO patent may be licensed in whole or in part for the whole or part of the territories of the Designated States.

Section 26
Applicable Law

A patent granted by the Office shall, in each Designated State, be subject to provisions of the applicable national law.

Section 27
Filing of an ARIPO Patent Application

- (1) An ARIPO patent application may be filed with the Office or, where the law of a Contracting State so permits, the industrial property office of that State.
- (2) An application filed with the industrial property office of a Contracting State under the provisions of paragraph 1 shall have the same effect as if it had been filed on the same date at the Office.
- (3) Paragraphs 1 and 2 shall not preclude the application of applicable laws which, in any Contracting State—
 - (a) govern inventions which, owing to the nature of their subject matter, may not be communicated abroad without the prior authorization of the competent Authority of that State; or
 - (b) prescribe that each application is to be filed initially with the industrial property office of the Contracting State or make direct filing with another authority subject to prior authorization.

Section 28
ARIPO Divisional Application

- (1) An ARIPO divisional application shall be filed directly with the Office in accordance with the Regulations and shall be filed only in respect of the subject matter which does not extend beyond the scope of an earlier application as filed.

(2) The divisional application shall be deemed to have been filed on the date of filing the earlier application and shall enjoy the same priority rights.

(3) All Contracting States designated in the earlier application at the time of filing of an ARIPO divisional application shall be deemed to be Designated in the divisional application unless the applicant communicates otherwise.

Section 29
Transmittal of ARIPO Patent Application

Where the application is filed with the industrial property office of a Contracting State, such office shall transmit that application to the ARIPO Office as prescribed in the Regulations.

Section 30
Translation of ARIPO Patent Application

(1) An ARIPO patent application may be filed in any language.

(2) An ARIPO Application filed in a language other than English shall be translated into English within the period prescribed in the Regulations.

Section 31
Requirements of an ARIPO Patent Application

(1) An ARIPO patent application shall contain:-

- (a) a request for the grant of an ARIPO patent;
- (b) a description of the invention;
- (c) one or more claims;
- (d) a drawing or drawings, where necessary; and
- (e) an abstract;

(2) An ARIPO patent application shall satisfy the requirements prescribed in the Regulations.

(3) An ARIPO patent application shall be subject to the payment of the fees prescribed in the Regulations. Where the fees are not paid in due time, the application shall lapse.

Section 32
Designation of Contracting States

(1) The grant of an ARIPO patent shall be requested for one or more of the Contracting States.

(2) The designation of a Contracting State shall be subject to the payment of a designation fee.

(3) The designation of a Contracting State may be withdrawn at any time subject to payment of a prescribed fee.

(4) The designation of a Contracting State may be added at any time before publication referred to in Section 43(1), subject to payment of the prescribed fee.

Section 33
Filing Date

The filing date of an ARIPO patent application shall be the date on which the filing requirements, as provided under Section 31(1)(a) to (c), are fulfilled.

Section 34
Unity of Invention

An ARIPO patent application shall relate to one invention only or to a group of inventions so linked that they form a single general inventive concept.

Section 35
Description

(1) A description shall disclose the invention in a manner sufficiently clear and complete for it to be carried out by a person skilled in the art.

(2) The disclosure of an invention referred to in paragraph 1 shall be entirely effected by an enabling description with accompanying drawings, if any.

Section 36
Claims

A claim or claims shall, in a clear and concise manner, define the matter for which protection is sought and shall be supported by the description.

Section 37
Abstract

An abstract shall merely serve as a source of technical information and shall not be used for the purposes of interpreting the scope of the protection sought.

Section 38
Priority Right

(1) Any person, or his successor in title, who has duly applied for a patent to any:-

- (a) State party to the Paris Convention for the Protection of Industrial Property; or
- (b) member of the World Trade Organization,

shall enjoy, for the purposes of filing for an ARIPO patent application, in respect of the same invention, a right of priority during a period of 12 months from the date of filing the first application.

(2) Every filing that is equivalent to a regular national filing under the national

law of the Contracting State where it was due, under a bilateral or multilateral agreement including this Protocol, shall be recognized as giving rise to a right of priority.

(3) For the purpose of this section, “regular national filing” means any filing that is sufficient to establish the date on which the application was filed.

Section 39
Claiming Priority

(1) An applicant who intends to take advantage of the priority of the previous application shall file a declaration of priority and any other document required in accordance with the Regulations.

(2) Multiple priorities may be claimed in respect of an ARIPO patent application, notwithstanding that they originated in different countries.

(3) Where appropriate, the multiple priorities may be claimed for one claim.

(4) Where multiple priorities are claimed under paragraph 2, time limits which run from the date of priority shall run from the earliest date of priority.

(5) Where one or more priorities are claimed in respect of an ARIPO patent application, the right of priority shall cover only those elements of the ARIPO patent application which are included in the application or applications whose priority is claimed.

Section 40
Effect of Priority Right

The right of priority shall have the effect that the date of priority shall count as the date of filing of the ARIPO patent application for the purposes of Section 10(2) and (3), and Section 16(2).

Section 41
Examination on Filing

(1) The Office shall examine whether the filing requirements for an ARIPO patent application as provided for in the Regulations has been complied with.

(2) Where the filing requirements have been complied with, the Office shall accord the filing date to the application.

(3) The Office shall notify the applicant and each Designated State that an ARIPO patent application has been filed and complies with the prescribed filing requirements.

(4) Where an ARIPO patent application does not comply with the filing requirements, the Office shall invite the applicant to comply with the requirements within the period prescribed in the Regulations.

(5) Where the applicant does not comply with the filing requirements within the prescribed period, the Office shall refuse the application.

(6) An ARIPO patent application that has been accorded a filing date shall be

equivalent to a regular national filing in each Designated State, where appropriate, with the priority claimed for the ARIPO patent application.

Section 42
Examination as to Formal Requirements

(1) The Office shall examine whether the formal requirements for an ARIPO patent application as prescribed in the Regulations has been complied with.

(2) The Office shall notify the applicant and each Designated State that a patent application has been filed and complies with the prescribed formal requirements.

(3) Where an ARIPO patent application does not comply with the formal requirement, the Office shall invite the applicant to comply with the requirements within the prescribed period.

(4) Where the applicant does not comply with the requirements within the said period, the application shall be deemed withdrawn.

Section 43
Publication of the ARIPO Patent Application

(1) The Office shall publish the ARIPO patent application as soon as possible-

(a) after the expiry of a period of 18 months from the date of filing or, if priority has been claimed, from the date of the earliest priority; or

(b) at the request of the applicant, before the expiry of the 18 months and subject to the payment of the prescribed fee.

(2) The ARIPO patent application shall be published at the same time as the specification of the ARIPO patent when the decision to grant the patent becomes effective before the expiry of the 18 months.

Section 44
Observations by Third Parties

(1) Following the publication of the ARIPO patent application, any person may present observations concerning the patentability of the invention to which the application relates as prescribed in the regulations.

(2) The third party referred to in paragraph 1 shall not be a party to the proceedings.

Section 45
Examination as to Substance

(1) The Office shall, on request and upon the payment of prescribed fees, undertake or arrange for the search and substantive examination of an ARIPO patent application in accordance with the Regulations to determine whether it meets the requirements of this Protocol.

(2) The request referred to in paragraph 1 shall not be deemed to be filed until the prescribed fees have been paid.

(3) Where no request is made within the specified period, the application shall lapse.

(4) Where the examination reveals that the application does not comply with the requirements of this Protocol, the Office shall invite the applicant within the prescribed period to file his or her observations and, subject to Section 47, amend the application.

(5) Where the applicant fails to respond to the invitation in paragraph 4, the application shall be deemed withdrawn.

Section 46
Decision to Grant a Patent

(1) Where the Office is of the opinion that an ARIPO patent application meets the requirements of this Protocol and decides to grant a patent, it shall notify the applicant and each Designated State.

(2) Upon receipt of the notification referred to in paragraph 1, each Designated State may, within the prescribed period, make a written communication to the Office that if a patent is granted by the Office, the patent shall -

- (a) have effect in its territory; or
- (b) have no effect in its territory for the reason that –
 - (i) the invention is not patentable in accordance with this Protocol; or
 - (ii) due to the nature of the invention, a patent cannot be granted or has no effect under the national law of that State.

(3) Where the Designated State communicates in accordance with paragraph 2 (b), the applicant may, within a prescribed period, request the Designated State to reconsider its decision by providing amendments and/or arguments in relation to the communication.

(4) Where an applicant submits amendments and/or arguments referred to in paragraph 3, the Designated State shall respond within the prescribed period. Where the Designated State does not respond within the specified period, the application shall be deemed refused by the Designated State.

Section 47
Amendments

(1) An ARIPO patent application may be amended in proceedings before the Office in accordance with the Regulations.

(2) An applicant for an ARIPO patent may amend the description, the claims or the drawings, subject to payment of the prescribed fee.

(3) An ARIPO patent application may not be amended in such a way that it contains subject matter that extends beyond the content of the initial application as filed.

Section 48
Grant or Refusal

(1) Where the Office is satisfied that an ARIPO patent application fulfil the requirements of this Protocol, it shall grant an ARIPO patent.

(2) Where the Office grant an ARIPO patent, such grant shall have effect in those Designated States which have not made the communication referred to in Section 46(2)(b).

(3) Where an ARIPO patent application, notwithstanding a request for reconsideration, does not fulfil the requirements of this Protocol, the Office shall refuse the application and notify the applicant of that decision.

(4) Where the Office refuses an ARIPO patent application, the applicant may, within the prescribed period of being notified of the refusal-

(a) lodge an appeal against the decision of the Office to the Board of Appeal; or

(b) request that the application be treated, in any Designated State, as an application according to the national law of that State.

(5) Where the Designated State refuses an ARIPO patent application in accordance with Section 46(2)(b) and (4), notwithstanding the applicant's amendments and/or arguments, the applicant may, within the prescribed period of being notified of such refusal, request that the application be treated in the Designated State as an application according to the national law of that State.

(6) The ARIPO patent application shall lapse if the request referred to in paragraphs 4(b) and 5 is not filed within the prescribed period.

(7) Where all the Designated State(s) make the communication referred to in Section 46(2)(a) and subject to the payment of the prescribed fee, the applicant may request for an early grant before the expiry of the period prescribed in the Regulations.

Section 49
Publication of the ARIPO Patent

The Office shall publish the ARIPO patent granted as provided for in the Regulations.

Section 50
Post-grant Amendment

(1) An ARIPO patent may be limited by post-grant amendment at the request of the proprietor.

(2) The request referred to in paragraph 1 shall be filed with the Office in accordance with the Regulations. The request shall not be deemed to have been filed until the request fee has been paid.

(3) Any amendment to the claims shall fall wholly within the scope of the claims before the amendment.

(4) Where the proprietor makes a request for post-grant amendment referred to in paragraph 1, the application shall be re-examined and re-published, subject to the payment of prescribed fees.

Section 51
ARIPO Patent Register

(1) The Office shall maintain an ARIPO Patent Register, which shall contain particulars specified in the Regulations.

(2) No entry shall be made in the ARIPO Patent Register before the publication of the ARIPO patent application.

(3) The ARIPO Patent Register shall be open to public inspection in accordance with Section 52, subject to payment of the prescribed fees.

Section 52
Inspection of ARIPO Patent Register

(1) Information relating to ARIPO patent applications which have not yet been published shall not be made publicly accessible or available for inspection without the consent of the applicant.

(2) Where an ARIPO divisional application or a new ARIPO patent application filed under Section 28(1), is published, any person may obtain inspection of the files of the earlier application before the publication of that application and without the consent of the applicant.

(3) After the publication of the ARIPO patent application, the documents relating to the application and the resulting ARIPO patent may be inspected on request, subject to the restrictions prescribed in the Regulations

(4) Even before the publication of the ARIPO patent application, the Office may communicate to third parties or publish the particulars specified in the Regulations.

(5) Where an application is withdrawn before publication, the file relating to it may be inspected only with the written permission of the applicant or proprietor.

(6) The inspection of files by the Courts or authorities of Contracting States shall be by the provision of copies of the relevant documents or extracts of the relevant entries.

Section 53
Conversion of ARIPO Patent Application to a National Patent Application

(1) Where the Office refuses an application under Section 48(2)(b) or (3), the industrial property office of a Designated State shall, at the request of the applicant or proprietor of an ARIPO patent application, apply the procedure for the grant of a national patent.

(2) Subject to paragraph 1, the request for conversion shall be submitted to the Office in accordance with the Regulations.

(3) The Office shall transmit the request referred to in paragraph 2 to the specified industrial property offices of the Contracting States.

(4) The request referred to in paragraph 2 shall not be deemed to be filed until the conversion fee has been paid.

(5) The effect of the ARIPO patent application referred to in Section 41(5) shall lapse if the request for conversion is not submitted in due time.

Section 54

Conversion of ARIPO Patent Application to a Utility Model Application

(1) At any time before the grant or refusal of an ARIPO patent application, the applicant may, upon request and payment of the prescribed fees, convert the patent application into an application for a utility model, which shall be accorded the filing date of the initial patent application.

(2) An application shall not be reverted back to an ARIPO patent application once it is converted under this Section.

(3) Where an ARIPO patent application has been converted under paragraph 1, the ARIPO patent application shall be deemed to be withdrawn.

(4) Where the conversion of an ARIPO patent application under paragraph 1 is made, any documents filed with respect to the original patent application shall be deemed to have been filed at the same time the request for conversion is made.

Section 55

Restoration of Rights

(1) An applicant for an ARIPO patent application or an ARIPO patent who was unable to observe a time limit leading to the loss of rights, in spite of all due care required by the circumstances having been taken, may have the rights restored upon request.

(2) The Office may grant the request, provided that the requirements prescribed in the Regulations are met. No right shall be deemed restored until the request has been granted in writing.

(3) Where the request is granted, the legal consequences of the failure to observe the time limit shall be deemed not to have ensued.

(4) Restoration of rights shall be ruled out in respect of the time limit for requesting restoration of rights. The Regulations may rule out restoration of other time limits.

(5) A person who, in a Designated State, has in good faith used or made effective and serious preparations for using an invention in a Designated State which is the subject of a published ARIPO patent application or an ARIPO patent in the period between the loss of rights referred to in paragraph 1 and publication in the ARIPO Journal of the mention of restoration of those rights, may without payment continue such use in the course of business or for the needs thereof.

(6) Nothing in this section shall limit the right of a Contracting State to grant restoration of rights in respect of time limits provided for in this Protocol and to be observed vis-à-vis the authorities of such State.

**PART 3
INTERNATIONAL APPLICATIONS**

***Section 56
Application of the Patent Cooperation Treaty***

(1) An international application in which a Contracting State, which is also bound by the Patent Cooperation Treaty, is Designated for the purposes of obtaining a patent under the provisions of that treaty shall be considered to be an application for the grant of a patent under this Protocol.

(2) The Patent Cooperation Treaty shall apply to such international application in addition to this Protocol and the Regulations under this Protocol. Where there is a conflict, the provisions of the Patent Cooperation Treaty shall prevail.

(3) Where an international application is made under paragraph 1, any annual maintenance fee(s) that falls due under Section 21 shall not be paid until the expiration of the applicable time limit under Article 22 or 39(1)(a) of the Patent Cooperation Treaty.

***Section 57
ARIPO Office as a Receiving Office***

The Office may act as the receiving Office under Article 2(xv) of the Patent Cooperation Treaty in relation to an international application filed by an applicant who is a resident or national of a Contracting State, which is also bound by the Patent Cooperation Treaty.

***Section 58
ARIPO as Designated Office or Elected Office***

(1) The Office shall be the Designated Office under Article 2(xiii) of the Patent Cooperation Treaty in relation to an international application referred to in Section 56(1).

(2) The Office shall act as elected Office under Article 2(xiv) of the Patent Cooperation Treaty in relation to an international application referred to in Section 56(1), where a Contracting State is elected for the purposes of international preliminary examination under Article 31(4) of the Patent Cooperation Treaty.

**PART 4
UTILITY MODELS**

***Section 59
ARIPO Utility Model***

(1) A utility Model registered under this Protocol shall be called the ARIPO utility model.

(2) An RIPO utility model shall, in each of the Contracting States for which it is registered, have the effect and be subject to the same conditions as a national utility model registered by that State.

(3) No utility model may be registered if the claimed subject matter has already been the subject of a patent or a utility model registration based on a prior application or application benefiting from an earlier priority.

***Section 60
Applicability of Provisions Relating to Patents***

(1) Sections 9 to 11, 13 to 19, 21 to 43, and 46 to 55 shall apply mutatis mutandis to utility models or utility model applications.

(2) Where the right to a patent conflicts with the right to a utility model in the case referred to in Section 16(2), the said provision shall apply as if the word “patent” were replaced by the words “utility model”.

***Section 61
Invention Qualifies for a Utility Model Protection***

(1) ARIPO utility models shall be registered for any invention in all fields of technology, provided that they are new and susceptible of industrial application.

(2) Section 8(2) and (3) shall apply mutatis mutandis to the subject matters which are not regarded as inventions.

(3) A utility model shall be considered susceptible of industrial applicability if it can be made or utilized in any industry including agriculture.

***Section 62
Term of Protection of Utility Model***

(1) The term of protection of an ARIPO utility model shall be 10 years from the filing date, subject to payment of maintenance fees.

(2) For the Designated States with a shorter or longer term of protection, the registration shall expire at the end of the term of protection provided for under their respective national utility model law.

(3) Where a utility model was registered before the commencement of the amendment of this Section, the registration period shall be as provided before this amendment.

Section 63

Examination as to Substance of Utility Model Application

(1) The Office shall undertake or arrange for the search and substantive examination of the application for a utility model in accordance with the Regulations.

(2) Section 45(4) and (5) shall apply mutatis mutandis to Utility Models.

Section 64

Conversion of Utility Model Application to a Patent Application

(1) At any time before the refusal of an application for, or the registration of a utility model, an applicant for registration of a utility model may, upon request and payment of the prescribed fees, convert his application into a patent application, which shall be accorded the filing date of the initial application.

(2) An application may not be converted under this Section more than once.

**PART 5
INDUSTRIAL DESIGNS**

***Section 65
ARIPO Industrial Design***

(1) An industrial design registered under this Protocol shall be called an ARIPO industrial design.

(2) An ARIPO industrial design shall, in each of the Contracting States for which it is registered, have the effect and be subject to the same conditions as a national industrial design registered by that State.

***Section 66
Applicability of Provisions Relating to Patent***

Sections 14 to 19, 21 to 23, 25 to 27, 29, 30, 32, 38 to 42, 49, 51 and 55 shall apply mutatis mutandis to industrial designs or industrial design applications.

***Section 67
Novelty***

An industrial design shall be considered to be new if it has not been disclosed to the public in accordance with the provisions of the national laws of the Designated State.

***Section 68
Term of the ARIPO Industrial Design***

(1) The term of the ARIPO industrial design registration shall be 15 years from the filing date subject to payment of maintenance fees.

(2) For the Designated States with a shorter or longer term of protection, the registration shall expire at the end of the term of protection provided for under their respective national industrial design law.

(3) Where an industrial design was registered before the commencement of the amendment of this Section, the registration period shall be as provided for before this amendment.

***Section 69
Requirements of an ARIPO Industrial Design Application***

- (1) An ARIPO industrial design application shall contain-
- (a) a request for registration of an industrial design;
 - (b) a reproduction of the industrial design; and
 - (c) a Statement of novelty.

(2) An ARIPO industrial design application shall satisfy the requirements prescribed in the Regulations.

(3) Payment of fees under Section 31(2) shall apply *mutatis mutandis* to ARIPO industrial design applications .

Section 70
Publication of the ARIPO Industrial Design Application

(1) The Office shall publish an ARIPO industrial design application as soon as possible—

- (a) on the filing of the application; and
- (b) on the registration of the Industrial Design.

Section 71
Filing Date of the ARIPO Industrial Design Application

The filing date of an ARIPO industrial design application shall be the date on which the filing requirements, as provided under Section 69(1)(a) and (b) are fulfilled.

Section 72
Examination as to Substance of the ARIPO Industrial Design Application

(1) Where the application complies with the formal requirements, the Office shall transmit it to each Designated State for substantive examination in accordance with the national law.

(2) Upon receiving the communications referred to in paragraph 1, each Designated State may, within the period prescribed in the regulations, make a written communication of the outcome of substantive examination to the Office that, if the industrial design is registered by the Office, the registration shall—

- (a) have effect in its territory; or
- (b) have no effect in its territory for the reasons prescribed in the national law.

(3) Section 46(3) and (4) shall apply *mutatis mutandis* to an ARIPO Industrial Design Application.

Section 73
Registration or Refusal of the ARIPO Industrial Design Application

(1) Where the Office is satisfied that the requirements of this Protocol have been fulfilled, it shall register an ARIPO industrial design.

(2) The Office shall register the industrial design, such registration shall have effect in the Designated States which have not made any communication referred to in Section 72(2)(b).

(3) Section 48(3) and (4) shall apply *mutatis mutandis* to an ARIPO Industrial Design Application.

(4) Where all the Designated States make the communication referred to in Section 72(2)(a) and subject to the payment of the prescribed fee, the applicant may request early registration before the expiry of the period referred to in the Regulations.

**PART 6
APPEAL AND ITS PROCEDURES**

***Section 74
Board of Appeal***

(1) A Board of Appeal (hereinafter referred to as “the Board”) shall be constituted by the Administrative Council.

(2) The Board shall consist of five members who are experienced in intellectual property matters, two of whom shall be patent examiners.

(3) Three members of the Board shall form quorum.

(4) At all sittings of the Board, at least one patent examiner shall be present.

(5) The members of the Board shall be appointed by the Administrative Council of the Organization—

(a) for a period of 2 years, renewable once for another term of 2 years;

(b) from the Member States of the Organization; and

(c) on such other terms and conditions as the Council may determine.

(6) The functions of the Board are to—

(a) consider and decide on any appeal lodged by the applicant against any decision of the Office under this Protocol;

(b) review any final decision of the Office in relation to the implementation of the provisions of this Protocol;

(c) decide on any other matter related to or incidental to the exercise of the Board’s powers.

(7) The Administrative Council shall make and adopt the rules of procedure of the Board.

***Section 75
Persons Entitled to Appeal***

A party adversely affected by any final decision of the Office made under this Protocol may appeal against such decision.

***Section 76
Decisions Subject to Appeal and Effect of an Appeal***

(1) An appeal shall lie from any final decision of the Office.

(2) An appeal shall operate as a stay of all subsequent actions on the application until the Board determines the appeal.

Section 77
Filing Appeal

(1) Notice of appeal shall be filed within the period prescribed in the Regulations.

(2) The notice of appeal shall not be deemed to have been filed until the fees for appeal have been paid.

Section 78
Decision of the Board of Appeal

The Board of Appeal shall hear the appeal in accordance with the Rules of Procedure of the Board of Appeal and its decision shall be final.

**PART 7
FINAL PROVISIONS**

***Section 79
Regulations***

- (1) The Administrative Council of ARIPO shall make Regulations for the implementation of this Protocol and may amend them, where necessary.
- (2) The Regulations shall in particular relate to:–
 - (a) any administrative requirements, matters of procedure, or any details necessary for the implementation of this Protocol and any relevant international treaties; and
 - (b) the fees to be charged by the Office and the details of the distribution of part of those fees among the Contracting States.

***Section 80
Entry into Force***

- (1) A State which is a member of the Organization or any State to which membership of the Organization is open in accordance with Article IV(1) of the Agreement on the Creation of the African Regional Intellectual Property Organization may become party to this Protocol by–
 - (a) signature followed by the deposit of an instrument of ratification; or
 - (b) deposit of an instrument of accession.
- (2) Instruments of ratification or accession under this Protocol shall be deposited with the Director General.
- (3) This Protocol shall enter into force 3 months after 3 States have deposited their instruments of ratification or accession.
- (4) Any State which is not party to this Protocol upon its entry into force referred to in paragraph 3 shall become bound by this Protocol 3 months after the date on which such State deposits its instrument of ratification or accession.
- (5) Ratification or accession to this Protocol by a State which is not a party to the Agreement on the Creation of an African Regional Intellectual Property Organization shall have the effect that the said State shall become party to the said Agreement on the date on which it deposits its instrument of ratification or accession to this Protocol.

***Section 81
Denunciation of the Protocol***

- (1) A Contracting State may denounce this Protocol by notification addressed to the Director General.
- (2) The denunciation shall take effect 6 months after receipt of the said notification by the Director General.
- (3) The denunciation shall not affect any patent application or application for the registration of an industrial design or of a utility model filed with the Office prior

Harare Protocol on Patents, Utility Models and Industrial Designs

to the expiration of the said 6-month period or any patent granted or registration of an industrial design or utility model effected upon such application.

Section 82 ***Signature of the Protocol***

(1) This Protocol shall be signed in a single copy and shall be deposited with the Director General.

(2) The Director General shall transmit certified copies of this Protocol to the Contracting States, other States members of the African Regional Intellectual Property Organization and the States to which membership of the Organization is open in accordance with Article IV (1) of the Agreement on the Creation of the African Regional Intellectual Property Organization, the World Intellectual Property Organization and the United Nations Economic Commission for Africa.

Section 83 ***Amendment of the Protocol***

(1) This Protocol may be amended at the instance of any Contracting State, or the Administrative Council or by the Director General during the sessions of the Council of Ministers of ARIPO.

(2) The adoption of amendments of any provision of this Protocol shall require two-thirds of the votes of all Contracting States.

(3) The Council of Ministers shall determine the date of entry into force of the amendments.



**AFRICAN REGIONAL INTELLECTUAL PROPERTY
ORGANIZATION (ARIPO)**

**REGULATIONS FOR IMPLEMENTING
THE HARARE PROTOCOL ON
PATENTS, UTILITY MODELS AND INDUSTRIAL DESIGNS
WITHIN THE FRAMEWORK OF
THE AFRICAN REGIONAL INTELLECTUAL PROPERTY
ORGANIZATION (ARIPO)**

(Text entered into force on April 25, 1984, and amended by the Administrative Council of ARIPO on April 27, 1994, November 27, 1998, November 24, 2000, November 21, 2003, November 24, 2006, November 30, 2011, November 25, 2013, November 17, 2015, November 22, 2017, November 23, 2018, November 20, 2019, August 26, 2021, December 8, 2021, November 25, 2022 and December 10, 2024)

TABLE OF CONTENTS

CHAPTER 1: GENERAL PROVISIONS	41
<i>Rule 1 Interpretation</i>	<i>41</i>
<i>Rule 2 Language of Proceedings</i>	<i>41</i>
<i>Rule 3 Requirements for Filing Documents</i>	<i>41</i>
<i>Rule 4 Filing of Translations and Certification of Translations</i>	<i>41</i>
<i>Rule 5 Legal Authenticity of the Translation of the ARIPO Patent Application</i>	<i>42</i>
CHAPTER 2: PROCEDURE WHERE THE APPLICANT IS NOT ENTITLED	42
<i>Rule 6 Stay of Proceedings</i>	<i>42</i>
<i>Rule 7 Filing of a New ARIPO Patent Application by the Entitled Person</i>	<i>42</i>
CHAPTER 3: NAMING OF THE INVENTOR	43
<i>Rule 8 Naming of the Inventor</i>	<i>43</i>
<i>Rule 9 Publication of the Name of the Inventor</i>	<i>43</i>
<i>Rule 10 Rectification of the Name(s) of the Inventor</i>	<i>43</i>
<i>Rule 11 Subsequent Naming of the Inventor</i>	<i>43</i>
CHAPTER 4: REGISTRATION OF ASSIGNMENTS, LICENCES AND OTHER RIGHTS	44
<i>Rule 12 Registration of Assignments, Licences and Other Rights</i>	<i>44</i>
CHAPTER 5: BIOTECHNOLOGICAL INVENTIONS	44
<i>Rule 13 General and Definitions</i>	<i>44</i>
<i>Rule 14 Patentable Biotechnological Inventions</i>	<i>45</i>
<i>Rule 15 Exceptions to Patentability of Biotechnological Inventions</i>	<i>45</i>
<i>Rule 16 Requirements of ARIPO Patent Applications Relating to Nucleotide and Amino Acid Sequences</i>	<i>46</i>
<i>Rule 17 Deposit of Biological Material</i>	<i>46</i>
<i>Rule 18 Availability of Biological Material to Expert</i>	<i>47</i>
<i>Rule 19 Availability of Biological Material</i>	<i>47</i>
<i>Rule 20 New Deposit of a Biological Material</i>	<i>20</i>
CHAPTER 6: FILING OF THE ARIPO PATENT APPLICATION	49
<i>Rule 21 Filing of an ARIPO Patent Application</i>	<i>49</i>
<i>Rule 22 Filing ARIPO Divisional Application</i>	<i>49</i>
<i>Rule 23 Electronic Filing of Patent Applications</i>	<i>49</i>
<i>Rule 24 Transmittal of ARIPO Patent Application</i>	<i>50</i>
<i>Rule 25 Application Fee; Designation Fees</i>	<i>50</i>

Harare Protocol on Patents, Utility Models and Industrial Designs

<i>Rule 26</i>	<i>Filing Date</i>	50
<i>Rule 27</i>	<i>Patent Classification</i>	51
CHAPTER 7: PROVISIONS GOVERNING THE APPLICATION		51
<i>Rule 28</i>	<i>Request for Grant</i>	51
<i>Rule 29</i>	<i>Content of the Description</i>	52
<i>Rule 30</i>	<i>Form and Content of Claims</i>	52
<i>Rule 31</i>	<i>Form and Content of Abstract; Missing Abstract</i>	54
<i>Rule 32</i>	<i>Presentation of the Application Documents</i>	54
<i>Rule 33</i>	<i>Measures, Terminology and Signs</i>	56
<i>Rule 34</i>	<i>Documents Filed Subsequently</i>	57
<i>Rule 35</i>	<i>Missing Parts of the Description or Missing Drawings</i>	57
CHAPTER 8: MAINTENANCE FEES		57
<i>Rule 36</i>	<i>Payment of Maintenance Fees</i>	57
CHAPTER 9: PRIORITY		58
<i>Rule 37</i>	<i>Declaration of Priority</i>	58
<i>Rule 38</i>	<i>Priority Documents</i>	59
<i>Rule 39</i>	<i>Issuing Priority Documents by the Office</i>	59
<i>Rule 40</i>	<i>Certificate of Exhibition</i>	59
CHAPTER 10: EXAMINATION FOR FILING AND FORMAL REQUIREMENTS		60
<i>Rule 41</i>	<i>Examination on Filing</i>	60
<i>Rule 42</i>	<i>Erroneously Filed Application Documents or Parts</i>	60
<i>Rule 43</i>	<i>Examination as to Formal Requirements</i>	60
CHAPTER 11: REQUEST FOR SUBSTANTIVE EXAMINATION; PUBLICATION		61
<i>Rule 44</i>	<i>Request for Substantive Examination</i>	61
<i>Rule 45</i>	<i>Request for Accelerated or Delayed Examination</i>	61
<i>Rule 46</i>	<i>Surcharges for Additional Pages and Claims</i>	62
<i>Rule 47</i>	<i>Content of the Publication of the ARIPO Patent Application</i>	62
CHAPTER 12: SEARCH AND SUBSTANTIVE EXAMINATION		63
<i>Rule 48</i>	<i>Content of the ARIPO Search Report</i>	63
<i>Rule 49</i>	<i>Unity of Invention</i>	63
<i>Rule 50</i>	<i>Examination Procedure</i>	63

Harare Protocol on Patents, Utility Models and Industrial Designs

<i>Rule 51</i>	<i>Observations by Third Parties</i>	64
<i>Rule 52</i>	<i>Information on Prior Art</i>	65
<i>Rule 53</i>	<i>Decision to Grant a Patent</i>	65
CHAPTER 13: AMENDMENTS AND CORRECTIONS		66
<i>Rule 54</i>	<i>Amendment of Name, Address, or Correction of Errors</i>	66
<i>Rule 55</i>	<i>Amendment of the ARIPO Patent Application</i>	66
<i>Rule 56</i>	<i>Different Claims, Descriptions and Drawings for Different States</i>	67
CHAPTER 14: GRANT AND PUBLICATION OF PATENT		67
<i>Rule 57</i>	<i>Grant of ARIPO Patent</i>	67
<i>Rule 58</i>	<i>Publication of ARIPO Patent</i>	67
<i>Rule 59</i>	<i>Certificate of an ARIPO patent</i>	68
CHAPTER 15: POST-GRANT AMENDMENT		69
<i>Rule 60</i>	<i>Request for Post-Grant Amendment</i>	69
<i>Rule 61</i>	<i>Decision on the Request for Post-Grant Amendment</i>	69
CHAPTER 16: NOTIFICATIONS		69
<i>Rule 62</i>	<i>General Provisions on Notifications</i>	69
<i>Rule 63</i>	<i>Transmittal of Notification by the Office</i>	70
CHAPTER 17: TIME LIMITS; RESTORATION OF RIGHTS; FURTHER PROCESSING		70
<i>Rule 64</i>	<i>Calculation of Time limits</i>	70
<i>Rule 65</i>	<i>Extension of Time Limits</i>	71
<i>Rule 66</i>	<i>Restoration of Rights; Further Processing</i>	71
CHAPTER 18: INFORMATION TO THE PUBLIC		72
<i>Rule 67</i>	<i>Entries in the ARIPO Patent Register</i>	72
<i>Rule 68</i>	<i>Parts of the File Excluded from Inspection</i>	73
<i>Rule 69</i>	<i>Inspection of Files</i>	73
<i>Rule 70</i>	<i>Communication of Information Contained in the Files</i>	73
<i>Rule 71</i>	<i>Constitution, Maintenance and Preservation of Files</i>	73
CHAPTER 19: REPRESENTATION; REQUEST FOR CONVERSION		74
<i>Rule 72</i>	<i>Appointment of Representative</i>	74
<i>Rule 73</i>	<i>Authorizations</i>	74
<i>Rule 74</i>	<i>Filing and Transmission of the Request for Conversion</i>	75

Harare Protocol on Patents, Utility Models and Industrial Designs

CHAPTER 20: INTERNATIONAL APPLICATIONS UNDER THE PATENT COOPERATION TREATY (PCT)	75
<i>Rule 75</i> <i>ARIPO as a Receiving Office</i>	<i>75</i>
<i>Rule 76</i> <i>ARIPO as a Designated or Elected Office; Requirements for Entry into the ARIPO Regional Phase</i>	<i>75</i>
CHAPTER 21: REGULATION FOR UTILITY MODEL	76
<i>Rule 77</i> <i>Applicability of Regulations Relating to Patent</i>	<i>76</i>
<i>Rule 78</i> <i>Examination as to Substance</i>	<i>76</i>
CHAPTER 22: REGULATION FOR INDUSTRIAL DESIGN	77
<i>Rule 79</i> <i>Applicability of Regulations Relating to Patents</i>	<i>77</i>
<i>Rule 80</i> <i>Filing Date</i>	<i>77</i>
<i>Rule 81</i> <i>Industrial Design Classification</i>	<i>77</i>
<i>Rule 82</i> <i>Statement of Novelty</i>	<i>77</i>
<i>Rule 83</i> <i>Payment of Maintenance Fees</i>	<i>77</i>
<i>Rule 84</i> <i>Examination on Filing</i>	<i>78</i>
<i>Rule 85</i> <i>Examination as to Formal Requirements</i>	<i>78</i>
<i>Rule 86</i> <i>Examination as to Substance</i>	<i>78</i>
<i>Rule 87</i> <i>Publication of ARIPO Industrial Design Application</i>	<i>78</i>
<i>Rule 88</i> <i>Decision to Register Industrial Design</i>	<i>79</i>
<i>Rule 89</i> <i>Registration of ARIPO Industrial Design</i>	<i>79</i>
<i>Rule 90</i> <i>Publication of ARIPO Industrial Design</i>	<i>79</i>
<i>Rule 91</i> <i>Certificate for an ARIPO Industrial Design</i>	<i>80</i>
CHAPTER 23: REGULATIONS RELATING TO PAYMENT OF FEES	80
<i>Rule 92</i> <i>Fees Provided for in the Protocol and the Regulations</i>	<i>80</i>
<i>Rule 93</i> <i>The Due Date for Fees</i>	<i>80</i>
<i>Rule 94</i> <i>Payment of Fees</i>	<i>80</i>
<i>Rule 95</i> <i>Particulars Concerning Payments</i>	<i>81</i>
<i>Rule 96</i> <i>The Date of Payment</i>	<i>81</i>
<i>Rule 97</i> <i>Insufficiency of the Amount Paid</i>	<i>81</i>
CHAPTER 24: APPEAL AND APPEAL PROCEDURE	82
<i>Rule 98</i> <i>Notice of Appeal and Statement of Grounds</i>	<i>82</i>
<i>Rule 99</i> <i>Grounds of Appeal</i>	<i>82</i>

Harare Protocol on Patents, Utility Models and Industrial Designs

<i>Rule 100</i>	<i>Application for Extension of Time to Appeal</i>	82
<i>Rule 101</i>	<i>Evidence</i>	82
<i>Rule 102</i>	<i>Withdrawal of Appeal</i>	83
<i>Rule 103</i>	<i>Frivolous or Vexatious Appeals</i>	83
<i>Rule 104</i>	<i>Representation Before the Board</i>	83
CHAPTER 25: FINAL PROVISIONS		83
<i>Rule 106</i>	<i>Amendment to the Regulation</i>	83
SCHEDULE I: FEES		84
SCHEDULE II: FORMS		92

**CHAPTER 1
GENERAL PROVISIONS**

***Rule 1
Interpretation***

- (a) "Administrative Instructions" means the Administrative Instructions established by the Director General of the ARIPO Office in accordance with Rule 105.
- (b) "Depository institution" means an institution which at all relevant times
- (i) carries out the functions of receiving, accepting and storing micro-organisms and the furnishing of samples thereof; and
 - (ii) conducts its affairs in so far as they relate to the carrying out of those functions in an objective and impartial manner;
- (c) "Examination Guidelines" means the Guidelines for the Examination of ARIPO applications established by the Director General of the ARIPO Office in accordance with Rule 105.

***Rule 2
Language of Proceedings***

The official language of proceedings before the Office shall be English.

***Rule 3
Requirements for Filing Documents***

- (1) In official actions before the Office, documents may be filed by electronic communication, hand delivery, or by postal services.
- (2) Where the Protocol provides that a document shall be signed, the signature may be electronic, handwritten or by other appropriate means permitted by the Office.

***Rule 4
Filing of Translations and Certification of Translations***

- (1) Where the ARIPO applications and any other document forming part of the application is filed in a language other than English, the applicant shall file a translation into English within 2 months from the date of submission.
- (2) The translation referred to in paragraph 1 shall be certified as a true record of the original.
- (3) Where a translation referred to in paragraph 2 is not filed within the prescribed period under paragraph 1, the application shall be deemed withdrawn.
- (4) Where a document, other than the documents making up the ARIPO patent application, is not filed in English, or where a required translation is not filed within 2 months, the document shall be deemed not to have been filed.
- (5) Paragraphs 3 and 4 shall not apply to a priority document and the certificate of exhibition.

Rule 5

Legal Authenticity of the Translation of the ARIPO Patent Application

The Office shall assume, for the purpose of determining whether the subject matter of the ARIPO patent application or ARIPO patent extends beyond the content of the application as filed, that the translation filed in Rule 4 is in conformity with the original text of the application unless evidence is provided to the contrary.

CHAPTER 2

PROCEDURE WHERE THE APPLICANT IS NOT ENTITLED

Rule 6

Stay of Proceedings

(1) The grant proceeding shall stay where a third party provides evidence that proceedings have been instituted against the applicant seeking a decision within the meaning of Section 17(1) unless the third party communicates to the Office in writing their consent to the continuation of such proceedings.

(2) Notwithstanding paragraph 1, the proceedings for the grant shall not be stayed before the publication of the ARIPO patent application.

(3) Where evidence is provided indicating that a final decision within the meaning of Section 17(1) has been taken, the Office shall inform the applicant and any other party that the proceedings for grant shall be resumed from the date stated in the communication.

(4) Where the decision made under paragraph 3 is in favour of the third party, the proceedings may resume upon expiration of 3 months after the decision has become final unless the third party requests the resumption.

(5) The stay of proceedings shall cause an interruption to any time limits that are running at the date of the stay of proceedings, except for the payment of maintenance fees.

(6) The time limit referred to in paragraph 5 that has not yet expired, shall begin from the date the proceedings are resumed. The time limit to run after such resumption shall not be less than 1 month.

Rule 7

Filing of a New ARIPO Patent Application by the Entitled Person

(1) Where the person adjudged by a final decision to be entitled to the grant of the ARIPO patent files a new ARIPO patent application under Section 17(1)(b), the original application shall be deemed to be withdrawn on the date of filing the new application for the Contracting States designated therein in which the decision has been taken or recognised.

(2) The application fee and designated fee shall be paid within 14 days of filing the new application. Where the fees are not paid in due time, the application shall be deemed withdrawn.

**CHAPTER 3
NAMING OF THE INVENTOR**

***Rule 8
Naming of the Inventor***

(1) The request for grant of an ARIPO patent shall state the name, country and place of residence of the inventor.

(2) Where the applicant is not the inventor or is not the sole inventor, the applicant shall be required to submit a statement indicating the right to the ARIPO patent application, bearing the signature of the inventor.

(3) The Office shall not verify the accuracy of the naming of the inventor.

(4) Where the naming of the inventor has not been made, the Office shall invite the applicant to name the inventor within 16 months from the date of filing of the application or, if priority is claimed, of the date of priority. Where the applicant fails to name the inventor within the specified period, the application shall be refused.

(5) Where, in a divisional application or a new application under Section 17(1)(b), the designation of the inventor has not been made, the Office shall invite the applicant to make the designation within a period 3 months from the date of receipt of the application.

***Rule 9
Publication of the Name of the Inventor***

(1) The name of the inventor shall be mentioned in the published ARIPO patent application unless the inventor waives the right to be mentioned and informs the Office in writing.

(2) Where a third party provides evidence to the Office of a final decision determining that the applicant or proprietor of an ARIPO patent is required to name the third party as an inventor, paragraph 1 shall apply.

***Rule 10
Rectification of the Name(s) of the Inventor***

Incorrect naming of an inventor shall be rectified upon request and only with the consent of the wrongly named person, and where a third party files such a request, the consent of the applicant for or proprietor of the patent Rule 8 shall apply mutatis mutandis.

***Rule 11
Subsequent Naming of the Inventor***

(1) Where the naming of the inventor has not been made in accordance with Rule 9, the Office shall refuse the ARIPO patent application unless the naming is made within 16 months of the date of filing of the application or, if priority is claimed, of the date of priority.

(2) The period referred to in paragraph 1 shall be deemed to have been observed if the information is communicated before the publication of the ARIPO patent application.

**CHAPTER 4
REGISTRATION OF ASSIGNMENTS, LICENCES
AND OTHER RIGHTS**

***Rule 12
Registration of Assignments, Licences and Other Rights***

(1) The Office shall register an assignment, a licence or other similar rights pertaining to an ARIPO patent or ARIPO patent application at the request of an interested party and upon production of signed documents providing evidence that the transfer has taken place.

(2) The industrial property offices of the Designated States of which the national laws provide for the registration of licences, assignments and other similar rights pertaining to patents shall, upon registering such rights, promptly provide the Office with particulars of such registration for the Office to record.

(3) The request for registration of assignment, licences and other similar rights shall be deemed not to have been fulfilled until the prescribed fee has been paid.

(4) Subject to paragraph 3, the Office may reject the request in the event of failure to comply with the requirements under paragraph 1.

(5) The registration referred to in paragraph 1 shall be cancelled upon request by an interested party on payment of the prescribed fee.

(6) The request shall be supported by signed documents establishing that the licence or other similar right has lapsed or by a declaration by which the licensee or proprietor of other similar right consents to the cancellation of the registration.

(7) The request for cancellation may be rejected only if these conditions are not fulfilled.

**CHAPTER 5
BIOTECHNOLOGICAL INVENTIONS**

***Rule 13
General and Definitions***

(1) For purposes of ARIPO patent applications, patents concerning biotechnological inventions and these Regulations-

- (a) “biological material” means any material containing genetic information and capable of reproducing itself or being reproduced in a biological system;
- (b) “microbiological process” means any process involving or performed upon or resulting in microbiological material;
- (c) “biotechnological inventions” are inventions which concern a product consisting of or containing biological material or a process by means of which biological material is produced, processed or used;
- (d) “genetic engineering” means the technology which manipulates gene recombination, cell fusion, etc. Inventions relating to genetic engineer-

ing include those of a gene or a DNA fragment, a vector, a recombinant vector, a transformant, a polypeptide or a protein, a fused cell, a monoclonal antibody;

- (e) “plant variety” means any plant grouping within a single botanical taxon of the lowest known rank, which grouping, irrespective of whether the conditions for the grant of a plant variety right are fully met, can be-
 - (i) defined by the expression of the characteristics that result from a given genotype or combination of genotypes-
 - (ii) distinguished from any other plant grouping by the expression of at least one of the said characteristics; and
 - (iii) considered as a unit with regard to its suitability for being propagated unchanged.
- (f) “process for the production of plants or animals” means essentially biological if it consists entirely of natural phenomena such as crossing or selection.

Rule 14

Patentable Biotechnological Inventions

- (1) Biotechnological inventions shall be patentable where they concern:-
 - (a) ‘biological material’ which is isolated from its natural environment or produced by means of a technical process even if it previously occurred in nature;
 - (b) ‘plants or animals’ provided that the technical feasibility of the invention is not confined to a particular plant or animal variety;
 - (c) ‘a microbiological or other technical process,’ or a product obtained by means of such a process other than a plant or animal variety.

Rule 15

Exceptions to Patentability of Biotechnological Inventions

- (1) Subject to Section 9(1)(a), an ARIPO patent shall not be granted in respect of biotechnological inventions which, in particular, concern the following-
 - (a) processes for cloning human beings;
 - (b) processes for modifying the germ line genetic identity of human beings;
 - (c) uses of human embryos for industrial or commercial purposes;
 - (d) processes for modifying the genetic identity of animals which are likely to cause them suffering without any substantial medical benefit to man or animal, and also animals resulting from such processes;
 - (e) an embryonic stem cell of an animal, an animal at the various stages of its formation and development such as a germ cell, a zoosperm, an embryo, etc., belong to the category of animal variety;
 - (f) a single plant and its reproductive material including seed which maintains its life by synthesizing carbohydrates and protein from the

inorganic substances such as water, carbon dioxide and mineral salts and so on through photosynthesis belong to the category of plant variety;

- (g) inventions that do not possess practical applicability, that is, cannot easily be reproduced; and
- (h) the human body, at the various stages of its formation and development, and the simple discovery of one of its elements, including the sequence or partial sequence of a gene, cannot constitute patentable inventions.

(2) Subject to Section 9(1)(b), an ARIPO patent shall not be granted in respect of plants or animals exclusively obtained by means of an essentially biological process.

Rule 16
Requirements of ARIPO Patent Applications Relating to
Nucleotide and Amino Acid Sequences

(1) Where an ARIPO patent application discloses nucleotide or amino acid sequences, the description shall contain a sequence listing conforming to the WIPO Standard ST.26.

(2) A sequence listing filed after the filing date shall not form part of the description.

(3) Where the applicant has not filed a sequence listing complying with the requirements under paragraph 1, the Office shall invite the applicant to furnish such a sequence listing and pay late furnishing fee within two months from the date such invitation, failure to which the application shall be deemed withdrawn.

Rule 17
Deposit of Biological Material

(1) Where the invention concerns biological material which is not available to the public at the date of filing the application and cannot be described in the application in such a manner as to enable the invention to be performed by a person skilled in the art, the application shall be regarded as disclosing the invention only if-

- (a) not later than the date of filing of the application, a sample biological material has been deposited with an international depositary institution on the same terms as those prescribed in the Budapest Treaty on the International Recognition of the Deposit of Microorganisms for the Purposes of Patent Procedure;
- (b) the application as filed gives such relevant information as is available to the applicant on the characteristics of the biological material;
- (c) the name of the depositary institution, the date of which the biological material was deposited, and the accession number of the deposited biological material are stated in the application; and
- (d) the biological material has been deposited by a person other than the applicant, the name and address of the depositor are stated in the application, and a document is submitted to the Office providing evidence that the depositor has authorised the applicant to refer to and

make available to the public in accordance with Rule 19, the deposited biological material in the application.

(2) Where the information specified under paragraph 1(c) and (d) above is not contained in the application as filed, it shall be submitted to the Office-

- (a) within 16 months after the date of filing of the application or, if priority is claimed, after the priority date;
- (b) before the date of submission of a request under Section 43(1)(b); or
- (c) within 1 month after the Office has communicated to the applicant that the Office has received a request by a person for information and inspection of the application under Section 52 (2).

(3) The submission of information specified in paragraph 1(b) shall constitute the unreserved and irrevocable consent of the applicant to the deposited biological material being made available to the public in accordance with Rule 18.

Rule 18
Availability of Biological Material to Expert

(1) Before the date of publication of the ARIPO patent application, the applicant may inform the Office that until the publication of the grant of the patent or where applicable, until the date on which the application has been refused, withdrawn or deemed to be withdrawn, the availability of the biological material referred to in Rule 19 shall be effected only by the issue of a sample to an expert nominated by the requester.

(2) The following may be nominated as an expert under paragraph 1-

- (a) a natural person provided that the requester furnishes evidence at the time of filing the request that the nomination has the approval of the applicant;
- (b) a natural person recognised as an expert by the Director General of the ARIPO Office.

(3) A nomination made under paragraph 1, shall be accompanied by an undertaking from the expert vis-à-vis the applicant similar to that specified in Rule 19(1).

(4) For purposes of this section, the requester shall be regarded as a third party.

Rule 19
Availability of Biological Material

(1) The deposited biological material under Rule 17 shall be available upon request to any person from the date of publication of an ARIPO patent application and to any person having the right to inspect the files under Section 52(2) prior to that date.

(2) The availability of biological material to an expert under Rule 18, shall be effected by the issue of a sample of the biological material to the requester only where the requester has undertaken vis-à-vis the applicant or the proprietor of the patent-

- (a) not to make the deposited biological material or any biological material derived therefrom available to any third party before the application has been refused, withdrawn or deemed to be withdrawn or, where a patent is granted, before the expiry of the patent;
- (b) to use the deposited biological material or any biological material derived therefrom for experimental purposes only until such time as the patent application is refused or withdrawn or is deemed to be withdrawn or on the publication of the grant of the patent. This provision shall not apply where the requester is using the biological material under a compulsory licence.

(3) For the purposes of paragraph 1 a derived biological material shall mean any biological material which still exhibits those characteristics of the deposited biological material which are essential to the carrying out of the invention.

(4) The undertaking referred to in paragraph 1 shall not impede a deposit of a derived biological material necessary for the patent procedure.

(5) The request provided for in paragraph 1 shall be made to the Office in writing and upon payment of the request fee.

(6) The Office shall certify that an ARIPO patent application referring to the deposit of the biological material has been filed and that the requester or the expert nominated by him under Rule 18 is entitled to the issue of a sample of the biological material.

(7) The Office shall transmit the request, together with the certification provided for in paragraph 5 to the depository institution and to the applicant or the proprietor.

(8) The Office shall publish in the ARIPO Journal the list of depository institutions recognised for the purposes of Rules 17, 19 and 20.

Rule 20
New Deposit of a Biological Material

If a biological material deposited in accordance with Rule 17 ceases to be available from the institution with which it was deposited because the biological material is no longer viable or for any other reason the depository institution is unable to supply samples, an interruption in availability shall be deemed not to have occurred if a new deposit of the biological material is made with a recognised depository institution on the same terms as those prescribed in the Budapest Treaty on the International Recognition of the Deposit of Microorganisms for the Purposes of Patent Procedure of 28 April 1977 and if a copy of the receipt of the new deposit issued by the depository institution is forwarded to the Office within four months from the date of the new deposit stating the number of the ARIPO patent application or the ARIPO patent.

**CHAPTER 6
FILING OF THE ARIPO PATENT APPLICATION**

***Rule 21
Filing of an ARIPO Patent Application***

(1) An ARIPO patent application shall be filed with either the Office or the industrial property office of any Contracting State.

(2) Where the application is filed with the Contracting State, the State shall:

- (a) verify that the application fulfils the requirements of Section 31(1)(a), (b) and (c);
- (b) verify that the undertaking with respect to fees has been submitted or that the fees have been paid and a receipt issued;
- (c) mark the documents making up the application with the actual date of receipt, and issue without delay a receipt to the applicant, including at least the receiving office number and date stamp receipt of the documents;
- (d) The number referred to in paragraph 2 shall consist of the two-letter country code for the Receiving Office, set out in WIPO Standard ST.3, a slant, the letters AP, a slant, the letter P, a slant, and the number allotted internally by the Receiving Office; and
- (e) Issue an acknowledgement of receipt of the application to the applicant's representative.

(3) The ARIPO application and any accompanying documents to be filed with the Receiving Office shall be filed in two copies (except where the application is filed electronically), and those to be filed with the Office shall be filed in one copy, however, the Office may require the applicant to supply additional copies.

***Rule 22
Filing ARIPO Divisional Application***

(1) The applicant may file a divisional application relating to any pending earlier ARIPO patent application.

(2) A divisional application shall be filed in the official language of the Office.

(3) The divisional application shall be filed directly with the Office.

***Rule 23
Electronic Filing of Patent Applications***

(1) Patent applications may be filed in electronic form or by electronic means in accordance with the Administrative Instructions provided that the Office or any Contracting States shall permit the filing of applications on paper.

(2) Documents making up an ARIPO patent application in electronic form shall be prepared using the ARIPO forms available on the ARIPO Online Filing platform and in the prescribed format in accordance with the Administrative Instructions.

(3) No Contracting State shall be obliged to receive or process ARIPO patent applications filed in electronic form or by electronic means unless the Contracting State has notified the Office that it is prepared to do so in compliance with the applicable provisions of the Administrative Instructions.

(4) No Contracting State which has given the Office a notification under paragraph 3 may refuse to process an application filed in electronic form or by electronic means which complies with the applicable requirements under the Administrative Instructions.

Rule 24
Transmittal of ARIPO Patent Application

(1) Where the application is filed with the industrial property office of a Contracting State and meets the requirement of Rule 21(2), it shall, within 14 days, transmit all documents making up the application to the Office.

(2) The Receiving Office shall notify the applicant of the said transmittal of the application.

Rule 25
Application Fee; Designation Fees

(1) The application is subject to the payment of the application fee as prescribed in the Fee Schedule.

(2) The designation of a Contracting State is subject to the payment of the designation fee as prescribed in the Fee Schedule.

(3) If the fees referred to in paragraphs 1 and 2 are not paid within 14 days from the date on which the application is filed with the Office or the Industrial Property Office of the Contracting State, the application shall be deemed withdrawn.

Rule 26
Filing Date

(1) The Office shall accord as the filing date the date on which the application was received by the Office or the industrial property office of the Contracting State with which the application was filed, provided that the application fulfils the requirements of Section 31 (1) (a), (b) and (c).

(2) If on the date on which the application was received by the Office or the industrial property office of the Contracting State, it did not fulfil the requirements referred to in paragraph 1, the Office shall accord as the filing date the date on which the application fulfilled the requirements.

(3) Where the application does not comply with the requirements referred to in paragraph 1, the Office shall invite the applicant to comply with the requirements within 1 month.

Rule 27
Patent Classification

The Office shall use the classification referred to in Article 1 of the Strasbourg Agreement concerning the International Patent Classification of 24 March 1971, hereinafter referred to as the international classification.

CHAPTER 7
PROVISIONS GOVERNING THE APPLICATION

Rule 28
Request for Grant

(1) The request for grant of a patent shall be made on a duly completed form, which shall be obtainable from the Office, ARIPO website or from any other electronic media and from the industrial property offices of Contracting States.

(2) The request, which shall be signed by the applicant or representative, and shall contain-

- (a) a petition for grant of ARIPO patent;
- (b) the title of the invention, which shall be short (preferably from two to seven words) and precise;
- (c) the name, physical or postal address (including, where applicable, email address and telephone number) and nationality of the applicant, where the name of natural persons shall be indicated by the person's family name followed by their given name(s) whereas the names of legal entities shall be indicated by their official designations. Addresses shall be indicated in such a way as to satisfy the customary requirements for prompt correspondence delivery at the indicated address; they shall in any case comprise all the relevant administrative units, including the house number, if any; and the State in which his residence or principal place of business is located;
- (d) the name, address and place of business of the applicant's representative (particulars as in paragraph c);
- (e) where the applicant is the inventor, an indication to that effect and, if not, the name and address of the inventor accompanied by a statement specifying the basis of the applicant's right to the patent;
- (f) where applicable, a declaration of priority of an earlier application and indicating the date on which and the country in or for which the earlier application was filed;
- (g) where appropriate, an indication that the application constitutes a divisional application and the number of the earlier ARIPO patent application;
- (h) list of the documents accompanying the request. The list shall indicate the total number of sheets constituting the application and the number of sheets of each element of the application (request, description, claims, drawings, abstract);

- (i) whether applicable, the application as filed is accompanied by a power of attorney, a priority document, a receipt for the fee paid or an undertaking to pay the requisite fees, a statement justifying the applicant's right to the patent and any other document; and
 - (j) the number of the most illustrative drawing which the applicant suggests should accompany the abstract when the abstract is published.
- (3) Where there is more than one applicant, the request shall preferably contain the appointment of one applicant as a common representative.

Rule 29
Content of the Description

- (1) The description shall:-
- (a) state the title of the invention as appearing in the request;
 - (b) specify the technical field to which the invention relates;
 - (c) indicate the background art which, as far as known to the applicant, can be regarded as useful for the understanding, searching and examination of the invention, and, preferably, cite the documents reflecting such art;
 - (d) disclose the invention in such terms that the technical problem, even if not expressly stated as such, and its solution can be understood, and state its advantageous effects, if any, with reference to the background art;
 - (e) briefly describe the figures in the drawings, if any;
 - (f) set forth at least the best mode contemplated by the applicant for carrying out the invention; this shall be done in terms of examples, where appropriate, and with reference to the drawings, if any; and
 - (g) indicate explicitly, when it is not obvious from the description or nature of the invention, the way in which the invention is industrially applicable and the way in which it can be made and used, or, if it can only be used, the way in which it can be used.
- (2) The manner and order specified in this Rule shall be followed except when, due to the nature of the invention, a different manner or order would result in a better understanding and a more concise presentation.

Rule 30
Form and Content of Claims

- (1) The claims shall define the matter for which protection is sought in terms of the technical features of the invention.
- (2) The number of the claims shall be reasonable, taking into account the nature of the invention, and, where there are several claims, they shall be numbered consecutively in Arabic numerals.
- (3) Wherever appropriate, claims shall contain-
- (a) statement indicating those technical features of the invention which

are necessary for the definition of the latter but which, in combination, are part of the prior art; and

- (b) a characterizing portion - preceded by the words "characterized in that," "characterized by," "wherein the improvement comprises," or any other words to the same effect - stating concisely the technical features which, in combination with the features stated under (a), it is desired to protect.

(4) Claims shall not, except where absolutely necessary, rely, in respect of the technical features of the invention, on references to the description or drawings. In particular, they shall not rely on such references as: "as described in part of the description," or "as illustrated in figure of the drawings."

(5) Claims related to medical indications or use claims shall, for the purpose of examination, be drafted in accordance with the standard phrases provided below-

- (a) Claims regarded as first medical indications-
 - (i) Pharmaceutical composition for the prophylaxis/treatment of Y (=disease) comprising X(=a drug/medicament);
 - (ii) Pharmaceutical composition comprising X;
 - (iii) Compound X for use as a drug/medicament for the treatment of Y;
 - (iv) Use of X for the manufacture of a pharmaceutical composition (Note that the disease indication is not mentioned in the claim);
 - (v) Compound X for use as an analgesic;
 - (vi) Compound X for use in treating disease Y;
 - (vii) Compound A containing X for use in treating disease Y (composition A may be genetically defined);
 - (viii) Medicament containing compound X;
 - (ix) Use of X for preparing a medicament,
- (b) Claims regarded as second medical indications-
 - (i) Use of X for the manufacture/preparation of a medicament/ pharmaceutical composition for the treatment of Y;
 - (ii) Process for the preparation of a medicament for treating disease Y characterized in that compound X is used as the main active component of the medicament.

(6) Any claim which includes all the features of one or more other claims (claim in dependent form, hereinafter referred to as "dependent claim") shall do so by a reference, if possible at the beginning, to the other claim or claims and shall then state the additional features claimed. Any dependent claim which refers to more than one other claim ("multiple dependent claim") shall refer to such claims in the alternative only. Multiple dependent claims shall not serve as a basis for any other multiple dependent claims.

(7) Any claim stating the essential features of an invention may be followed by one or more claims concerning particular embodiments of that invention.

(8) Claims shall be grouped in the most logical manner to facilitate comprehension of the scope of protection being sought.

(9) Without prejudice to Section 34, an ARIPO patent application may contain more than one independent claim in the same category (product, process, apparatus or use) only if the subject matter of the application involves one of the following-

- (a) a plurality of interrelated products;
- (b) different uses of a product or apparatus; or
- (c) alternative solutions to a particular problem, where it is inappropriate to cover these alternatives by a single claim.

(10) Where the ARIPO patent application contains drawings including reference signs, the technical features specified in the claims shall preferably be followed by the reference signs relating to these features, placed in parentheses. The reference signs shall not be construed as limiting the claim.

Rule 31

Form and Content of Abstract; Missing Abstract

(1) The abstract shall consist of the following-

- (a) a summary of the disclosure as contained in the description, the claims, and any drawings; the summary shall indicate the technical field to which the invention pertains and shall be drafted in a way which allows a clear understanding of the technical problem, the gist of the solution of that problem through the invention, and the principal use or uses of the invention;
- (b) where applicable, the chemical formula which, among all the formulae contained in the international application, best characterizes the invention.

(2) The abstract shall be as concise as the disclosure permits, preferably 50 to 150 words if it is in English or when translated into English.

(3) The abstract shall not contain statements on the alleged merits or value of the claimed invention or its speculative application.

(4) Each main technical feature mentioned in the abstract and illustrated by a drawing in the ARIPO application shall be followed by a reference sign placed between parentheses.

(5) Where no abstract is provided, the Office shall invite the applicant to either provide an abstract or pay the prescribed fee for the preparation of the abstract by the Office. If the applicant fails to comply within two months, the application shall be deemed withdrawn.

Rule 32

Presentation of the Application Documents

(1) The documents making up the application shall be so presented as to admit electronic and direct reproduction.

(2) Only one side of each sheet contained in the application shall be used.

(3) Where the document(s) making the application is on paper, the paper shall be flexible, strong, white, smooth, non-shiny, durable, and free from cracks, creases, and folds.

(4) The size of the electronic or paper pages shall be A4 (29.7cm x 21cm. Subject to paragraphs 13 and 14, each sheet shall be used with its short sides at the top and bottom (upright position).

(5) (a) The minimum margins of sheets shall be as follows:

- (i) (i) upper margin of each page, except the first page: 20 mm
- (ii) (ii) upper margin of the first page: 30 mm
- (iii) (iii) left side margin adjacent to the binding: 25 mm
- (iv) (iv) right side margin: 20 mm
- (v) (v) bottom margin: 20 mm

(b) The recommended maximum for the margins quoted above is as follows:

- (i) upper margin of each page: 4 cm.
- (ii) left side margin adjacent to the binding: 4 cm.
- (iii) right side margin: 3 cm.
- (iv) bottom margin: 3 cm.

(6) (a) All sheets shall be numbered in consecutive Arabic numerals and centred at the top or bottom of the sheet but shall not be placed in the margin.

(b) In effecting the arrangements of the sheets, the documents making up the application shall be placed in the following order: the request, the description, the claims, the abstract, and the drawings.

(c) The sequential numbering of the sheets shall be effected by using three separate series of numbering, the first series applying to the request only and commencing with the first sheet of the request, the second series commencing with the first sheet of the description and continuing through the claims until the last sheet of the abstract, and the third series being applicable to the sheets of the drawings only and commencing with the first sheet of the drawings.

(d) Each of the documents making up the application shall commence on a new sheet. The sheets shall be connected in such a way that they can easily be turned over, separated and joined together again.

(e) It is strongly recommended that every fifth line of each sheet of the description and of each sheet of claims be numbered. The numbers should appear in the right half of the left margin.

(7) The text matter of the application shall be typed. Graphic symbols, chemical or mathematical formulae and certain characters, if necessary, may be handwritten or drawn.

(8) The typing shall be 1½-spaced.

(9) All text matter shall be in characters the capital letters of which are not less than 0.28 cm high, and shall be in a dark, indelible colour, satisfying the requirements specified in paragraph 2, provided that any text matter in the request may be in characters the capital letters of which are not less than 0.21 cm high.

(10) Drawings shall be executed in durable, black, sufficiently dense and dark, uniformly thick and well-defined lines and strokes without colouring.

(11) Elements of the same figure shall be proportional to one another, unless a difference in proportion is indispensable for the clarity of the figure.

(12) Reference signs not mentioned in the description and claims shall not appear in the drawings, and vice versa. Reference signs to features shall be consistent throughout the application.

(13) Where the figures are not arranged in an upright position, they shall be presented sideways with the top of the figures at the left side of the sheet. The different figures shall be numbered consecutively in Arabic numerals, independently of the numbering of the sheets.

(14) (a) The request for grant of an ARIPO patent, the description, the claims, and the abstract shall not contain drawings.

(b) The description, claims, and abstract may contain chemical or mathematical formulae or tables. The claims may contain tables only if their subject matter makes the use of tables desirable.

(c) Tables and chemical or mathematical formulae may be placed sideways on the sheet if they cannot be presented satisfactorily in an upright position. Tables or chemical or mathematical formulae presented sideways shall be placed so that the tops of the tables or formulae are at the lefthand side of the sheet.

15 (a) The drawings shall not contain text matter, except a single word or words, when absolutely indispensable, such as "water," "steam," "open," "closed," "section on AB," and, in the case of electric circuits and block schematic or flow sheet diagrams, a few short catchwords indispensable for understanding.

(b) Any words used shall be so placed that, if translated, they may be pasted over without interfering with any lines of the drawings.

Rule 33
Measures, Terminology and Signs

(1) Units of weights and measures shall be expressed in terms of the metric system.

(2) Temperatures shall be expressed in degrees centigrade (Celsius).

(3) Density shall be expressed in metric units.

(4) For indications of heat, energy, light, sound, and magnetism, as well as for mathematical formulae and electrical units, the rules of international practice shall be observed; for chemical formulae, the symbols, atomic weights, and molecular formulae, in general use, shall be employed.

(5) In general, only such technical terms, signs and symbols should be used as are generally accepted in the art.

(6) The terminology and the signs shall be consistent throughout the application.

Rule 34
Documents Filed Subsequently

Rules 29 to 33 shall apply to documents replacing documents making up the ARIPO patent application.

Rule 35
Missing Parts of the Description or Missing Drawings

(1) Where the examination referred to in Section 41(1), and Rule 41 reveal that parts of the description, or drawings referred to in the description or in the claims, appear to be missing, the Office shall invite the applicant to file the missing parts within 2 months.

(2) If missing part of the description or drawings is not filed within the period referred to in paragraph 1, the office shall proceed with examination based on the application as originally filed.

CHAPTER 8
MAINTENANCE FEES

Rule 36
Payment of Maintenance Fees

(1) The amount of annual maintenance fees payable under Section 21 (1) shall be as prescribed in the Schedule of Fees.

(2) Maintenance fees already due in respect of an earlier application (“mother” application) at the date on which a divisional application is filed shall also be paid for the divisional application and shall be due on its filing.

(3) The annual maintenance fees shall be payable on or before each anniversary of the date of filing of the application and shall be paid in advance to the Office.

(4) The surcharge on late payment of the annual maintenance fee shall be payable as prescribe in the fee schedule.

(5) Subject to the payment of the prescribed surcharge, a grace period of 6 months shall be allowed for the payment of the annual maintenance fee. While the annual maintenance fee and accrued surcharges remain unpaid, no Office action shall be taken on the application or patent.

(6) If an annual maintenance fee is not paid in accordance with this Rule, the application or the patent shall lapse.

(7) Maintenance fees already due in respect of an earlier application at the date on which a divisional application is filed shall also be paid for the divisional application and shall be due on its filing.

(8) Maintenance fees shall be paid within 4 months of filing the divisional application failing which the fees shall incur surcharges.

(9) If an ARIPO patent application has been refused or deemed to be with-

drawn as a result of non-observance of a time limit, and if the applicant's rights are restored under Section 55, a maintenance fee which-

- (a) would have fallen due under paragraph 1 in the period starting on the date on which the loss of rights occurred, up to and including the date of the notification of the decision restored the rights, shall be due on that latter date; and
- (b) on the date on which the loss of rights has occurred, was already due but the period provided for in paragraph 4 has not yet expired, may still be paid within 6 months from the date of the notification of the decision restored the rights provided that the surcharge fee pursuant to paragraph 4 is also paid within that period.

(10) The Office shall publish a notification of lapsed patent or withdrawn application in the ARIPO Journal.

CHAPTER 9 PRIORITY

Rule 37 Declaration of Priority

- (1) The declaration of priority referred to in Section 39(1), shall indicate-
- (a) the date of the earlier application;
 - (b) the application number of the earlier application(s) subject to paragraph 2;
 - (c) the name of the State in which the earlier application was filed or, where the earlier application is a regional or an international application, the name of the State or States for which it was filed; and
 - (d) where the earlier application is a regional or an international application, the office with which it was filed.

(2) The declaration of priority shall preferably be made on filing the ARIPO patent application or may still be made within 16 months from the earliest priority date claimed.

(3) Where, at the time of filing the declaration referred to in paragraph 1, the application number of the earlier application is not known, the application number shall be furnished within 3 months from the date on which the application containing the declaration was filed.

(4) The applicant may correct the declaration of priority within 16 months from the earliest priority date claimed, or, where the correction would cause a change in the earliest priority date claimed, within 16 months from the corrected earliest priority date, whichever 16-month period expires first, provided that such a correction may be submitted before the expiry of 4 months from the date of filing accorded to the ARIPO patent application.

(5) If the requirements under this Rule have not been complied with, the declaration shall be disregarded, and the applicant shall be informed accordingly.

Rule 38
Priority Documents

(1) Where the priority declaration is made on filing, the applicant shall, within a period of 3 months from the filing of the application containing the declaration, furnish a copy of the earlier application(s), certified as a correct record by the office with which it was filed.

(2) Where the priority declaration is made after filing, the applicant shall furnish a copy of the earlier application(s) within 16 months of the earliest priority date, certified as a correct record by the office with which it was filed.

(3) Where the earlier application is in a language other than English, the applicant shall, within a period of 6 months from the filing of the application containing the declaration, furnish an English translation.

(4) If the translation of a previous application is not filed in due time, the right of priority shall be disregarded, and the applicant shall be informed accordingly.

Rule 39
Issuing priority Documents by the Office

On request, the Office shall issue a certified copy of the ARIPO patent application under the conditions determined by the Office, including the form of the priority document and the fee to be paid.

Rule 40
Certificate of Exhibition

The applicant shall file the certificate referred to in Section 11(2) within 4 months of filing the ARIPO patent application, which-

- (a) is issued at the exhibition by the authority responsible for the exhibition;
- (b) state that the invention was displayed at the exhibition;
- (c) state the date of the disclosure of the invention at the exhibition; and
- (d) is accompanied by an identification of the invention as duly authenticated by the authority responsible for exhibition.

**CHAPTER 10
EXAMINATION FOR FILING AND FORMAL REQUIREMENTS**

***Rule 41
Examination on Filing***

(1) Upon receiving the application, the Office shall examine it for compliance with the requirements of Section 31(1) (a) to (c).

(2) Where the Office finds that the application does not comply with the requirements referred to in paragraph 1, it shall invite the applicant to correct the application within 1 month.

(3) Where the applicant fails to comply with the invitation referred to in paragraph 2, the application shall be deemed withdrawn.

(4) Where the application complies with the requirements referred to in paragraph 1, the Office shall accord the filing date.

(5) The Office shall notify the applicant and the industrial property office of each Designated State of the filing date of the application.

***Rule 42
Erroneously Filed Application Documents or Parts***

(1) Where an application or documents forming part of an application is erroneously filed under Rule 21, the applicant may, within 2 months-

- (a) withdraw the application or the document forming part of the application; or
- (b) file the correct application or document forming part of the application.

(2) Where the correct application referred to in paragraph 1(b) is filed within the period referred to in paragraph 1-

- (a) the correct application documents shall be included in the application; and
- (b) the erroneously filed application documents shall be deemed not to have been filed.

***Rule 43
Examination as to Formal Requirements***

(1) Where an ARIPO patent application has been accorded a filing date, the Office shall examine the application for compliance with the requirements of Sections 19(1), 31 and 32 and Rules 4, 8(1), 8(2), 16, 25, 28, 32, 37 and 38 have been satisfied.

(2) Where the application does not comply with the requirements referred to in paragraph 1, the Office shall invite the applicant to correct the application within 1 month, subject to the payment of a correction fee as provided for in the schedule of fees.

(3) If the applicant fails to comply with the invitation referred to in paragraph 2, the application shall be deemed withdrawn.

**CHAPTER 11
REQUEST FOR SUBSTANTIVE EXAMINATION;
PUBLICATION**

***Rule 44
Request for Substantive Examination***

(1) For the purposes of the request under Section 45(1) of the Protocol, the applicant may, through a duly completed Form 13A, request substantive examination of the patent application up to 3 years from the date of filing or priority date if claimed. If more than one priority is claimed from the earliest priority date.

(2) For divisional applications, the time limit for filing the request for examination shall be 6 months from the date of lodging of the divisional application.

(3) The request shall be deemed to have been filed when the request for examination fee, including surcharges for additional pages and claims if any, has been paid. Where no request is made within the specified period under paragraph 1, the application shall be deemed withdrawn.

(4) No extension of time limit in respect of the request for examination shall be permitted.

***Rule 45
Request for Accelerated or Delayed Examination***

(1) The applicant may, upon request made through a duly completed form, cause the Office to have an application that complies with the formal requirements to be examined in a preferential manner adhering to a modified timeframe as follows-

- (a) expedited examination of an application so as to have a decision made on its suitability for grant within a period specified in the Administrative Instructions from the time of making the request; subject to—
 - (i) the application being for a single invention and/or the applicant agreeing to the examination division conclusively examining the application by considering only the first identified invention;
 - (ii) the applicant undertaking to respond immediately to any clarifications sought by the examining division;
 - (iii) the application not being withdrawn and then reinstated before conclusion of examination; and
 - (iv) a search report, based on acceptable minimum documentation conducted on claims corresponding to the claims either of the same scope as or narrower in scope than the claims in the ARIPO application file, is availed to the Office,
- (b) delayed commencement of examination for up to 1 year provided:-
 - (i) a written explanation satisfactory to the Office has been submitted with the request; and
 - (ii) the application is not deemed withdrawn due to non-payment of annual maintenance fees,
- (c) the Office shall acknowledge a request to modify the examination timeline as in paragraphs 1(a) and 1(b) above and provide direction upon receipt of such a request to-

- (i) direct the applicant to remit the requisite fees for such modification of timeline;
 - (ii) confirm in writing that the Office concurs with the applicant's request of a modified examination timeline since the application relates to one of the fields of green technologies; or
 - (iii) indicate that such modification in the examination timeframe is not possible with an outline of the reasons, and
- (d) the request shall not be considered made unless the prescribed fee is paid.

Rule 46
Surcharges for Additional Pages and Claims

(1) Surcharge for additional pages is payable for ARIPO patent applications which comprise more than 30 pages. The surcharge is calculated according to the number of pages as provided for in the Schedule of Fees.

(2) Where the surcharge for additional pages is not paid within the period specified under paragraph 5 the application shall be deemed to be withdrawn.

(3) The surcharge for additional pages is calculated on the basis of the pages of the description, claims, drawings and abstract. The pages forming part of a sequence listing within the meaning of Rule 16 (1) shall not be counted, provided the sequence listing does not form part of the description.

(4) Where formal deficiencies in the documents making up the ARIPO patent application need to be corrected, the number of pages complying with the requirements stipulated under Rule 31 shall be the basis for calculation of the surcharge for additional pages.

(5) An ARIPO patent application comprising more than 10 claims shall, in respect of the eleventh and each subsequent claim, incur payment of surcharges for additional claims as prescribe in the Schedule of Fees.

(6) Where the surcharge for additional claim(s) is not paid within the period specified under paragraph 5, the claim(s) concerned shall be deemed to be withdrawn.

(7) The surcharges for additional pages and claims shall be paid on or before filing of the request for substantive examination.

(8) Where an amendment is filed after payment of the surcharges for additional pages and claims introduce more claims or pages than those paid for, the surcharges for the newly introduced claims or pages shall be payable on submission of the amendment.

Rule 47
Content of the Publication of the ARIPO Patent Application

(1) The publication of the ARIPO patent application shall contain the description, the claims, the abstract and the drawings if any, as filed.

(2) The designated Contracting State(s) shall be indicated in the published application.

**CHAPTER 12
SEARCH AND SUBSTANTIVE EXAMINATION**

***Rule 48
Content of the ARIPO Search Report***

(1) The ARIPO search report shall mention those documents available to the Office at the time of drawing up the report, which may be taken into consideration in deciding whether the invention to which the ARIPO patent application relates is new and involves an inventive step.

(2) Each citation shall be referred to the claims to which it relates. Where appropriate, relevant parts of the documents cited shall be identified.

(3) The ARIPO search report shall distinguish between cited documents published before the date of priority claimed, between such date of priority and the date of filing, and on or after the date of filing.

(4) Any document which refers to an oral disclosure, a use or any other means of disclosure which took place before the date of filing of the ARIPO patent application shall be mentioned in the search report, together with an indication of the date of publication, if any, of the document and the date of the non-written disclosure.

(6) The ARIPO search report shall contain the classification of the subject-matter of the patent application in accordance with the international patent classification.

***Rule 49
Unity of Invention***

(1) Where a group of inventions is claimed in an ARIPO patent application, the requirement of unity of invention under Section 34 shall be fulfilled only when there is a technical relationship among those inventions involving one or more of the same or corresponding special technical features. The expression "special technical features" shall mean those features which define a contribution which each of the claimed inventions considered as a whole makes over the prior art.

(2) The determination of whether a group of inventions is so linked as to form a single general inventive concept shall be made without regard to whether the inventions are claimed in separate claims or as alternatives within a single claim.

(3) Where the Office considers that the ARIPO patent application does not comply with the requirement of unity of invention, it shall draw up search and examination reports on those parts of the application which relate to the invention, or the group of inventions first mentioned in the claims and invite the applicant to limit the application to the invention first mentioned in the claims. The remaining invention(s) can be filed as divisional applications.

***Rule 50
Examination Procedure***

(1) For the purposes of the examination under Section 45(1) of the Protocol, the Office may transmit the application together with all relevant documents to an authority specified in the Administrative Instructions.

(2) Search and substantive examination reports shall be established by the Office or by the authority referred to in paragraph 1 and shall contain the conclusions of the substantive examination of the application.

(3) Where taking due account of the search and substantive examination reports referred to in paragraph 2, the Office reaches the conclusion that the requirements of the Protocol are not fulfilled, it shall-

- (a) notify the applicant, together with a copy of the search and substantive examination reports upon which the decision is based; and
- (b) invite the applicant to submit, within 4 months, a request for reconsideration together with observations and, where applicable, to amend the description, claims and drawings in accordance with Section 47.

Rule 51 ***Observations by Third Parties***

(1) Following publication of the ARIPO patent application, any person may submit observations concerning the patentability of the invention.

(2) The observations referred to in paragraph 1 may be considered if they are made in respect to novelty, inventive step, claim clarity, sufficiency of disclosure, patentability of subject matter and unallowable amendments.

(3) The observations shall include a statement of the grounds on which they are based.

(4) Documentary evidence, particularly publications submitted in support of the arguments, may be filed in any language. However, the Office may request that a translation into English be filed within a period specified in the request; otherwise, the evidence will be disregarded.

(5) The person filing the observations shall not become a party to the proceedings before the Office.

(6) The observations shall be filed, preferably electronically, using electronic means such as email and the web interface provided by the Office.

(7) The Office may send an acknowledgement of the receipt of the observations (if these were not filed anonymously). However, the Office shall not specifically inform the third party of any further action it takes in response to the observations beyond subsequent published office actions, such as the publication of the grant.

(8) The Office shall make every effort to issue the next office action to communicate such observations to the applicant for or proprietor of the patent, provided the observations are substantiated.

(9) If the observations question the patentability of the invention in whole or in part, they must be taken into account in any proceedings pending before the Office until such proceedings have been terminated. If the observations relate to alleged prior art available other than from a document, e.g., from use, this is taken into account only if the alleged facts either are not disputed by the applicant or proprietor or are established beyond a reasonable doubt.

(10) Observations received after the decision to grant/refuse the application shall be included in the file without taking note of their content.

(11) Where an observation was filed during the international phase, ARIPO as designated/elected Office will consider its content upon entry into the Regional phase once this becomes available.

Rule 52
Information on Prior Art

(1) The applicant shall, at the request of the Office, and within 3 months from the request furnish it with-

- (a) the date and number of any application for a patent or other title of protection filed with a national industrial property office or with a regional industrial property office ("foreign application") relating to the same or essentially the same invention as that claimed in the application being processed by the Office; and
- (b) the following documents relating to one of the foreign applications referred to in paragraph-
 - (i) a copy of any communication received by the applicant concerning the results of any search or examination carried out in respect of the foreign application;
 - (ii) a copy of the patent or other title of protection granted on the basis of the foreign application;
 - (iii) a copy of any final decision rejecting the foreign application or refusing the grant requested in the foreign application; and
 - (iv) a copy of any final decision invalidating the patent or other title of protection granted on the basis of the foreign application referred to in paragraph 1(a).

(2) The documents furnished under this Rule shall merely facilitate the evaluation of the novelty and inventive step of the invention claimed in the application being processed by the Office or in the patent granted on the basis of that application.

(3) The applicant shall have the right to submit comments on the documents furnished under this Rule.

Rule 53
Decision to Grant a Patent

(1) Where the Office, taking due account of the search and examination reports, decides to grant the patent pursuant to Section 46, it shall notify the applicant and each Designated State together with copies of the search and examination reports upon which the decision is based.

(2) Where, after the notification referred to in paragraph 1, the applicant requests to make reasoned amendments or corrections to the description, claims or drawings not recommended by the Office or amendments are based on un-examined

claims, the application shall be re-examined upon payment of the prescribed fee. If the fee is not paid within 2 months, the application shall be considered withdrawn.

(3) The applicant shall make payment of the grant and publication fees, within 4 months from the date of the notification, failure to which, the application shall be deemed to be withdrawn.

(4) Upon receiving the notification referred to in paragraph 1 the Designated State may, within 4 months, make a written communication referred to in Section 46 (2) to the Office.

(5) Where a Designated State makes communication referred to in Section 46 (2)(b), the applicant may respond by providing amendments and/or arguments referred to in Section 46(3), within 2 months of the date of notification.

(6) Where an applicant submits amendments and/or arguments referred to in Section 46(4), the Designated State shall respond within 2 months of receipt of the communication.

(7) The Office shall promptly transmit the communication referred to in paragraphs 4 to 6.

CHAPTER 13 AMENDMENTS AND CORRECTIONS

Rule 54

Amendment of Name, Address, or Correction of Errors

(1) The Applicant may initiate an amendment of a name, address or correction of a linguistic error of transcription or any mistake in any document filed with the Office at any time on request and subject to payment of the prescribed fee.

(2) Where the request referred to in paragraph 1 concerns the description, claims or drawings, the correction must be obvious in the sense that it is immediately evident that nothing else would have been intended than what is offered as the correction.

(3) A request referred to in paragraph 1 shall not be considered made until the prescribed fee has been paid.

Rule 55

Amendment of the ARIPO Patent Application

(1) Together with any comments, corrections or amendments made in response to communications by the Office under Rule 47(3)(b), the applicant may amend the description, claims or drawings of his own volition with each response to an Office action that raises objections or requirements for corrections.

(2) Amendments may only be incorporated in the application through a written examination report consenting to such amendments by the Office.

(3) When filing amendments, the applicant shall identify and indicate the basis for the amendments in the disclosure as originally filed.

(4) Where amendments do not comply with the requirements referred to in paragraph 3, the Office shall invite the applicant to correct the deficiencies within 2 months, failing which the application shall be deemed withdrawn.

(5) Amended claims may not relate to unsearched subject matter, which does not combine with the originally claimed invention or group of inventions to form a single general inventive concept, provided that the introduction of unsearched and/or unexamined claims shall be allowable subject to payment of new search and examination fees as prescribed in Schedule of Fees.

Rule 56

Different Claims, Descriptions and Drawings for Different States

Where a Designating State objects to the inclusion of any part of an ARIPO patent specification proposed for grant as unacceptable under the National Law, and the Applicant responds by excluding such parts from protection in the designating State, the ARIPO patent may, for such State or States, contain claims and, where appropriate, a description and drawings which are different from those for the other Designated States.

CHAPTER 14

GRANT AND PUBLICATION OF PATENT

Rule 57

Grant of ARIPO Patent

Upon the expiry of 4 months provided for under Rule 53(4) and subject to payment of the grant and publication fee in accordance with Rule 53(3), the Office shall-

- (a) grant the patent;
- (b) publish in the ARIPO Journal a reference to the grant;
- (c) record the patent in the Patents Register;
- (d) issue to the applicant a certificate of the grant of the patent and a copy of the patent; and
- (e) transmit to each Designated State for which the patent is granted a copy of the certificate and a copy of the patent.

Rule 58

Publication of ARIPO Patent

(1) The publication of a reference to the ARIPO patent grant in the ARIPO Journal shall include the following-

- (a) the patent number;
- (b) the name and address of the owner of the patent;

- (c) the name and address of the inventor;
- (d) the name and address of the applicant's representative (where necessary);
- (e) the filing date of the application;
- (f) if priority has been claimed and the claim has been accepted, a statement of that priority, the priority date and the name of the country or countries in which or for which the earlier application was filed;
- (g) the effective date of the grant of the patent or registration of the utility model;
- (h) the title of the invention;
- (i) the abstract;
- (j) if there are drawings, the most illustrative drawing;
- (k) the symbol of the International Patent Classification; and
- (l) the Designated States for which the patent is granted.

(2) The publication of the ARIPO patent shall contain the description, the claims, the abstract and the drawings, if any, as granted.

Rule 59
Certificate of an ARIPO Patent

(1) The Office shall issue to the proprietor of the patent a certificate for an ARIPO patent as soon as the patent has been granted.

(2) The certificate of grant, which shall be signed by the Director General of the Office, shall contain—

- (a) the patent number;
- (b) the name and address of the owner of the patent;
- (c) the filing date and the priority date, if any, of the application;
- (d) the effective date of the grant of the patent;
- (e) the title of the invention;
- (f) the Designated States for which the patent is granted; and
- (g) any other condition(s) of grant.

**CHAPTER 15
POST-GRANT AMENDMENT**

***Rule 60
Request for Post-Grant Amendment***

(1) The request for post-grant amendment of an ARIPO patent shall be filed in writing and shall contain-

- (a) particulars of the proprietor of the ARIPO patent making the request as prescribed in Rule 28(2)(c) and an indication of the Designated States for which the requester is the proprietor of the patent;
- (b) the patent number, whose limitation is requested;
- (c) the complete version of the amended claims and, as the case may be, of the amended description and drawings; and
- (d) where the requester has appointed a representative, particulars of the representative as prescribed in Rule 28(2)(d).

(2) Post-grant amendments shall only be considered if they limit the scope of protection of the patent.

***Rule 61
Decision on the Request for Post-Grant Amendment***

(1) Where a request for post-grant amendment is allowable, the Office shall communicate and invite the applicant to pay the re-publication fee within 2 months from the date of notification.

(2) Where the request complies with the requirements under paragraph 1, the Office shall accept the amendments.

(3) Where the request does not comply with the requirements under paragraph 1, or if the request is not allowable, the Office shall reject the request.

**CHAPTER 16
NOTIFICATIONS**

***Rule 62
General Provisions on Notifications***

(1) A communication by a Designated State pursuant to the Protocol shall be made on its behalf by its industrial property office.

(2) Communications between the Office and the Industrial Property Offices of Contracting States on matters relating to the Protocol and Regulations shall be effected by registered mail or any safe electronic means of communication.

(3) Communications between the Office and the courts or other authorities of Contracting States on matters relating to the Protocol and these Regulations shall be effected through the intermediary of the industrial property office of the said States and shall be subject to paragraph 2.

(4) The Office shall notify those concerned of decisions and of any notice or other communication from which a time limit is reckoned, or of which those concerned must be notified under the Protocol.

(5) Any notification to be made by the Office shall take the form of the original document, a copy thereof certified by or bearing the seal of the Office, a computer print-out bearing such seal or an electronic document containing such seal or otherwise certified.

(6) Where a document has been sent to the addressee, the document shall be deemed to have been received on the date established by the Office as the date of receipt.

Rule 63

Transmittal of Notification by the Office

(1) Notification may be effected by means of electronic communication as determined by the Office and under the conditions prescribed in the Administrative Instructions.

(2) Any document in non-electronic format shall only be made available at the request of the applicant subject to payment of the fee prescribed in these regulations. The applicant shall arrange the collection of the document by courier.

(3) Where the address of the addressee cannot be established, notification shall be effected by public notice. The Office shall determine how the public notice is to be given and the period of which the public shall be deemed to have been notified.

CHAPTER 17

**TIME LIMITS; RESTORATION OF RIGHTS;
FURTHER PROCESSING**

Rule 64

Calculation of Time limits

(1) Time limits shall be in terms of full years, months, weeks, or days and shall start counting on the day following the day on which the relevant event occurred, the event being either a procedural step or the expiry of another time limit.

(2) Where a time limit is expressed in a certain number of years, it shall expire in the relevant subsequent year in the same month and on the same day on which the said event occurred. If the relevant subsequent month has no day with the same number, the period shall expire on the last day of that month.

(3) When a time limit is expressed in a certain number of months, it shall expire in the relevant subsequent month on the same date on which the said event occurred. If the relevant subsequent month has no date with the same number, the period shall expire on the last day of that month.

(4) When a time limit is expressed as a certain number of weeks, it shall expire

in the relevant subsequent week on the day having the same name as the day on which the said event occurred.

Rule 65
Extension of Time Limits

(1) Before the expiration of the time limit the Office may extend a time limit upon request by the applicant or the proprietor if the request complies with the relevant requirements of the rules.

(2) Under an exceptional occurrence such as a pandemic, a natural disaster, war, civil disorder or a general breakdown in any means of electronic communication, the Office may, upon request by the applicant or the proprietor, extend a time limit after the expiration of the time limit.

(3) Where more than one request for extension of time limits are requested in one request Form, each request shall be subject to the payment of the prescribed fee.

(4) An extension of time limit shall not exceed 3 months.

(5) Where the time limit expires on a day on which the Office is not open for business, the time limit shall be extended to the next working day.

(6) Where any time limit in these Regulations is not observed, the ARIPO patent or application shall be lapsed or deemed withdrawn respectively.

Rule 66
Restoration of Rights; Further Processing

(1) Any request for restoration of rights under Section 55 shall be filed in writing within 2 months of the removal of the cause of non-compliance with the time limit but at the latest within one year of the expiry of the time limit that was not complied with.

(2) Notwithstanding paragraph 1, the request for restoration of rights in respect of any of the time limits specified in Section 38(1) shall be filed within 2 months of the expiry of that time limit.

(3) The request for restoration of rights shall not be deemed to have been filed until the prescribed fee has been paid.

(4) The request shall state the grounds on which it is based and shall set out the facts on which it relies. The omitted act shall be completed within the relevant period for filing the request according to paragraph 1.

(5) Further processing of applications shall commence once a request for restoration of rights has been accepted.

**CHAPTER 18
INFORMATION TO THE PUBLIC**

Rule 67
Entries in the ARIPO Patent Register

- (1) The ARIPO Patent Register shall contain the following entries-
- (a) the name and address of the applicant or proprietor(s);
 - (b) the name and address of the representative of the applicant;
 - (c) patent application number;
 - (d) the date of filing of the application and, if priority is claimed, the priority date, the number of the earlier application and the name of the State in which the earlier application was filed or, where the earlier application is a regional or international application, the name of the State or States for which it was filed as well as the office with which it was filed;
 - (e) the title of the invention;
 - (f) any change in the ownership of the application and any reference to a licence contract appearing in the file of the application;
 - (g) the classification symbols assigned to the application;
 - (h) the Contracting State(s) designated;
 - (i) the family name, given name(s) and country and place of residence of the inventor(s), unless the inventor has waived the right to be mentioned;
 - (j) the priority date, State and application number of the previous application(s);
 - (k) for a division of the application, the numbers of all the divisional applications;
 - (l) the date of publication of the application;
 - (m) the date of filing of the request for examination;
 - (n) the date on which the application is refused, withdrawn or deemed to be withdrawn;
 - (o) the date of publication of the grant of the patent;
 - (p) the date of lapse of the patent in a Contracting State;
 - (q) the dates of stay and resumption of proceedings in the cases referred to in Rule 6;
 - (r) the date of restoration of rights;
 - (s) the filing of a request for conversion;
 - (t) the date and purport of the decision on the request for limitation of the patent; and
 - (u) the date and purport of the decision of the Board of Appeal.

(2) The Office may decide that entries other than those referred to in paragraph 1 shall be made in the Patent Register.

Rule 68
Parts of the File Excluded from Inspection

- (1) The parts of the file excluded from inspection under Section 52(4), shall be-
- (a) the documents relating to the exclusion of or objections to members of the Board of Appeal;
 - (b) draft decisions and notices, and all other documents used for the preparation of decisions and notices, which are not communicated to the parties;
 - (c) the naming of the inventor, where the right to be mentioned has been waived in accordance with Rule 9(1); and
 - (d) any other document excluded from inspection by the Office on the ground that such inspection would not serve the purpose of informing the public.

(2) Where an application is withdrawn before publication, the file relating to it may be inspected only with the written permission of the person who withdrew the application, and paragraph 1(b) shall not apply.

Rule 69
Inspection of Files

(1) Inspection of the files of ARIPO patent applications and patents shall either be of the original document, copies thereof, or technical storage means.

(2) The Office shall determine all file inspection arrangements, including the circumstances in which an administrative fee is payable.

Rule 70
Communication of Information Contained in the Files

Subject to the restrictions under Section 52(4), and in Rule 68, the Office may, upon request, communicate information concerning any file relating to an ARIPO patent application or an ARIPO patent.

Rule 71
Constitution, Maintenance and Preservation of Files

(1) The Office shall constitute, maintain and preserve files relating to all ARIPO patent applications and patents in electronic form.

(2) Documents incorporated in an electronic file shall be considered to be originals.

(3) The initial version of documents filed on paper or a data carrier may only be

destroyed after at least five years from the date the document was incorporated in the electronic file.

(4) Any files shall be preserved for at least five years from the end of the year in which-

- (a) the application is refused or withdrawn or is deemed to be withdrawn;
- (b) the patent lapses in the last of the Designated States.

CHAPTER 19 REPRESENTATION; REQUEST FOR CONVERSION

Rule 72 Appointment of Representative

(1) Where a request for grant of an ARIPO patent contains more than one applicant and does not indicate a common representative, the first applicant named in the request shall be deemed to be the common representative.

(2) Where one of the applicants is obliged to appoint a representative, the appointed representative shall be deemed the common representative.

(3) Where the ARIPO patent application is transferred to more than one person, and such persons have not appointed a common representative, paragraphs 1 and 2 shall apply *mutatis mutandis*. Where a common representative has not been identified, the Office shall, within 2 months, invite the applicant to appoint a common representative.

(4) Where the invitation referred to under paragraph 3 is not complied with, the application shall be deemed withdrawn.

(5) Where the applicant changes the appointed representative or appoints another representative apart from the representative on record to conduct a specific action with the Office, such representative shall be allowed only upon submission of a power of attorney and subject to payment of the prescribed fee.

(6) Where a representative has been appointed, notifications shall be addressed to that representative.

Rule 73 Authorizations

(1) The authorization of the applicant's representative shall be evidenced by a power of attorney issued and signed by the applicant, which shall be filed within 2 months after filing the application.

(2) Where a representative fails to submit the authorization referred to in paragraph 1, the Office shall invite the applicant to comply within 1 month, failing which the application shall be deemed withdrawn.

(3) The authorization may cover one or more ARIPO patent applications or ARIPO patents.

(4) Where the authorization referred to in paragraph 3 covers prior application (s), it shall be subject to the payment of the prescribed fee.

Rule 74

Filing and Transmission of the Request for Conversion

(1) The request for conversion referred to in Section 53 shall be filed within 3 months from the date of the refusal by the Office and shall specify the Designated States in which the procedure for the grant of a national patent is desired.

(2) The Office shall transmit the request together with copies of the application and all relevant documents to the industrial property offices of the Designated States.

CHAPTER 20

**INTERNATIONAL APPLICATIONS UNDER
THE PATENT COOPERATION TREATY (PCT)**

Rule 75

ARIPO as a Receiving Office

(1) The Office shall be competent to act as a receiving Office within the meaning of the Patent Cooperation Treaty if the applicant is a resident or a national of a Contracting State to this Protocol and the Patent Cooperation Treaty. If the applicant chooses the Office as a receiving Office, the international application shall be filed directly with the Office.

(2) Where the Office acts as a receiving Office under the Patent Cooperation Treaty, the international application shall be filed in English.

(3) Where an international application is filed with the Office as receiving Office under Section 57, the transmittal fee referred to in Rule 14 of the Regulations under the Patent Cooperation Treaty shall be paid within 1 month from the filing of the application.

(4) The applicant may be represented by an attorney, agent or legal practitioner who has the right to represent applicants before the industrial property Office of a Contracting State, which is also bound by the Patent Cooperation Treaty.

Rule 76

***ARIPO as a Designated or Elected Office;
Requirements for Entry into the ARIPO Regional Phase***

(1) In respect of an international application under Section 58, the applicant shall perform the following acts within 31 months from the date of filing of the application or, where priority has been claimed, from the priority date-

- (a) furnish an English translation of the international application to the Office if the international application was published in a language other than English;

- (b) pay the following fees, as prescribed in the Schedule of Fees, to the Office-
 - (i) the application fee;
 - (ii) the designation fee per country designated; and
 - (iii) subject to Section 56(3) the annual maintenance fees which have become due;
- (c) where the applicant's ordinary residence or principal place of business is not in a Contracting State, which is also bound by the Patent Cooperation Treaty, appoint as his representative an attorney, agent or legal practitioner who has the right to represent applicants before the industrial property office of such a Contracting State;
- (d) specify the application documents, as originally filed or as amended, on which the ARIPO grant procedure is to be based; and
- (e) file, where applicable, a certificate of exhibition referred to in Rule 40.

(2) In respect of an international application, the applicant shall file the request for examination as provided for in Section 45(1) and Rule 44.

(3) For the purpose of Rule 47(5), an international search report established for an international application for which the Office acts as designated Office under Article 2(xiii) of the Patent Cooperation Treaty shall be taken into consideration.

(4) For the purpose of Rule 47(5), an international preliminary examination report established for an international application for which the Office acts as elected Office under Article 2(xiv) of the Patent Cooperation Treaty shall be taken into consideration.

(5) Where the translation referred to in paragraph 1(a), or the request for examination referred to in paragraph 2 is not filed in due time, or where the filing fees referred to in paragraph 1(b) are not paid in due time, the ARIPO patent application shall be deemed to be withdrawn.

CHAPTER 21 REGULATION FOR UTILITY MODEL

Rule 77

Applicability of Regulations Relating to Patent

The Rules relating to patent applications, particularly Rules 1 to 43, 45 to 49, and 51 to 74, shall apply *mutatis mutandis* to utility models or utility model applications.

Rule 78

Examination as to Substance

(1) For purposes of the examination under Section 63(1) of the Protocol, the Office may transmit the application together with all relevant documents to an authority specified in the Administrative Instructions.

(2) The Rules relating to patent applications, particularly Rule 50(5) and (6) shall apply *mutatis mutandis*.

CHAPTER 22
REGULATION FOR INDUSTRIAL DESIGN

Rule 79
Applicability of Regulations Relating to Patents

The Rules relating to patent applications, particularly Rules 1 to 12, 21, 23 to 25, 28, 32 to 34, 36 to 40, 42, 54, and 62 to 74, shall apply *mutatis mutandis* to industrial designs or applications thereunder, as the case may be.

Rule 80
Filing Date

(1) The Office shall accord as the filing date the date on which the application was received by the Office or the industrial property office of the Contracting State with which the application was filed, provided that the application fulfils the requirements of Section 69(1)(a) and (b).

(2) If on the date on which the application was received by the Office or the industrial property office of the Contracting State, it did not fulfil the requirements referred to in paragraph 1, the Office shall accord as the filing date the date on which the application fulfilled the requirements.

(3) Where the application does not comply with the requirements referred to in paragraph 1, the Office shall invite the applicant to comply with the requirements within 2 months.

(4) The Office shall notify the applicant and the Industrial Property Office of each Designated State of the filing date of the application.

Rule 81
Industrial Design Classification

The Office shall use the classification adopted by the Locarno Agreement establishing an International Classification for Industrial Design (as revised from time to time) referred to as the Locarno classification.

Rule 82
Statement of Novelty

For the purpose of Section 69(1)(c), the applicant shall furnish a statement of novelty within 2 months from the filing date.

Rule 83
Payment of Maintenance Fees

(1) The annual maintenance fees payable under Section 21(1) shall be as prescribed in the Schedule of Fees.

(2) The Rules relating to patent applications, particularly Rule 36(3) to (6), (9) and (10) shall apply *mutatis mutandis*.

Rule 84
Examination on Filing

(1) Upon receiving the application, the Office shall examine it for compliance with the requirements of Section 69(1)(a) and (b).

(2) Where the Office finds that the application does not comply with paragraph 1, it shall invite the applicant to correct the application within a period of 2 months.

(3) If the applicant fails to comply with the invitation referred to in paragraph 2, the application shall be deemed withdrawn.

(4) Where the application fulfils the requirements of paragraph 1, the Office shall accord the filing date.

(5) The Office shall notify the applicant and the industrial property office of each Designated State of the filing date of the application.

Rule 85
Examination as to Formal Requirements

(1) Where an ARIPO industrial design application has been accorded a filing date, the Office shall examine the application for compliance with the requirements of Sections 19(1), 32 and 69; and Rules 4, 8(1), 8(2), 25, 28, 32, 37 and 38 have been satisfied.

(2) The Rules relating to patent applications, particularly Rule 43(2) and (3) shall apply *mutatis mutandis*.

Rule 86
Examination as to Substance

(1) For the purpose of the examination referred to under Section 72, each Designated State may, within 4 months from the receipt of the notification referred to in Section 72(2), make a written communication of the outcome of the substantive examination to the Office.

(2) The Rules relating to patent applications, particularly Rule 5(5) to (7), shall apply *mutatis mutandis*.

Rule 87
Publication of ARIPO Industrial Design Application

(1) The Office shall publish a notice of an application to register an industrial design in the ARIPO Industrial Property Journal.

(2) The publication shall contain the bibliographic data and reproduction of the design as filed.

(3) The designated Contracting State(s) shall be indicated in the published application.

Rule 88
Decision to Register Industrial Design

(1) Where the Office, taking into account the examination referred to in Rule 86, decide to register an industrial design pursuant to Section 73, it shall notify the applicant together with the copy of the communication upon which the decision is based.

(2) The applicant shall make payment of the registration and publication fees within 1 month from the expiration of the period referred to in Rule 86(1), failure to which the application shall application shall lapse.

Rule 89
Registration of ARIPO Industrial Design

(1) Upon the expiry of 4 months provided for under Rule 86, and subject to payment of the registration and publication fee in accordance with Rule 88(2), the Office shall-

- (a) register the industrial design;
- (b) publish in the ARIPO Journal a reference to the registration;
- (c) record the registration in the Industrial Design Register;
- (d) issue to the applicant a certificate of the registration of the industrial design and a copy of the industrial design; and
- (e) transmit to each Designated State for which the industrial design is registered a copy of the certificate and a copy of the industrial design.

Rule 90
Publication of ARIPO Industrial Design

(1) The publication of a reference to the registration in the ARIPO Journal shall include the following-

- (a) the number of the industrial design;
- (b) the name and address of the owner of the industrial design;
- (c) the name and address of the originator or creator;
- (d) the name and address of the applicant's representative, where applicable;
- (e) the filing date of the application;
- (f) where priority has been claimed and the claim has been accepted, a statement of that priority, the priority date and the name of the country or countries in which or for which the earlier application was filed;
- (g) the effective date of the registration of the industrial design;
- (h) the title of the industrial design;
- (i) the most illustrative reproduction of the industrial design;
- (j) the symbol of the International Classification; and
- (k) the Contracting States for which the industrial design is registered.

Rule 91
Certificate for an ARIPO Industrial Design

(1) The Certificate of Registration, which shall be signed by the Director General of the Office, shall contain

- (a) the number of the industrial design;
- (b) the name and address of the owner of the industrial design;
- (c) the filing date and priority date, if any, of the application;
- (d) the effective date of the registration of the industrial design;
- (e) the title of the industrial design; and
- (f) the Contracting States for which industrial design is registered.

CHAPTER 23
REGULATIONS RELATING TO PAYMENT OF FEES

Rule 92
Fees Provided for in the Protocol and the Regulations

The fees to be paid to the Office under Section 4, in respect of any matters arising under the Protocol and these Regulations, shall be those specified in the Schedule of Fees, annexed to these Regulations and forming part of the Regulations.

Rule 93
The Due Date for Fees

Fees in respect of which the due date is not specified in the Protocol and these Regulations shall be due on the date of receipt of the request for the service incurring the fee concerned.

Rule 94
Payment of Fees

(1) The fees due to the Office shall be paid in U.S. dollars directly to the Office.

(2) Notwithstanding paragraph 1, where the applicant is a national of the Contracting State in which the application is filed, the industrial property office concerned may-

- (a) accept payment of application and designation fees, as prescribed in the Schedule of Fees; and
- (b) request the Office to debit its account in ARIPO with the amount of such fees.

(3) Notwithstanding paragraph 1, where the applicant or agent has a Funds Held Account with the Office, the applicant may make payment using the funds held.

(4) The ARIPO Office shall issue a receipt for payment of any prescribed fee.

Rule 95
Particulars Concerning Payments

- (1) Every payment shall indicate, where applicable, the following particulars-
- (a) application number, reference number or title;
 - (b) agent or applicant name;
 - (c) name of fee;
 - (d) the specific amount paid; and
 - (e) payment method.

(2) Where the payment is to be made through bank transfer, the applicant shall provide proof of payment with the particulars referred to in paragraph 1.

(3) Where the payment is to be made through fund held, the applicant shall provide the instruction to the Office for payment with the particulars referred to in paragraph 1.

(4) Where the purpose of the payment cannot immediately be established by the Office, the payment shall be considered to be made on the date on which the purpose of the payment is established.

Rule 96
The Date of Payment

(1) Where the payment is made through a bank, the date on which any payment shall be considered to have been made to the Office shall be the date on which the paid amount is reflected in a bank account held by the Office.

(2) Where payment is made using funds held, the date on which any payment shall be considered to have been made to the Office shall be the date on which the instruction was received.

(3) Where payment is made through the Contracting States to ARIPO, the date on which any payment shall be considered to have been made to the Office shall be considered the date the Office received instruction from the Contracting State.

Rule 97
Insufficiency of the Amount Paid

(1) A payment shall be deemed to have been made only if the full amount of the fee has been paid before the due date.

(2) Where the fee is not paid in full, the Office shall issue a receipt notifying the applicant about the insufficiency.

(3) Where the outstanding fees referred to in paragraph 1 are not paid on the due date, the surcharge prescribed under the Schedule of Fee shall apply.

**CHAPTER 24
APPEAL AND APPEAL PROCEDURE**

***Rule 98
Notice of Appeal and Statement of Grounds***

(1) An person intending to appeal to the Board from a decision of the Office shall, within 90 days of the date of the decision of the Office, subject to the payment of the prescribed fee, file with the Secretary of the Board a notice of appeal.

(2) The notice of appeal shall contain-

- (a) the name and the address of the appellant;
- (b) the decision impugned; and
- (c) the grounds of appeal.

(3) The appellant shall send a copy of the notice of appeal to the Director General.

***Rule 99
Grounds of Appeal***

(1) The grounds of appeal shall set out concisely and under distinct heads the grounds upon which the appellant intends to rely at the hearing of the appeal.

(2) An appeal which does not disclose clear and reasonable ground(s) shall not be permitted.

(3) The appellant shall not rely on any ground of appeal not stated in the notice of appeal.

***Rule 100
Application for Extension of Time to Appeal***

(1) The applicant may request for an extension of time to appeal before the expiry of the time limit referred to in Rule 98(1), subject to the payment of the prescribed fee.

(2) The application for an extension of time to appeal shall be supported by an affidavit setting out the reasons for the application.

(3) The application, accompanied by supporting documents, shall be filed with the Secretary of the Board, and a copy shall be served on the Director General.

***Rule 101
Evidence***

(1) The evidence used on appeal shall be the same as that used before the Office, and no fresh evidence shall be given except with the leave of the Board.

(2) The Board may subpoena any person to give expert evidence at any stage of the appeal.

Rule 102
Withdrawal of Appeal

(1) An appellant may, at any time before the hearing, withdraw an appeal by giving notice to the Secretary of the Board.

(2) Upon such notice being given, the appeal shall be deemed to have been withdrawn.

Rule 103
Frivolous or Vexatious Appeals

Where it appears to the Board that a notice of appeal discloses grounds of appeal which are frivolous or vexatious and that the appeal can be determined without a hearing, the Board may, without hearing, dismiss the appeal.

Rule 104
Representation Before the Board

(1) An appellant may be represented before the Board by an attorney, agent or legal practitioner who has the right to represent applicants before the industrial property office of any Contracting State of the Harare Protocol.

(2) Where the appellant's ordinary residence or principal place of business is not situated in any Contracting State of the Harare Protocol the applicant shall be represented.

CHAPTER 25
FINAL PROVISIONS

Rule 105
Administrative Instruction; Examination Guidelines

The Director General shall establish Administrative Instructions and Guidelines for the Examination of ARIPO applications, which provide details and guidance regarding the application of these Regulations and shall not conflict with the provisions of the Protocol and these Regulations.

Rule 106
Amendment to the Regulation

(1) These Regulations may be amended at the instance of the ARIPO Director General or any member of the Contracting State during the Administrative Council session.

(2) The adoption of the amendments these regulations shall be by a single majority of the Contracting States.

(3) The Administrative Council shall determine the date of entry into force of the amendments.

SCHEDULE I:

FEES

Harare Protocol on Patents, Utility Models and Industrial Designs

PATENT FEES

NO:	NAME OF FEE	AMOUNT (US DOLLARS)
1	Application fee	
	(a) Paper filing	290
	(b) Electronic filing (including 20% reduction)	232
2	Designation fee per designated State	100
3	Request for substantive examination	1000
4	Prior art search	300
5	Publication or republication	500
6	Surcharge for each additional page from 31 to 100 pages	20
	Surcharge for additional pages from 101 and each subsequent page thereafter	30
7	Surcharge for each additional claim from 11 to 50 claims	100
	Surcharge for additional claims from 51 and each subsequent claim thereafter	200
8	Request for early grant and publication	1000
9	Grant	500
10	Annual maintenance fee per designated State	
	1 st anniversary	50
	2 nd anniversary	70
	3 rd anniversary	90
	4 th anniversary	110
	5 th anniversary	130
	6 th anniversary	150
	7 th anniversary	170
	8 th anniversary	190
	9 th anniversary	210
	10 th anniversary	230
	11 th anniversary	250
	12 th anniversary	270
	13 th anniversary	290
	14 th anniversary	310
	15 th anniversary	330
	16 th anniversary	380

Harare Protocol on Patents, Utility Models and Industrial Designs

PATENT FEES (Cont'd)

NO:	NAME OF FEE	AMOUNT (US DOLLARS)
	17 th anniversary	430
	18 th anniversary	480
	19 th anniversary	530
11	Surcharges for late payment of renewal	40% of the fee to be paid
	Surcharge for late payment of any outstanding amount	40% of the fee to be paid
12	Request for alteration of name or address or for correction of error(s)	
	1 st request	100
	2 nd request and subsequently thereafter	200
13	Request for copies of extracts from Register from files	
	Electronic copy	150
	Additional fee for hard copy per page	50
14	Certified copy of ARIPO patent application or granted patent (electronic format)	200
	Certified copy of ARIPO patent application or granted patent up to 30 pages (hard copy)	500
	From page 31 and any subsequent page thereafter per page	20
15	Request for priority document of ARIPO patent/application	500
16	Transmittal fees for an international application filed with the ARIPO Office as the Receiving Office under the Patent Cooperation Treaty (PCT)	100
17	Preparation of abstract	200
18	Conversion of an ARIPO patent application to a national application	200
19	Conversion of an ARIPO patent application to a utility model application	200
20	Registration of assignments, licences and other rights	200
21	Request for an extension of the time limit	
	1 st request for the extension	200
	2 nd request for the extension of the same case	300

Harare Protocol on Patents, Utility Models and Industrial Designs

PATENT FEES (Cont'd)

NO:	NAME OF FEE	AMOUNT (US DOLLARS)
	3 rd request for the extension of the same case	400
	Further requests for extensions of the same case/per request	500
22	Search request (status, validity)	200
	Search request (freedom to operate, etc.)	300
23	Change of representative per application	200
24	Request for replacement of lost or destroyed certificate	300
25	Request for restoration of rights	600
26	Request for post-grant amendment	1000
27	Voluntary amendment of claims, descriptions and drawings	300
28	Request for expedited or delayed examination	1000
29	Request for addition of designated States (per State)	100
30	Request for reduction of designated States (per State)	100
31	Request for early publication of the application	500
32	Late sequence listings furnishing	100
33	Request for a sample of the biological material	500
34	Re-examination after decision to grant	1000
35	Request for notification in non-electronic format per page	100
36	Appeal	500
37	Technical opinion	3000
38	Certificate of grant (hard copy)	200

Harare Protocol on Patents, Utility Models and Industrial Designs

UTILITY MODELS

NO:	NAME OF FEE	AMOUNT (US DOLLARS)
1	Application fee:	
	(a) Paper filing	100
	(b) Electronic filing (including 20% reduction)	80
2	Designation fee (per country)	20
3	Registration and publication fee	50
4	Republication fee	20
5	Maintenance fees (per designated State):	
	1 st year	20
	2 nd year	25
	3 rd year	30
	4 th year	35
	5 th year	40
	6 th year	45
	7 th year	50
	For each year thereafter	10
6	Surcharge for late payment of annual maintenance fees	30
	For every month or fraction thereof that the fees remain unpaid	5
7	Certified copy per page	2
	and for every page in excess of 10 pages	1
8	Request for priority document	20
9	Consultation of Register	2
	and for every page in excess of 10 pages	1
10	Correction of errors:	
	the first error	20
	all additional errors	30
	Non-typographic corrections	30
11	Certified copy of an entry of the Register	20
12	Conversion to national application	50
13	Conversion of an ARIPO utility model application to a national application	300
14	Registration of assignments, transmissions, alteration of registered particulars, reduction of states, etc.	30
	US \$1 for every page in excess of 10 pages	

Harare Protocol on Patents, Utility Models and Industrial Designs

UTILITY MODELS (Cont'd)

NO:	NAME OF FEE	AMOUNT (US DOLLARS)
15	Request for any extension	10/extension
16	Status search fee	10
17	Change of representative	50
18	Request for replacement of lost or destroyed certificate	50
19.	Restoration of Rights:	
	Filing PCT application in Regional phase after 31 months	30
	Lapsed application due to non-observance of time limits	30
	And for every month or fraction thereof that the fee remains unpaid	5
20	Request for limitation / post-registration amendment	100
21	Fee for expedited/delayed examination as to substance	500
22	Request for addition of designated States	20 per State
23	Request for reduction of designated States	20 per State
24	Early publication of application	250

Harare Protocol on Patents, Utility Models and Industrial Designs

INDUSTRIAL DESIGNS FEES

NO:	NAME OF FEE	AMOUNT (US DOLLARS)
1	Application fee	
	(a) Paper filing	100
	(b) Electronic filing, including 20% reduction)	80
2	Designation per country designated	20
3	Registration and publication	150
4	Annual maintenance fee in respect of each designated State	
	1 st anniversary	10
	2 nd anniversary	15
	3 rd anniversary	20
	4 th anniversary	25
	5 th anniversary	30
	6 th anniversary	35
	7 th anniversary	40
	8 th anniversary	45
	9 th anniversary	50
	10 th anniversary	55
	11 th anniversary	60
	12 th anniversary	60
	13 th anniversary	60
	14 th anniversary	60
	Surcharge for late payment of annual maintenance fees	30% of the fees to be paid
5	Surcharge for late payment of any outstanding amount	30% of the fees to be paid
6	Certified copy per page up to 10 pages	20
	and for every page in excess of 10 pages	5
7	Correction of errors (per request):	
	The first error	20
	All additional errors thereafter	30

Harare Protocol on Patents, Utility Models and Industrial Designs

INDUSTRIAL DESIGNS FEES (Cont'd)

NO:	NAME OF FEE	AMOUNT (US DOLLARS)
8	Conversion of a ARIPO design application to national application	100
9	Registration of assignments, transmissions, alteration of registered particulars, etc.	100
	Request for extension	
	1 st Request	50
10	2 nd Request	75
	3 rd Request	100
	Further requests	150
11	Status search fee (status, validity, freedom to operate, etc.)	50
12	Change of representative	100
13	Request for priority document of an ARIPO applications	50
14	Request for replacement of lost or destroyed certificates	100
15	Restoration of rights	100
16	Request for addition of designated States (per State)	30
17	Request for reduction of designated States (per State)	30
18	Certificate of registration fee (hard copy)	100

SCHEDULE II:

**FORMS UNDER THE
REGULATIONS FOR IMPLEMENTING
THE HARARE PROTOCOL ON PATENTS,
UTILITY MODELS AND INDUSTRIAL DESIGNS
WITHIN THE FRAMEWORK OF
THE AFRICAN REGIONAL INTELLECTUAL
PROPERTY ORGANIZATION (ARIPO)**

LIST OF FORMS

Form No.	Description/Title
1	Request for alteration of name or address or for correction of error(s)
2	Request for inspection of register; copies of extracts from register
3	Request for grant of patent or registration of utility model
4	Appointment of representative (power of attorney)
5	Request for voluntary amendment(s)
6	Request for the issue of a sample of deposited biological material
6A	Certification of request for the issue of a sample of deposited biological material
7	Invitation by receiving office to correct application
8	Acknowledgement by receiving office of receipt of application
9	Transmittal by receiving office to ARIPO Office of application
10	Notification by receiving office of transmittal of application
11	Invitation by ARIPO Office to correct application
12	Notification of filing date
13	Notification of compliance with formal requirements
13A	Request for substantive examination
13B	Request for accelerated examination under the Patent Prosecution Highway (PPH) pilot program
13C	Request for expedited or delayed examination of patent or utility model application
14	Notification of non-compliance with formal requirements and invitation to comply
14A	Request by the applicant to comply with formal requirements
15	Notification of decision to refuse application on grounds of non-compliance with formal requirements and time limits
16	Request by ARIPO Office for information concerning corresponding foreign applications, patents or other titles of protection
17	Declaration of withdrawal of patent application (or patent); addition or reduction of designated States
18	Notification of non-compliance with substantive requirements and invitation to submit observations and/or amended application
19	Request to reconsider decision that substantive requirements have not been complied with

Harare Protocol on Patents, Utility Models and Industrial Designs

Form No.	Description/Title
20	Notification of decision refusing to grant or registration
21	Notification of decision to grant a patent or register a utility model
21A	Communication by designated State of a notice of acceptance of an application for grant of patent or registration of utility model or industrial design
22	Communication by designated State that patent or utility model shall have no effect in its territory
22A	Request by applicant to designated State to reconsider its decision that patent or utility model shall have no effect in its territory
22B	Communication by designated State of notice of acceptance of application after reconsidering applicant's request
22C	Communication by designated State of notice of final refusal of application after reconsidering applicant's request
23	Request for conversion of application into national application
23A	Request for conversion of ARIPO patent application into utility model application or utility model into ARIPO patent application
24	Transmission of the request for conversion of ARIPO application to designated State
25	ARIPO patent
26	Certificate of grant of ARIPO patent or registration of utility model
27	Request for post-grant amendment
27A	Notification of decision to accept or reject the request for post-grant amendments
28	Request for registration of industrial design
29	Invitation by receiving office to correct application for registration of industrial design
30	Transmittal by receiving office to ARIPO Office of application for registration of industrial design
31	Notification by receiving office of transmittal of application for registration of industrial design
32	Invitation by ARIPO Office to correct application for registration of industrial design
33	Notification of filing date of application for registration of industrial design
34	Notification of compliance with formal requirements for registration of industrial design
34A	Communication by designated State that registration of industrial design shall have effect in its territory

Harare Protocol on Patents, Utility Models and Industrial Designs

Form No.	Description/Title
35	Notification of non-compliance with formal requirements and invitation to comply
35A	Request by the applicant to comply with formal requirements
35B	Notification of decision to refuse application on grounds of non-compliance with formal requirements and time limits
36	Declaration of withdrawal of industrial design application; addition or reduction of designated States
37	Communication by designated State that registration of industrial design shall have no effect in its territory
37A	Notification or request to accept or reconsider decision by designated State that industrial design shall have no effect in its territory
37B	Communication by designated State of notice of acceptance of application after reconsidering applicant's request
37C	Communication by designated State of notice of final refusal of application after reconsidering applicant's request
37D	Communication by designated State that registration of industrial design shall have effect in its territory
38	Request for conversion of application for registration of industrial design into national application
39	Certificate of registration of industrial design
40	Notification by designated State of registration of licence, assignment, transmission or other similar right pertaining to patent or utility model or industrial design, granted, registered or applied for under protocol.
41	Application to register assignment, transmission or other form of transfer
42	Application to register licence or other similar right
43	Notice of recordal of licence/assignment/change of applicant's details
44	Request for extension of time limits
45	Grant of extension of time limits
46	Notification of withdrawal of application; reduction of designated States in relation to an application for a patent or an application for registration of a utility model or industrial design
47	Acknowledgement of receipt
48	Request for restoration of rights
49	Notification of decision to accept or reject the request for restoration of right



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

ARIPO Form No. 1 HARARE PROTOCOL REQUEST FOR ALTERATION OF NAME OR ADDRESS OR FOR CORRECTION OF ERROR(S) (Rule 54) To: Director General ARIPO Office P.O. Box 4228 HARARE Zimbabwe	For Official Use Received on: <hr/> Applicant's or Representative's File Reference:												
I. IN THE MATTER OF: <table style="width: 100%; border: none;"> <tr> <td style="width: 60%;">[] Application for Grant of Patent No.:</td> <td>Filing date:</td> </tr> <tr> <td>[] Patent No:</td> <td>Date of grant:</td> </tr> <tr> <td>[] Application for Utility Model No:</td> <td>Filing date:</td> </tr> <tr> <td>[] Utility Model No:</td> <td>Registration date:</td> </tr> <tr> <td>[] Application for Registration of Industrial Design No:</td> <td>Filing date:</td> </tr> <tr> <td>[] Industrial Design No:</td> <td>Registration date:</td> </tr> </table>		[] Application for Grant of Patent No.:	Filing date:	[] Patent No:	Date of grant:	[] Application for Utility Model No:	Filing date:	[] Utility Model No:	Registration date:	[] Application for Registration of Industrial Design No:	Filing date:	[] Industrial Design No:	Registration date:
[] Application for Grant of Patent No.:	Filing date:												
[] Patent No:	Date of grant:												
[] Application for Utility Model No:	Filing date:												
[] Utility Model No:	Registration date:												
[] Application for Registration of Industrial Design No:	Filing date:												
[] Industrial Design No:	Registration date:												
II. PERSON(S) REQUESTING Name: In the capacity of: Address:													
III. REQUEST I/We hereby request that: (a) the following entry in the: [] Patents Register [] Utility Models Register [] Industrial Designs Register in relation to the above-identified matter*: be altered/corrected: [] as shown in red ink on the annexed copy of the said entry or document [] as follows (b) the following agent/representative has been appointed by applicant as the NEW agent on record that they be recorded in the: [] Patents [] Utility Model [] Industrial Designs Register in relation to the above-identified matter** : Name:..... Address:..... (Specify):.....													

Harare Protocol on Patents, Utility Models and Industrial Designs

ARIPO Form No. 1 (cont'd)

IV. SIGNATURE(S)*** Date
--

* Clearly identify the matter to be altered or corrected.

** Clearly identify the request.

*** Type name(s) under signature(s).

Harare Protocol on Patents, Utility Models and Industrial Designs



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

<p>ARIPO Form No. 2 HARARE PROTOCOL</p> <p>REQUEST FOR INSPECTION OF REGISTER; COPIES OF EXTRACTS FROM REGISTER (Rules 69; Rule 70)</p> <p>To: Director General ARIPO Office P.O. Box 4228 HARARE Zimbabwe</p>	<p style="text-align: center;">For Official Use</p> <p>Received on:</p> <hr/> <p>Applicant's or Representative's File Reference:</p>
<p>I. PERSON(S) REQUESTING</p> <p>Name:</p> <p>Address:</p>	
<p>II. REQUEST</p> <p>I/We hereby request for</p> <p><input type="checkbox"/> inspection of register</p> <p><input type="checkbox"/> (number) copies of the following extract(s)*:</p> <p>..... from the</p> <p><input type="checkbox"/> Patents Register <input type="checkbox"/> Industrial Designs Register <input type="checkbox"/> Utility Model Register relating to:</p> <ul style="list-style-type: none"> • Application for Grant of Patent No.:..... Filing date:..... Patent No.:..... Date of grant:..... • Application for Registration of Industrial Design No.:..... Filing date:..... Registration of Industrial Design No.:..... Registration date:..... • Application for Registration of Utility Model No.:..... Filing date:..... Registration of Utility Model No.:..... Registration date:..... <p>The written permission of the applicant/person who withdrew the application accompanies this request. **</p>	
<p>III. SIGNATURE(S)***</p> <p>.....</p> <p style="text-align: right;">Date</p>	

* Clearly identify the extract(s) whose copy is requested.

** Please note that Rule 68(2) requires the written permission of the applicant, or the person who withdrew an application, in order to obtain copies of extracts from the files.

*** Type name(s) under signature(s).

Harare Protocol on Patents, Utility Models and Industrial Designs



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

<p>ARIPO Form No.3 HARARE PROTOCOL</p> <p>REQUEST FOR GRANT OF PATENT OR REGISTRATION OF UTILITY MODEL (Rule 28)</p> <p>To: Director General ARIPO Office P.O. Box 4228 HARARE Zimbabwe</p>	<p style="text-align: center;">For Official Use</p> <p>Date of Receipt by Receiving Office:</p> <p>Application No. given by receiving Office: (Receiving Office's stamp)</p> <p>Date of receipt by ARIPO Office:.....</p> <p>Application No. given by ARIPO Office:..... (ARIPO Office's stamp)</p> <p>FILING DATE:</p> <p>Applicant's or Representative's File Reference:</p> <p>PCT Application No.:</p> <p>PCT Publication No.:</p>
<p>I. IN THE MATTER OF:</p> <p style="padding-left: 40px;"><input type="checkbox"/> Application for Grant of Patent <input type="checkbox"/> Application for Registration of Utility Model</p>	
<p>II. TITLE OF INVENTION:</p>	
<p>III. APPLICANT(S) Additional information is contained in the supplemental box <input type="checkbox"/></p> <p>Name:</p> <p>Address:</p> <p>Nationality:</p> <p>Country of residence or Principal place of business:</p> <p>Telephone Number: Mobile Number: E-mail:</p>	
<p>IV. REPRESENTATIVE</p> <p>The following representative has been appointed by the applicant(s) in the power of attorney <input type="checkbox"/> accompanying this request <input type="checkbox"/> to be filed within two months from the filing of this request</p> <p>GPA Reference No.:</p> <p>Name:</p> <p>Address:</p> <p>Telephone Number: Mobile Number: E-mail:</p>	

* Indicate name and address of the receiving Office (i.e., the industrial property office with which this request is filed).

Harare Protocol on Patents, Utility Models and Industrial Designs

ARIPO Form No. 3 (cont'd)

V. DESIGNATION OF STATES					
<p>VI. INVENTOR</p> <p>The inventor is the applicant <input type="checkbox"/> Additional information is contained in supplemental box <input type="checkbox"/></p> <p>If inventor is not the applicant:</p> <p style="padding-left: 20px;">Name:</p> <p style="padding-left: 20px;">Address:</p> <p>The statement specifying the basis of the applicant's right to the patent or utility model accompanies this request <input type="checkbox"/>.</p> <p>The inventor waives the right to be mentioned in accordance with Rule 9(1) <input type="checkbox"/>.</p>					
<p>VII. DIVISIONAL APPLICATION/NEW APPLICATION UNDER RULE 7</p> <p>This application is a divisional application <input type="checkbox"/>.</p> <p>This application is filed by entitled person(s) under Rule 7 <input type="checkbox"/>.</p> <p>The benefit of the <input type="checkbox"/> filing date <input type="checkbox"/> priority date of the initial application is claimed in as much as the subject matter of the present application is contained in the initial application identified below.</p> <p style="padding-left: 20px;">Initial Application No.:</p> <p style="padding-left: 20px;">Date of filing of initial application:</p>					
<p>VIII. DISCLOSURES TO BE DISREGARDED FOR PRIOR ART PURPOSES</p> <p>Disclosure occurred at an official or officially recognized exhibition not more than 6 months before the date of filing or priority date of the present application <input type="checkbox"/>.</p> <p>Additional information is contained in a statement accompanying this request <input type="checkbox"/>.</p>					
<p>IX. PRIORITY DECLARATION (if any)</p> <p>The priority of (an) earlier application(s) is claimed as follows <input type="checkbox"/></p> <p style="padding-left: 40px;">WIPO Digital Access Service (DAS) Reference No.:</p> <p>The priority of more than one earlier application is claimed, the data are indicated in the supplemental box <input type="checkbox"/></p>					
Application No.	Country	Filing Date	DAS Control Code	Symbol of the IPC	Not yet Allocated*
					[]
					[]
					[]
<p>a. The certified copy of the earlier application:</p> <p style="padding-left: 20px;"><input type="checkbox"/> accompanies this request.</p> <p style="padding-left: 20px;"><input type="checkbox"/> will be furnished within three months from the filing of this request.</p> <p>b. The English translation of the earlier application:</p> <p style="padding-left: 20px;"><input type="checkbox"/> accompanies this request.</p> <p style="padding-left: 20px;"><input type="checkbox"/> will be furnished within six months from the filing of this request.</p>					

* Check the relevant box if the application has not yet been allocated an IPC symbol.

Harare Protocol on Patents, Utility Models and Industrial Designs

ARIPO Form No. 3 (cont'd)

X. REQUEST FOR SUBSTANTIVE EXAMINATION*

A request for substantive examination and applicable fees in accordance with Rule 44

accompanies this request.

will be furnished within 3 years from filing date.

XI. SUPPLEMENTAL BOX**

XII. CHECKLIST

A. This application contains the following:

- 1. request page(s); 2. descriptionpage(s)
- 3. claim(s) page(s); 4. abstractpage(s)
- 5. drawing(s).....page(s); 6. sequence listing.....page(s)

Total: pages.

B. This request, as filed, is accompanied by the items checked below:

- separate signed power of attorney on ARIPO Form No. 4.
- statement specifying the basis of the applicant's right to the patent.
- statement that certain disclosures be disregarded.
- priority document(s) (certified copy of earlier application(s)).
- PCT document(s) download from WIPO.
- English translation of earlier application(s) on which priority declaration is based.
- proof of payment for the fees paid.
- undertaking to pay the prescribed fees within 14 days.
- other document(s) (specify)

C. Figure numberof the drawings (if any) is suggested to accompany the abstract for publication.

XIII. SIGNATURE(S)***

.....
Date

* Check the relevant box to indicate that the Request for Substantive Examination (Form 13A) has been submitted with the application or that it will be furnished within 3 years from the filing date or from the earliest priority claimed.

** Use this box if any of the boxes are not large enough to contain information to be furnished. Indicate the boxes continued in this box by their Roman numerals and title (e.g., "II. APPLICANT(S) (continued)").

*** Type name(s) under signature.

Harare Protocol on Patents, Utility Models and Industrial Designs

ARIPO Form No. 3 (cont'd)

TO BE FILLED IN BY THE RECEIVING OFFICE OR THE ARIPO OFFICE (*as the case may be*)

1. Date of receipt by Receiving Office of corrections, later filed papers or drawings completing the application:
2. Date of receipt by ARIPO Office of corrections, later filed papers or drawings completing the application:
3. Date fees received: By:



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

ARIPO Form No. 4 HARARE PROTOCOL APPOINTMENT OF REPRESENTATIVE (POWER OF ATTORNEY) (Rule 72; Rule 73) To: Director General ARIPO Office P. O. Box 4228 HARARE Zimbabwe	<p align="center">For Official Use</p> Received on: Applicant's or Representative's File Reference:
<p>I/We, the undersigned,</p> <p>Name:</p> <p>Address:</p> <p>hereby appoint (Name):</p> <p>Address:</p> <p>Telephone Number:..... E-mail Address:.....</p> <p>to act as my/our representative in all proceedings relating to:</p> <p><input type="checkbox"/> Application for grant of patent and any patent granted pursuant thereto ().</p> <p><input type="checkbox"/> Application for registration of utility model.</p> <p><input type="checkbox"/> Application for registration of industrial design and any registration effected pursuant thereto (.....)*.</p> <p><input type="checkbox"/> General Power of Attorney</p> <p>and ratify all acts done by the representative on my/our behalf in connection with that (those) matter(s), and request that all notices, requisitions and communications relating thereto be sent to the said representative at his address.</p> <p>Any previous appointment in respect of the same matter(s) is hereby revoked.</p>	
<p>XI. SIGNATURE(S)**</p> <p align="center">.....</p> <p align="right">Date</p>	

* Indicate title of invention and application number, if known.

** Must be signed by the person(s) appointing the representative; type name(s) under signature(s).

Harare Protocol on Patents, Utility Models and Industrial Designs



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

<p>ARIPO Form No. 5 HARARE PROTOCOL</p> <p>REQUEST FOR VOLUNTARY AMENDMENT(S) (Rule 55)</p> <p>To: Director General ARIPO Office P.O. Box 4228 HARARE Zimbabwe</p>	<p style="text-align: center;">For Official Use</p> <p>Received on:</p> <hr/> <p>Applicant's or Representative's File Reference:</p>
<p>I. IN THE MATTER OF:</p> <p><input type="checkbox"/> Application for Grant of Patent No.: Filing date:</p> <p><input type="checkbox"/> Patent No.: Date of grant:</p> <p><input type="checkbox"/> Application for Utility Model No.: Filing date:</p> <p><input type="checkbox"/> Utility Model No.: Registration date:</p> <p><input type="checkbox"/> Application for Registration of Industrial Design No.: Filing date:</p> <p><input type="checkbox"/> Industrial Design No: Registration date:</p>	
<p>II. PERSON(S) REQUESTING</p> <p>Name:</p> <p>In the capacity of:</p> <p>Address:</p>	
<p>III. REQUEST</p> <p>I/We hereby request for voluntary amendment of:</p> <p><input type="checkbox"/> description.</p> <p><input type="checkbox"/> claims.</p> <p><input type="checkbox"/> drawing.</p> <p><input type="checkbox"/> sequence listing.</p> <p><input type="checkbox"/> abstract.</p> <p>The following entry in the: <input type="checkbox"/> Patents Register <input type="checkbox"/> Utility Model Register in relation to the above-identified matter*: be amended as shown in</p> <p><input type="checkbox"/> marked and</p> <p><input type="checkbox"/> cleaned</p> <p>copies of the said entry or document.</p>	
<p>IV. SIGNATURE(S)**</p> <p style="text-align: center;">.....</p> <p style="text-align: right;">Date</p>	

* Clearly identify the matter to be amended.

**Type name(s) under signature(s).



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

ARIPO Form No. 6 HARARE PROTOCOL REQUEST FOR THE ISSUE OF A SAMPLE OF DEPOSITED BIOLOGICAL MATERIAL (Rule 19) To: Director General ARIPO Office P.O. Box 4228 HARARE Zimbabwe	<p align="center">For Official Use</p> Received on: Applicant's or Representative's File Reference:
I. IN THE MATTER OF: <input type="checkbox"/> Application for grant of patent <input type="checkbox"/> Application for registration of utility model Application No.: Filing Date: <input type="checkbox"/> Grant of patent <input type="checkbox"/> Registered utility model Patent/Utility Model No.: Date of grant/register:	
II. APPLICANT(S) Name: Address:	
III. IDENTIFICATION OF THE BIOLOGICAL MATERIAL The accession number of the deposit: Depository institution:	
IV. REQUEST I/We hereby request the issue of a sample of the biological material identified hereunder in accordance with Rule 11.3(a) of the Regulations under the Budapest Treaty on the International Recognition of the Deposit of Microorganisms for the Purpose of Patent Procedure or under a bilateral agreement and Rule 19 of the Regulation under the Harare Protocol.	
V. CERTIFIED PARTY Name:..... Address:..... Email address: Telephone No.:..... Signature: Date:	

Harare Protocol on Patents, Utility Models and Industrial Designs

ARIPO Form No. 6 (cont'd)

VI. DECLARATION FOR THE PURPOSE OF OBTAINING A SAMPLE OF DEPOSITED BIOLOGICAL MATERIAL

The undersigned, identified in the request and hereunder as the certified party, undertakes vis-à-vis the applicant for or proprietor of the patent referred to in Part I not to make the biological material identified in Part III or any biological material derived therefrom available to any third party, and to use that material for experimental purposes only, until such time as the patent application identified in Part I is refused, withdrawn or deemed to be withdrawn, or until the ARIPO patent granted based on that application has expired in all the designated states.

Where the sample of the biological material identified in Part III is to be made available to an expert only, the above undertaking applies until the date on which the ARIPO patent granted based on the patent application identified in Part I expires in all the designated States or where the application is refused, withdrawn or deemed to be withdrawn, for twenty years from the date of filing of the application.

VI. FEE TO BE PAID*

Request fee is paid []

V. SIGNATURE(S)

.....

.....

Date

* The request is not deemed to be filed until after the request fee has been paid.



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

ARIPO Form No. 6A HARARE PROTOCOL CERTIFICATION OF REQUEST FOR THE ISSUE OF A SAMPLE OF DEPOSITED BIOLOGICAL MATERIAL (Rule 19(4)) To*:	For Official Use Received on: Applicant's or Representative's File Reference:
I. IN THE MATTER OF: <div style="display: flex; justify-content: space-between;"> <input type="checkbox"/> Application for grant of patent <input type="checkbox"/> Application for registration of utility model </div> Application No.: Filing Date: <div style="display: flex; justify-content: space-between;"> <input type="checkbox"/> Grant of patent <input type="checkbox"/> Registered utility model </div> Patent/Utility Model No.: Date of grant/register:	
II. APPLICANT(S) Name: Address:	
III. IDENTIFICATION OF THE BIOLOGICAL MATERIAL The accession number of the deposit: Depository institution:	
IV. CERTIFICATION This is to certify that: 1. the patent application/patent, which is specified in Part I above and refers to the deposit of the biological material identified in Part III above: <div style="margin-left: 20px;"> <input type="checkbox"/> has been filed with this Office <input type="checkbox"/> designates for the grant of an ARIPO patent at least one state party to the PCT for which this Office is the designated Office within the meaning of the said treaty <input type="checkbox"/> has been granted by this Office </div> and its subject matter involves the said biological material or the use thereof.	

Harare Protocol on Patents, Utility Models and Industrial Designs

ARIPO Form No. 6A (cont'd)

2. publication for the purposes of the patent procedure has been effected by:

this Office

internationally under the PCT by the International Bureau of WIPO OR

the certified party has a right to a sample before publication under Rule 19(1), first sentence, in conjunction with Section 52(2) of the Harare Protocol.

3. the certified party has a right to a sample of the biological material identified in Part III above under the law governing patent procedure before this Office, and this Office is satisfied that the conditions prescribed by the said law for the issue of such samples have been fulfilled.

4. this certification concerns a deposit pursuant to a bilateral agreement.

I/We hereby request the issue of a sample of the biological material identified hereunder in accordance with Rule 11.3(a) of the Regulations under the Budapest Treaty on the International Recognition of the Deposit of Microorganisms for the Purpose of Patent Procedure or under a bilateral agreement and Rule 19 of the Regulation under the Harare Protocol.

V. SIGNATURE(S)**

.....

.....

Date

* Type name and address of recognised depository institution.

** Type the name of Director General under signature.

Harare Protocol on Patents, Utility Models and Industrial Designs



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

ARIPO Form No. 7 HARARE PROTOCOL INVITATION BY RECEIVING OFFICE TO CORRECT APPLICATION (Rules 21(2); 25; 26(3)) To*:	For Official Use Applicant's or Representative's File Reference:
I. IN THE MATTER OF: <input type="checkbox"/> Application for grant of patent <input type="checkbox"/> Application for registration of utility model Application No. given by receiving Office: Date of receipt by receiving Office:	
II. APPLICANT(S) Name: Address:	
III. INVITATION After verifying, pursuant to Rules 21(2) and 25, the above-identified application does not fulfil such requirements with respect to: <input type="checkbox"/> the request <input type="checkbox"/> the description <input type="checkbox"/> the claim(s) <input type="checkbox"/> Fees/undertaking to pay fees and therefore, hereby requests that the applicant, <u>within 2 months</u> from the date of this invitation, file the correction. (Specify)	
IV. SIGNATURE** <div style="display: flex; justify-content: space-between; align-items: flex-end;"> <div style="width: 60%; border-top: 1px dotted black; height: 20px;"></div> <div style="width: 35%; border-top: 1px dotted black; height: 20px;"></div> </div> <p align="right">Date</p> <p>INDUSTRIAL PROPERTY OFFICE***</p>	

* Type name and address of applicant's representative.

** Type name and title under signature.

*** Type name and State of receiving Office.

Harare Protocol on Patents, Utility Models and Industrial Designs



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

<p>ARIPO Form No. 8 HARARE PROTOCOL</p> <p>ACKNOWLEDGEMENT BY RECEIVING OFFICE OF RECEIPT OF APPLICATION (Rule 21(2)(e))</p> <p>To*:</p>	<p style="text-align: center;">For Official Use</p> <hr/> <p>Applicant's or Representative's File Reference:</p>
<p>I. IN THE MATTER OF:</p> <p><input type="checkbox"/> Application for grant of patent.</p> <p><input type="checkbox"/> Application for registration of utility model.</p> <p><input type="checkbox"/> Application for registration of industrial design.</p> <p>Application No. given by the receiving Office:</p>	
<p>II. TITLE OF INVENTION OR INDUSTRIAL DESIGN</p>	
<p>III. APPLICANT(S)</p> <p>Name:</p> <p>Address:</p>	
<p>IV. ACKNOWLEDGEMENT OF RECEIPT</p> <p>We hereby acknowledge receipt of the above-identified application on..... (date).</p>	
<p>V. SIGNATURE**</p> <p style="text-align: center;">.....</p> <p style="text-align: right;">Date</p> <p>INDUSTRIAL PROPERTY OFFICE***</p>	

* Type name and address of applicant's representative.

** Type name and title under signature.

*** Type name and State of receiving Office.



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

ARIPO Form No. 9 HARARE PROTOCOL TRANSMITTAL BY RECEIVING OFFICE TO ARIPO OFFICE OF APPLICATION (Rule 24) To: Director General ARIPO Office P.O. Box 4228 HARARE Zimbabwe	<p align="center">For Official Use</p> Received on: Applicant's or Representative's File Reference:
I. IN THE MATTER OF: [] Application for grant of patent [] Application for registration of utility model Application No. given by receiving Office: Date of receipt by receiving Office:	
II. APPLICANT(S) Name: Address:	
III. TRANSMITTAL We hereby transmit to the ARIPO Office the documents making up the above-identified application. Furthermore, we: (i) [] have verified that the application fulfilled the requirements of Section 31 (1)(a), (b) and (c) and Rule 21(2)(a) and (b) on _____ (date), being [] the date of its receipt [] the date on which corrections were received; (ii) [] have found that the application does not fulfil the requirements of Section 31 (1)(a), (b) and (c) and Rule 21(2), but the applicant(s), after having been notified of that fact, has/ have requested this Office nonetheless to transmit to the ARIPO Office the documents making up the application; (iii) [] have verified that the undertaking with respect to fees has been submitted; [] have verified that the fees have been paid and a receipt issued therefor; (iv) have marked on each document making up the application the actual date of its receipt, an appropriate number and our official stamp; and (v) have issued to the applicant's representative an acknowledgement of receipt of the application on ARIPO Form No. 8 (a copy of which receipt is attached hereto).	
IV. SIGNATURE* <p align="center">.....</p> <p align="right">Date</p> <p>INDUSTRIAL PROPERTY OFFICE**</p>	

* Type name and title under signature.

** Type name and State of receiving Office.

Harare Protocol on Patents, Utility Models and Industrial Designs



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

ARIPO Form No. 10 HARARE PROTOCOL NOTIFICATION BY RECEIVING OFFICE OF TRANSMITTAL OF APPLICATION (Rule 24(2)) To*:	For Official Use Applicant's or Representative's File Reference:
I. IN THE MATTER OF: [] Application for grant of patent [] Application for registration of utility model Application No. given by receiving Office: Date of receipt by receiving Office:	
II. APPLICANT(S) Name: Address:	
III. NOTIFICATION We hereby notify the applicant(s), pursuant to Rule 24 (2), that the documents making up the above -identified application have been transmitted to the ARIPO Office on (date). Any further documents filed by the applicant with respect to the above-identified application shall henceforth be filed directly with the ARIPO Office.	
IV. SIGNATURE** <p style="text-align: right;">Date</p> INDUSTRIAL PROPERTY OFFICE***	

* Type name and address of applicant's representative.

** Type name and title under signature.

*** Type name and State of receiving Office.



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

ARIPO Form No. 11 HARARE PROTOCOL INVITATION BY ARIPO OFFICE TO CORRECT APPLICATION (Rule 26(3); Rule 41(2)) To*:	For Official Use Applicant's or Representative's File Reference:
I. IN THE MATTER OF: <input type="checkbox"/> Application for grant of patent <input type="checkbox"/> Application for registration of utility model Application No. given by receiving Office: Application No. given by ARIPO Office:	
II. APPLICANT(S) Name: Address:	
III. INVITATION <input type="checkbox"/> After examining, pursuant to Rule 41(1), the Office finds that the application does not fulfil such requirements with respect to <input type="checkbox"/> the request <input type="checkbox"/> the description <input type="checkbox"/> the claim(s) and therefore hereby requests that the applicant(s), <u>within 1 month</u> from the date of this invitation, file the correction(s). THE APPLICATION SHALL NOT BE ACCORDED A FILING DATE UNTIL THE SAID REQUIREMENTS ARE FULFILLED.	
IV. SIGNATURE* <div style="display: flex; justify-content: space-between; align-items: center;"> <div style="text-align: center; width: 60%;"> DIRECTOR GENERAL ARIPO Office </div> <div style="text-align: center; width: 30%;"> Date </div> </div>	

* Type name and address of applicant's representative.

Harare Protocol on Patents, Utility Models and Industrial Designs



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

ARIPO Form No. 12 HARARE PROTOCOL NOTIFICATION OF FILING DATE (Rules 21(e); 26(1); 41 (4) and (5)) To*:	For Official Use Received on: Applicant's or Representative's File Reference:
I. IN THE MATTER OF <input type="checkbox"/> Application for grant of a patent <input type="checkbox"/> Application for registration of a utility model Application No. given by ARIPO Office**: Application No. given by receiving Office:	
II. APPLICANT(S) Name: Address:	
III. NOTIFICATION We hereby notify you, pursuant to Rule 26(1), that the above-identified application has been accorded _____ (date) as its filing date. This notification is being sent to***:	
IV. SIGNATURE**** <div style="display: flex; justify-content: space-around;"> <div style="text-align: center;"> DIRECTOR GENERAL ARIPO Office </div> <div style="text-align: center;"> Date </div> </div>	

* A notification Form No. 12 shall be sent to the applicant's representative and to the industrial property office of each designated State.

** Please quote the application number given by the ARIPO Office in all subsequent communications concerning this application.

*** Indicate all those to whom a notification Form No. 12 is being sent in connection with the above-identified application.

**** Type name of Director General under signature.



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

ARIPO Form No. 13 HARARE PROTOCOL NOTIFICATION OF COMPLIANCE WITH FORMAL REQUIREMENTS (Rule 43(1)) To*:	For Official Use Received on: Applicant's or Representative's File Reference:
I. IN THE MATTER OF: [] Application for grant of patent [] Application for registration of utility model Application No.: Filing Date:	
II. APPLICANT(S) Name: Address:	
III. NOTIFICATION We hereby notify you, pursuant to Rule 43(1) that the above-identified application complies with the prescribed formal requirements. This notification is being sent to**:	
IV. SIGNATURE*** <div style="display: flex; justify-content: space-around; align-items: center;"> <div style="text-align: center;"> DIRECTOR GENERAL ARIPO Office </div> <div style="text-align: center;"> Date </div> </div>	

* A notification Form No. 13 shall be sent to the industrial property office of each designated State and to the applicant's representative.

** Indicate all those to whom a notification Form No. 13 is being sent in connection with the above-identified application.

*** Type name of Director General under signature.

Harare Protocol on Patents, Utility Models and Industrial Designs



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

<p>ARIPO Form No. 13 A HARARE PROTOCOL REQUEST FOR SUBSTANTIVE EXAMINATION (Rule 44(1))</p> <p>To: Director General ARIPO Office P.O. Box 4228 HARARE Zimbabwe</p>	<p style="text-align: center;">For Official Use</p> <p>Received on:</p> <hr/> <p>Applicant's or Representative's File Reference:</p>
<p>I. IN THE MATTER OF APPLICATION FOR GRANT OF PATENT</p> <p>Application No.:</p> <p>Filing Date:</p>	
<p>II. APPLICANT(S)</p> <p>Name:</p> <p>Address:</p>	
<p>III. I/We hereby request for substantive examination of this application according to Section 3(3) of the Harare Protocol and Rule 44(1) of the Implementing Regulations.</p>	
<p>IV. FEE TO BE PAID*</p> <p>Request for substantive examination fee: <input type="checkbox"/></p> <p>Surcharges for Additional pages: <input type="checkbox"/></p> <p>Surcharges for Additional Claims fee: <input type="checkbox"/></p>	
<p>V. SIGNATURE(S)**</p> <p>.....</p> <p style="text-align: right;">Date</p>	

* The request for substantive examination shall be deemed to have been filed when the request for substantive examination fee including surcharges for additional pages and claims, if any, have been paid.

** Type name and title under signature.



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

ARIPO Form No. 13B HARARE PROTOCOL REQUEST FOR ACCELERATED EXAMINATION UNDER THE PATENT PROSECUTION HIGHWAY (PPH) PILOT PROGRAM To: Director General ARIPO Office P. O. Box 4228 HARARE Zimbabwe	<p align="center">For Official Use</p> Received on: Applicant's or Representative's File Reference:
I. IN THE MATTER OF ARIPO APPLICATION FOR PARTICIPATION IN THE PPH PILOT PROGRAM Application No.: _____ Filing Date: _____ Title of Invention: _____	
II. APPLICANT(S) Name: _____ Address: _____	
III. I/We hereby request for participation in the Patent Prosecution Highway (PPH) pilot program of the above identified ARIPO application based on the CNIPA application (as Office of Earlier Examination). CNIPA Application No.: Both the ARIPO application and the CNIPA application have the following earliest date (filing or priority date):	
IV. SUPPORTING/REQUIRED DOCUMENTS For this purpose, the following supporting documents are attached: <input type="checkbox"/> Copies of all Office actions (relevant to substantive examination for patentability in the CNIPA) which were issued for the corresponding application by CNIPA, and <input type="checkbox"/> their translations <input type="checkbox"/> Copies of all claims determined to be patentable/allowable by the CNIPA, and <input type="checkbox"/> their translations <input type="checkbox"/> Copies of references cited by the CNIPA examiner and <input type="checkbox"/> their translations <input type="checkbox"/> Claim correspondence table	

Harare Protocol on Patents, Utility Models and Industrial Designs

ARIPO Form No. 13B (cont'd)

V. CLAIM CORRESPONDENCE TABLE

Claim in the ARIPO application	Corresponding CNIPA patentable/ allowable claim	Explanation regarding the correspondence

V. SIGNATURE(S)*

.....

.....

Date

* Type name and title under signature.



**AFRICAN REGIONAL INTELLECTUAL PROPERTY
ORGANIZATION (ARIPO)**

<p>ARIPO Form No.13C HARARE PROTOCOL</p> <p>REQUEST FOR EXPEDITED OR DELAYED EXAMINATION OF PATENT OR UTILITY MODEL APPLICATION (Rule 45)</p> <p>To: Director General ARIPO Office P.O. Box 4228 HARARE Zimbabwe</p>	<p>For Official Use</p> <p>Received on:</p> <hr/> <p>Applicant's or Representative's File Reference:</p>
<p>I. IN THE MATTER OF:</p> <p style="margin-left: 40px;">Application for Grant of Patent No.: Filing date:</p> <p style="margin-left: 40px;">Application for Utility Model No: Filing date:</p>	
<p>II. PERSON(S) REQUESTING</p> <p style="margin-left: 40px;">Name:</p> <p style="margin-left: 40px;">In the capacity of:</p> <p style="margin-left: 40px;">Address:</p>	
<p>III. REQUEST</p> <p>I/We hereby request, in accordance with Rule 45 that the above identified application undergo:</p> <p><input type="checkbox"/> Expedited Examination</p> <p><input type="checkbox"/> Delayed Examination</p> <p align="center">THE REQUEST WILL BE DEEMED NOT FILED UNTIL IT MEETS ALL THE REQUIREMENT OF RULE 45 AND PAYMENT OF THE PRESCRIBED FEE.</p>	
<p>VII. SIGNATURE(S) *</p> <p align="center"> </p> <p align="right" style="margin-right: 100px;">Date</p>	

* Type name(s) under signature(s).

Harare Protocol on Patents, Utility Models and Industrial Designs



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

<p>ARIPO Form No.14 HARARE PROTOCOL</p> <p>NOTIFICATION OF NON-COMPLIANCE WITH FORMAL REQUIREMENTS AND INVITATION TO COMPLY Rule 43(2)</p> <p>To*:</p>	<p>For Official Use</p>
<p>I. IN THE MATTER OF:</p> <p><input type="checkbox"/> Application for grant of patent <input type="checkbox"/> Application for registration of utility model</p> <p>Application No. given by receiving Office:</p> <p>Application No. given by ARIPO Office:</p> <p>Filing Date:</p>	<p>Applicant's or Representative's File Reference:</p>
<p>II. APPLICANT(S)</p> <p>Name:</p> <p>Address:</p>	
<p>III. INVITATION</p> <p><input type="checkbox"/> After examining, pursuant to Rule 43(1) and (2), the Office finds that the application does not fulfil formal requirements and therefore hereby requests that the applicant(s), <u>within 1 month</u> from the date of this invitation, file the following(specify) together with the payment of the prescribed fee.</p> <p>IF THE APPLICANT FAILS TO COMPLY WITH THE INVITATION, THE APPLICATION SHALL BE DEEMED WITHDRAWN IN ACCORDANCE WITH RULE 43(3).</p>	
<p>IV. SIGNATURE*</p> <p style="text-align: center;">.....</p> <p style="text-align: center;">DIRECTOR GENERAL ARIPO Office</p> <p style="text-align: right;">..... Date</p>	

* Type name of Director General under signature.

Harare Protocol on Patents, Utility Models and Industrial Designs



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

ARIPO Form No. 14A HARARE PROTOCOL REQUEST BY THE APPLICANT TO COMPLY WITH FORMAL REQUIREMENTS (Rule 43(2)) To: Director General ARIPO Office P.O. Box 4228 HARARE Zimbabwe	For Official Use Received on: Applicant's or Representative's File Reference:
I. IN THE MATTER OF: <input type="checkbox"/> Application for grant of patent <input type="checkbox"/> Application for registration of utility model Application No.: Filing Date:	
II. APPLICANT(S) Name: Address:	
III. REQUEST The above-identified applicant(s), in response to the notification, with respect to the above-identified application, of non-compliance with formal requirements and the invitation to comply, which notification and invitation were transmitted on ARIPO Form No. 14, dated....., submit(s) the following to reconsider the matter.	
IV. SIGNATURE* <div style="display: flex; justify-content: space-between; align-items: flex-end;"> <div style="width: 60%; border-top: 1px dotted black; height: 20px;"></div> <div style="width: 30%; border-top: 1px dotted black; height: 20px; text-align: center;">Date</div> </div>	

* Type name(s) under signature(s).



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

<p>ARIPO Form No. 16 HARARE PROTOCOL</p> <p>REQUEST BY ARIPO OFFICE FOR INFORMATION CONCERNING CORRESPONDING FOREIGN APPLICATIONS, PATENTS OR OTHER TITLES OF PROTECTION (Rule 51)</p> <p>To*:</p>	<p align="center">For Official Use</p> <hr/> <p>Applicant's or Representative's File Reference:</p>
<p>I. IN THE MATTER OF:</p> <p><input type="checkbox"/> Application for grant of patent <input type="checkbox"/> Application for registration of utility model</p> <p>Application No.: Filing Date:</p>	
<p>II. APPLICANT(S)</p> <p>Name:</p> <p>Address:</p>	
<p>III. REQUEST</p> <p>We hereby request, pursuant to Rule 51, that the applicant(s) furnish(es) to the ARIPO Office:</p> <p><input type="checkbox"/> the date and number of any applications for a patent or other title of protection filed by the applicant(s) with a national industrial property office or with a regional industrial property office ("foreign application") relating to the same or essentially the same invention as that claimed in the above- identified application.</p> <p><input type="checkbox"/> the following documents relating to the following foreign application**:</p> <ul style="list-style-type: none">(i) a copy of any communication received by the applicant(s) concerning the results of any search or examination carried out in respect of the foreign application;(ii) a copy of the patent or other title of protection granted on the basis of the foreign application;(iii) a copy of any final decision rejecting the foreign application or refusing the grant requested in the foreign application;(iv) a copy of any final decision invalidating the patent or other title of protection granted on the basis of the foreign application.	

* Type name and address of applicant's representative.

** Identify application by its date, number and the industrial property office with which it was filed. The application should be one of the foreign applications in respect of which information was furnished pursuant to Rule 51(1)(a) and (b).

Harare Protocol on Patents, Utility Models and Industrial Designs

ARIPO Form No. 16 (cont'd)

[] (period specified), the following documents relating to the following foreign application(s)*

- (i) a copy of any communication received by the applicant concerning the results of any search or examination carried out in respect of the foreign application and in which applications or other documents establishing the prior art are mentioned;
- (ii) a copy of any final decision rejecting the foreign application or refusing the grant requested in the foreign application.

THE APPLICANT HAS THE RIGHT TO SUBMIT COMMENTS ON THE DOCUMENTS FURNISHED PURSUANT TO THIS REQUEST.

IV. SIGNATURE**

.....

DIRECTOR GENERAL
ARIPO Office

.....

Date

* Identify application by its date, number and the industrial property office with which it was filed. The application should be one of the foreign applications in respect of which information was furnished pursuant to Rule 51(1) (a) and (b).

** Type name of Director General under signature.

Harare Protocol on Patents, Utility Models and Industrial Designs



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

ARIPO Form No. 17 HARARE PROTOCOL DECLARATION OF WITHDRAWAL OF PATENT APPLICATION (OR PATENT); ADDITION OR REDUCTION OF DESIGNATED STATES (Section 32 (3) and (4)) To: Director General ARIPO Office P.O. Box 4228 HARARE Zimbabwe	<p align="center">For Official Use</p> Received on: Applicant's or Representative's File Reference:
I. IN THE MATTER OF: <input type="checkbox"/> Application for grant of patent, no. <input type="checkbox"/> Patent no. <input type="checkbox"/> Application for registration of utility model <input type="checkbox"/> Utility model no.	
II. PERSON(S) REQUESTING Name: In the capacity of: Address:	
III. REQUEST* I/We hereby advise that we have been instructed to allow: <input type="checkbox"/> the application to be withdrawn. The designated States to be <input type="checkbox"/> added <input type="checkbox"/> reduced (Specify)..... with effect from(Date). Please mark your records accordingly.	
IV. SIGNATURE** <div style="display: flex; justify-content: space-between; align-items: flex-end;"> <div style="width: 60%; border-top: 1px dotted black; height: 20px;"></div> <div style="width: 30%; border-top: 1px dotted black; height: 20px;"></div> </div> <p align="right">Date</p>	

* Clearly identify the entry or document.

** Type name(s) under signature(s). Note that, pursuant to section 32, a declaration of withdrawal must be signed by the applicant or by all applicants if there are more than one.

Harare Protocol on Patents, Utility Models and Industrial Designs



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

<p>ARIPO Form No. 18 HARARE PROTOCOL</p> <p>NOTIFICATION OF NON-COMPLIANCE WITH SUBSTANTIVE REQUIREMENTS AND INVITATION TO SUBMIT OBSERVATIONS AND/OR AMENDED APPLICATION (Rule 50)</p> <p>To*:</p>	<p style="text-align: center;">For Official Use</p> <p>Received on:</p> <hr/> <p>Applicant's or Representative's File Reference:</p>
<p>I. IN THE MATTER OF:</p> <p>[] Application for grant of patent [] Application for registration of utility model</p> <p>Application No.:..... Filing Date:.....</p>	
<p>II. APPLICANT(S)</p> <p>Name:</p> <p>Address:</p>	
<p>III. NOTIFICATION AND INVITATION</p> <p>Pursuant to Rule 50, we hereby notify the applicant(s) that, taking due account of the conclusions of the search and examination reports (a copies of which are attached hereto), the Office has concluded that the substantive requirements have not been fulfilled and invites the applicant(s) to submit, within (period specified)**....., his/their observations and, where applicable, an amended application, together with a request for reconsideration of decision on ARIPO Form No. 19.</p>	
<p>IV. SIGNATURE***</p> <p style="text-align: center;">.....</p> <p style="text-align: center;">DIRECTOR GENERAL Date</p> <p style="text-align: center;">ARIPO Office</p>	

* Type name and address of applicant's representative.

** Specify period.

*** Type name of Director General under signature.



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

ARIPO Form No. 19 HARARE PROTOCOL REQUEST TO RECONSIDER DECISION THAT SUBSTANTIVE REQUIREMENTS HAVE NOT BEEN COMPLIED WITH (Rule 50(3)(b)) To: Director General ARIPO Office P.O. Box 4228 HARARE Zimbabwe	For Official Use Received on: <hr/> Applicant's or Representative's File Reference:
I. IN THE MATTER OF: <input type="checkbox"/> Application for grant of patent <input type="checkbox"/> Application for registration of utility model Application No.: Filing Date:	
II. APPLICANT(S) Name: Address:	
III. REQUEST With respect to the above-identified application and in response to the notification of non-compliance with substantive requirements and the invitation to submit observations and any amendments, which notification and invitation were transmitted on ARIPO Form No. 18, dated, submit(s), attached hereto, <input type="checkbox"/> observations and/or <input type="checkbox"/> an amended application, and hereby request(s) the ARIPO Office, pursuant to Rule 50(3)(b), to reconsider the matter. THE AMENDMENT SHALL BE IN ACCORDANCE WITH RULE 55.	
IV. SIGNATURE* <div style="display: flex; justify-content: space-between; align-items: flex-end;"> <div style="width: 45%; border-top: 1px dotted black; height: 20px;"></div> <div style="width: 45%; border-top: 1px dotted black; height: 20px;"></div> </div> <p align="right" style="margin-top: 5px;">Date</p>	

* Type name under signature.

Harare Protocol on Patents, Utility Models and Industrial Designs



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

<p>ARIPO Form No. 20 HARARE PROTOCOL</p> <p>NOTIFICATION OF DECISION REFUSING TO GRANT OR REGISTRATION (Section 48(2))</p> <p>To*:</p>	<p>For Official Use</p> <hr/> <p>Applicant's or Representative's File Reference:</p>
<p>I. IN THE MATTER OF:</p> <p><input type="checkbox"/> Application for grant of patent <input type="checkbox"/> Application for registration of utility model</p> <p>Application No.: Filing Date:</p>	
<p>II. APPLICANT(S)</p> <p>Name:</p> <p>Address:</p>	
<p>III. NOTIFICATION</p> <p>We hereby notify the applicant(s), pursuant to Section 48(2), that the ARIPO Office has decided to refuse to grant a patent on the above-identified application on grounds of non-compliance with substantive requirements because</p> <p><input type="checkbox"/> the applicant(s) failed to respond to the invitation to submit observations and any amendments, which was transmitted to the applicant(s) on ARIPO Form No. 18, dated</p> <p><input type="checkbox"/> despite the observations and any amendment submitted by the applicant(s) (under cover of ARIPO Form No. 19, dated.....) in response to the invitation to submit observations and any amendments (transmitted to the applicant(s) on ARIPO Form No. 18, dated).</p> <p>This notification is being sent to*:</p>	
<p>IV. SIGNATURE**</p> <p>.....</p> <p style="text-align: center;">DIRECTOR GENERAL ARIPO Office</p> <p style="text-align: right;">Date</p>	

* Indicate all those to whom a notification Form No. 20 is being sent in connection with the above-identified application.

** Type name of Director General under signature.



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

ARIPO Form No. 21 HARARE PROTOCOL NOTIFICATION OF DECISION TO GRANT A PATENT OR REGISTER A UTILITY MODEL (Rule 53) To*:	For Official Use
	Applicant's or Representative's File Reference:
I. IN THE MATTER OF: <div style="display: flex; justify-content: space-between;"> <input type="checkbox"/> Application for grant of patent <input type="checkbox"/> Application for registration of utility model </div> <div style="display: flex; justify-content: space-between; margin-top: 10px;"> Application No.: Filing Date: </div>	
II. APPLICANT(S) Name: Address:	
III. NOTIFICATION We hereby notify you, pursuant to Rule 53(1), that the ARIPO Office has decided to grant a patent on the above-identified application. <input type="checkbox"/> A copy of the search and examination report upon which this decision is based is attached hereto.** <input type="checkbox"/> A copy of the above-identified application is attached hereto.** We hereby request the applicant(s) to make payment of the grant and publication fee within 4 months from the date of this notification. Before the expiration of 4 months from the date of this notification, each designated State may, pursuant to Rule 53(4), make a written communication to the Office on ARIPO Form No. 21A/22 to the effect that, if a patent is granted or utility model registered by the Office on the above-identified application, said patent or registration shall have effect/no effect respectively in its territory. Upon expiration of the said 4 months and subject to payment of the grant and publication fee by the applicant(s), the Office shall grant the patent in accordance with Rule 57 and the granted patent shall have effect in those designated States which have not made the communication referred to in the preceding paragraph.	

* Type name and address of person(s) to whom this Form is being sent.

** Attach copies of the search and examination reports AND the recommended specification of the above-identified application.

Harare Protocol on Patents, Utility Models and Industrial Designs

ARIPO Form No. 21 (cont'd)

This notification is being sent to*:	
IV. SIGNATURE**	
..... DIRECTOR GENERAL ARIPO Office Date

* Indicate all those to whom a notification Form No. 21 is being sent in connection with the above-identified application.

** Type name of Director General under signature.

Harare Protocol on Patents, Utility Models and Industrial Designs



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

<p>ARIPO Form No. 21A HARARE PROTOCOL</p> <p>COMMUNICATION BY DESIGNATED STATE OF A NOTICE OF ACCEPTANCE OF AN APPLICATION FOR GRANT OF PATENT OR REGISTRATION OF UTILITY MODEL OR INDUSTRIAL DESIGN (Section 46(2)(a))</p> <p>To: Director General ARIPO Office P.O. Box 4228 HARARE Zimbabwe</p>	<p align="center">For Official Use</p> <p>Received on:</p> <hr/> <p>Applicant's or Representative's File Reference:</p>
<p>I. IN THE MATTER OF:</p> <p><input type="checkbox"/> Application for Grant of Patent.</p> <p><input type="checkbox"/> Application for Registration of Utility Model.</p> <p><input type="checkbox"/> Application for Registration of Industrial Design.</p> <p>Application No.: Filing Date:.....</p>	
<p>II. APPLICANT(S)</p> <p>Name:</p> <p>Address:</p>	
<p>III. COMMUNICATION:</p> <p>On behalf of(designated State), we hereby communicate to the Office, pursuant to Section 46(2)(a), that the above identified application is acceptable for grant or registration. Any objections that may have been communicated are hereby removed through this notice.</p>	
<p>IV. SIGNATURE*</p> <p align="center">.....</p> <p align="right">..... Date</p>	

* Type name and title under signature.

Harare Protocol on Patents, Utility Models and Industrial Designs



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

<p>ARIPO Form No. 22 HARARE PROTOCOL</p> <p>COMMUNICATION BY DESIGNATED STATE THAT PATENT OR UTILITY MODEL SHALL HAVE NO EFFECT IN ITS TERRITORY (Section 46(2)(b))</p> <p>To: Director General ARIPO Office P.O. Box 4228 HARARE Zimbabwe</p>	<p style="text-align: center;">For Official Use</p> <hr/> <p>Applicant's or Representative's File Reference:</p>
<p>I. IN THE MATTER OF</p> <p>[] Application for grant of patent [] Application for registration of utility model</p> <p>Application No.: Filing Date:</p>	
<p>II. APPLICANT(S)</p> <p>Name:</p> <p>Address:</p>	
<p>III. COMMUNICATION</p> <p>On behalf of (designated State), we hereby communicate to the ARIPO Office, pursuant to Section 46(2)(b), that if the said Office grants a patent or registers a utility model on the above-identified application in accordance with its decision to grant a patent, as notified on ARIPO Form No. 21 dated, the said patent shall have no effect in the territory of (designated State) for the reason (Specify):.....</p> <p>.....</p> <p>ACCORDING TO SECTION 45(3), THE APPLICANT MAY, WITHIN 2 MONTHS, FROM THE DATE OF THIS NOTIFICATION REQUEST, THROUGH THE OFFICE THAT (designated State) RECONSIDER THE ABOVE NOTIFIED DECISION.</p>	
<p>IV. SIGNATURE*</p> <p>.....</p> <p style="text-align: right;">Date</p> <p>INDUSTRIAL PROPERTY OFFICE**</p>	

* Type name and title under signature.

** Type name and State of the industrial property office of the designated State.

Harare Protocol on Patents, Utility Models and Industrial Designs



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

<p>ARIPO Form No. 22A HARARE PROTOCOL</p> <p>REQUEST BY APPLICANT TO DESIGNATED STATE TO RECONSIDER ITS DECISION THAT PATENT OR UTILITY MODEL SHALL HAVE NO EFFECT IN ITS TERRITORY (Section 46(3))</p> <p>To: Director General ARIPO Office P.O. Box 4228 HARARE Zimbabwe</p>	<p align="center">For Official Use</p> <hr/> <p>Applicant's or Representative's File Reference:</p>
<p>I. IN THE MATTER OF</p> <p>[] Application for grant of patent [] Application for registration of utility model</p> <p>Application No.: Filing Date:.....</p>	
<p>II. APPLICANT(S)</p> <p>Name:</p> <p>Address:</p>	
<p>III. REQUEST</p> <p>The designated State to reconsider its decision based on (see attached documents).</p>	
<p>IV. SIGNATURE*</p> <p align="center">.....</p> <p align="right">Date</p>	

* Type name and title under signature.

Harare Protocol on Patents, Utility Models and Industrial Designs



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

<p>ARIPO Form No. 23A HARARE PROTOCOL</p> <p>REQUEST FOR CONVERSION OF ARIPO PATENT APPLICATION INTO UTILITY MODEL APPLICATION OR UTILITY MODEL INTO ARIPO PATENT APPLICATION (Section 54; 64)</p> <p>To: Director General ARIPO P.O. Box 4228 HARARE Zimbabwe</p>	<p>For Official Use</p> <p>Received on:</p>
<p style="text-align: right;">Applicant's or Representative's File Reference:</p>	
<p>I. IN THE MATTER OF:*</p> <p style="text-align: center;"> <input type="checkbox"/> Application for grant of patent <input type="checkbox"/> Application for registration of utility model </p> <p style="text-align: center;"> Application No.: Filing Date: </p>	
<p>II. APPLICANT(S)</p> <p>Name:</p> <p>Address:</p>	
<p>III. REQUEST**</p> <p><input type="checkbox"/> Conversion of Patent Application into Utility Model Application</p> <p style="padding-left: 40px;">Pursuant to Section 54, the applicant(s) hereby request(s) that the above-identified application be treated as an Application for a Utility Model under the requirements of the Harare Protocol.</p> <p><input type="checkbox"/> Conversion of Utility Model Application into Patent Application</p> <p style="padding-left: 40px;">Pursuant to Section 64, the applicant(s) hereby request(s) that the above-identified application be treated as an Application for a Patent under the requirements of the Harare Protocol.</p>	
<p>IV. SIGNATURE***</p> <p style="text-align: center; margin-top: 20px;"> </p> <p style="text-align: right; margin-top: 20px;">Date</p>	

* Indicate application type and its given number.

**Indicate the requested conversion and attach the specified fees.

*** Type name under signature.



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

ARIPO Form No. 24 HARARE PROTOCOL TRANSMISSION OF THE REQUEST FOR CONVERSION OF ARIPO APPLICATION TO DESIGNATED STATE (Rule (74)) To*:	For Official Use Received on: Applicant's or Representative's File Reference:
I. IN THE MATTER OF: <input type="checkbox"/> Application for Grant of Patent No.: Filing date: <input type="checkbox"/> Application for Registration of Utility Model No.: Filing date: <input type="checkbox"/> Application for Registration of Industrial Design No.: Filing date:.....	
II. APPLICANT(S) Name: Address:	
III Pursuant to Rule 74 the Office hereby transmits the applicant's request, submitted on <input type="checkbox"/> ARIPO Form No. 23 <input type="checkbox"/> ARIPO Form No. 38, that the above-identified application be treated as an application according to the national law(s) of (designated State(s)), the following documents attached hereto: <input type="checkbox"/> copy of the request <input type="checkbox"/> copy of the above-identified application <input type="checkbox"/> other(s) specify)..... This transmittal is being made to Industrial Property Office(s) (specify) and copied to the Applicant. Application No..... Filing Date.....	
IV. SIGNATURE** <div style="display: flex; justify-content: space-around; align-items: center;"> <div style="text-align: center;"> DIRECTOR GENERAL ARIPO OFFICE </div> <div style="text-align: center;"> Date </div> </div>	

* Type applicant's/ representative's name and State of the Industrial Property Office of the designated State.

** Type name of Director General under signature.

Harare Protocol on Patents, Utility Models and Industrial Designs



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

ARIPO Form No. 25 HARARE PROTOCOL (19)* ARIPO OFFICE (12) GRANT OF ARIPO PATENT (Front page) (Rule 57)	(11) Patent No.: (51) Int. Cl.:
(21) Application No.:	(73) Owner(s) Name: Address:
(22) Filing date:	
(30) Priority:	(72) Inventor(s): Name: Address:
(45) Date of publication of ARIPO patent:	(74) Representative: Name: Address:
(24) Effective date of grant of ARIPO patent:	(56) Documents/Reference cited of prior art:
(84) Designated Contracting States for which patent is granted:	
(54) Title:.....	
(57) Abstract (with the most illustrative drawing, if there are any):	
The description and claims are attached hereto and form an integral part hereof. [] Drawings are attached hereto and form an integral part hereof.	
Additional Information or Comments:	

* The numbers in parentheses before the bibliographic data are the "Internationally Agreed Numbers for the Identification of Data" (INID codes), as defined in WIPO Standard ST. 9, whereby the various bibliographic data appearing on the first page of a patent document can be identified without knowledge of the language used and the industrial property laws applied. The list of definitions of the bibliographic data, with their corresponding INID codes, is published in the ARIPO Journal.

Harare Protocol on Patents, Utility Models and Industrial Designs



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

<p>ARIPO Form No. 26 HARARE PROTOCOL</p> <p>CERTIFICATE OF GRANT OF ARIPO PATENT OR REGISTRATION OF UTILITY MODEL (Rule 59)</p>	<p>For Official Use</p>
<p>In accordance with Rule 56 of the Regulations, it is hereby certified that a patent/utility model having the No: has been granted/registered to:</p> <p>Name:</p> <p>Address:</p> <p>on(date), with effect in the following designated Contracting States:</p> <p>in respect of an invention disclosed in an application for that patent/utility model having a:</p> <p>Filing date:</p> <p>Priority date:</p> <p>being an invention for: (title)</p>	
<p>Dated this day of, 20.....</p> <p style="text-align: right;">..... DIRECTOR GENERAL ARIPO OFFICE</p>	



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

ARIPO Form No. 27 HARARE PROTOCOL REQUEST FOR POST-GRANT AMENDMENT (Rule 60) To: Director General ARIPO P. O. BOX 4228 HARARE Zimbabwe	For Official Use
Applicant's or Representative's File Reference:	
I. IN THE MATTER OF: [] Application for grant of patent No.: [] Application for registration of utility model, No.:	
II. APPLICANT'S(S') DETAILS Name: Address:	
III. REQUEST I/We hereby request for post-grant amendment of the patent/utility model specification (i.e. description, claims, drawings), and hereby attach a copy of the amendments, clean copy and marked copy are attached herewith for your consideration. THE AMENDMENT SHALL BE IN ACCORDANCE WITH RULE 55.	
IV. SIGNATURE: <div style="display: flex; justify-content: space-between; margin-top: 20px;"> </div> <p align="right" style="margin-top: 5px;">Date</p>	

Harare Protocol on Patents, Utility Models and Industrial Designs



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

<p>ARIPO Form 27A HARARE PROTOCOL</p> <p>NOTIFICATION OF DECISION TO ACCEPT OR REJECT THE REQUEST FOR POST-GRANT AMENDMENTS (Rule 61)</p> <p>To:</p>	<p style="text-align: center;">For Official Use</p> <hr/> <p>Applicant's or Representative's File Reference:</p>
<p>I. IN THE MATTER OF:</p> <p style="padding-left: 40px;"> <input type="checkbox"/> Application for grant of patent <input type="checkbox"/> Application for registration of utility model </p> <p style="padding-left: 80px;"> Application No.: Filing Date: </p>	
<p>II. APPLICANT(S)</p> <p>Name:</p> <p>Address:</p>	
<p>III. NOTIFICATION</p> <p>We hereby notify the applicant, pursuant to Rule 61, that the Office has decided to:</p> <p><input type="checkbox"/> Accept the request for post-grant amendments. The applicant(s) is requested to make payment of the re-publication fee within 2 months from the date of this notification.</p> <p><input type="checkbox"/> Reject the request for a post-grant amendment for a reason:</p> <p style="padding-left: 40px;">.....</p> <p style="padding-left: 40px;">..... (specify the reasons)**</p>	
<p>This notification is being sent to***:</p> <p>.....</p>	
<p>IV. SIGNATURE</p> <p style="text-align: center; margin-top: 20px;"> DIRECTOR GENERAL Date ARIPO Office </p>	

* Type the name and address of the person(s) to whom this Form is being sent.

** Attach a copy of the reasons for rejection.

*** Indicate all those to whom a notification Form No. 27A is being sent.



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

ARIPO Form No. 28 HARARE PROTOCOL REQUEST FOR REGISTRATION OF INDUSTRIAL DESIGN (Rules 79; 28) To*:	<p align="center">For Official Use</p> Date of receipt by receiving Office: APPLICATION No. given by receiving Office: <p align="center">(Receiving Office's stamp)</p> Date of receipt by ARIPO Office: APPLICATION No. given by ARIPO Office: <p align="center">(ARIPO Office's stamp)</p> FILING DATE: Applicant's or Representative's File Reference:
THE APPLICANT(S) REQUEST(S) THE REGISTRATION OF AN INDUSTRIAL DESIGN IN RESPECT OF THE FOLLOWING PARTICULARS:	
I. TITLE OF INDUSTRIAL DESIGN:	
II. APPLICANT(S) Additional information is contained in the supplemental box [] Name: Address: Nationality:..... Country of residence or principal place of business: Telephone Number: Mobile Number:..... E-mail:.....	
III. REPRESENTATIVE The following representative has been appointed by the applicant(s) in the power of attorney [] accompanying this request [] to be filed within two months from the filing of this request Name: Address: Telephone Number: Mobile Number:E-mail:.....	
IV. DESIGNATION OF STATES:	

* Indicate name and address of receiving Office (i.e., the industrial property Office with which this request is filed).

Harare Protocol on Patents, Utility Models and Industrial Designs

ARIPO Form 28 (cont'd)

V. CREATOR

The creator is the applicant

Additional information is contained in the supplemental box

If creator is not the applicant:

Name:

Address:

The statement specifying the basis of the applicant's right to the registration of the industrial design accompanies this request

VI. NATURE OF INDUSTRIAL DESIGN

Two-dimensional

Three-dimensional, requiring different graphic reproductions

VII. PRIORITY DECLARATION (if any)

The priority of (an) earlier application(s) is claimed as follows

The priority of more than one earlier application is claimed. The data are indicated in the supplemental box

Country: Filing Date:

Application No.:

The certified copy of the earlier application:

accompanies this request

will be furnished within three months from the filing of this request.

The English translation of the earlier application:

accompanies this request.

will be furnished within six months from the filing of this request.

VIII. SUPPLEMENTAL BOX*

* Use this box if any of the boxes is not large enough to contain information to be furnished. Indicate the boxes continued in this box by their roman numerals and title (e.g., "II. APPLICANT(S) (continued)").

Harare Protocol on Patents, Utility Models and Industrial Designs

ARIPO Form 28 (cont'd)

IX. CHECK LIST

This Request, as filed, is accompanied by the documents checked below:

- Power of Attorney.
- Graphic Reproduction(s).
- Statement specifying the basis of the applicant's right to the registration of industrial design.
- Priority document(s) (certified copy of earlier application(s)).
- English translation of earlier application(s) on which priority declaration is based.
- receipt for the fees paid.
- Undertaking to pay the prescribed fees within 14 days.
- statement of novelty.
- Figure number of the graphic reproduction(s) is suggested for publication.
- other document(s) (specify).....

X. SIGNATURE**

.....
Date

TO BE FILLED IN BY THE RECEIVING OFFICE OR THE ARIPO OFFICE (as the case may be)

1. Date of receipt by receiving Office of corrections, later filed papers or graphic reproduction(s) completing the application:.....
2. Date of receipt by ARIPO Office of corrections, later filed papers or graphic reproduction(s) completing the application:.....
3. Date fees received:..... By:.....

** Type name(s) under signature.

Harare Protocol on Patents, Utility Models and Industrial Designs



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

<p>ARIPO Form No. 29 HARARE PROTOCOL</p> <p>INVITATION BY RECEIVING OFFICE TO CORRECT APPLICATION FOR REGISTRATION OF INDUSTRIAL DESIGN (Rules 79; 21(2); 25; 80(3))</p> <p>To*:</p>	<p>For Official Use</p>
<p>Applicant's or Representative's File Reference:</p>	
<p>I. IN THE MATTER OF APPLICATION FOR REGISTRATION OF INDUSTRIAL DESIGN</p> <p>Application No. given by receiving Office:</p> <p>Date of receipt by receiving Office:.....</p>	
<p>II. APPLICANT(S)</p> <p>Name:</p> <p>Address:</p>	
<p>III. INVITATION</p> <p>After verifying pursuant to Rules 21(2) and 25, the above-identified application does not fulfil such requirements with respect to:</p> <p><input type="checkbox"/> the request <input type="checkbox"/> the reproduction of the industrial design <input type="checkbox"/> Fees/undertaking to pay fees</p>	
<p>IV. SIGNATURE**</p> <p>.....</p> <p style="text-align: right;">Date</p> <p>INDUSTRIAL PROPERTY OFFICE***</p>	

* Type name and address of applicant's representative.

** Type name and title under signature.

*** Type name and State of receiving Office.

Harare Protocol on Patents, Utility Models and Industrial Designs



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

<p>ARIPO Form No. 30 HARARE PROTOCOL</p> <p>TRANSMITTAL BY RECEIVING OFFICE TO ARIPO OFFICE OF APPLICATION FOR REGISTRATION OF INDUSTRIAL DESIGN (Rules 79; 24)</p> <p>To: Director General ARIPO Office P.O. Box 4228 HARARE Zimbabwe</p>	<p align="center">For Official Use (by ARIPO Office)</p> <p>Received on:</p>
<p>I. IN THE MATTER OF APPLICATION FOR REGISTRATION OF INDUSTRIAL DESIGN</p> <p>Application No. given by receiving Office:</p> <p>Date of receipt by receiving Office:</p>	
<p>II. APPLICANT(S)</p> <p>Name:</p> <p>Address:</p>	
<p>III. TRANSMITTAL</p> <p>We hereby transmit to the ARIPO Office the documents making up the above-identified application.</p> <p>Furthermore, we:</p> <p>[] have verified that the application fulfilled the requirements of Section 31(1)(a), (b) and (c) on..... (date), being [] the date of its receipt [] the date on which corrections were received;</p> <p>[] have verified that the undertaking with respect to fees has been submitted;</p> <p>[] have verified that the fees have been paid and a receipt issued therefor;</p> <p>[] have marked on each document making up the application the actual date of its receipt, an appropriate number and our official stamp; and</p> <p>[] have issued to the applicant's representative an acknowledgement of receipt of the application on ARIPO Form No. 31 (a copy of which receipt is attached thereto).</p>	
<p>IV. SIGNATURE*</p> <p align="center">.....</p> <p align="right">Date</p> <p>INDUSTRIAL PROPERTY OFFICE**</p>	

* Type name and title under signature.

** Type name and State of receiving Office.

Harare Protocol on Patents, Utility Models and Industrial Designs



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

<p>ARIPO Form No. 31 HARARE PROTOCOL</p> <p>NOTIFICATION BY RECEIVING OFFICE OF TRANSMITTAL OF APPLICATION FOR REGISTRATION OF INDUSTRIAL DESIGN (Rules 79; 24)</p> <p>To*:</p>	<p style="text-align: center;">For Official Use</p> <hr/> <p>Applicant's or Representative's File Reference:</p>
<p>I. IN THE MATTER OF APPLICATION FOR REGISTRATION OF INDUSTRIAL DESIGN</p> <p>Application No. given by receiving Office:</p> <p>Date of receipt by receiving Office:</p>	
<p>II. APPLICANT(S)</p> <p>Name:</p> <p>Address:</p>	
<p>III. NOTIFICATION</p> <p>We hereby notify the applicant(s), pursuant to Rule 24 that the documents making up the above-identified application have been transmitted to the ARIPO Office on (date).</p>	
<p>IV. SIGNATURE**</p> <p style="text-align: center;">.....</p> <p style="text-align: right;">Date</p> <p>INDUSTRIAL PROPERTY OFFICE***</p>	

* Type name and address of applicant's representative.

** Type name and title under signature.

*** Type name and State of receiving Office.



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

ARIPO Form No. 32 HARARE PROTOCOL INVITATION BY ARIPO OFFICE TO CORRECT APPLICATION FOR REGISTRATION OF INDUSTRIAL DESIGN (Rule 84(2)) To*:	For Official Use
	Applicant's or Representative's File Reference:
I. IN THE MATTER OF APPLICATION FOR REGISTRATION OF INDUSTRIAL DESIGN Application No. given by receiving Office: Application No. given by ARIPO Office: Filing Date:	
II. APPLICANT(S) Name: Address:	
III. INVITATION [] After examining, pursuant to Rule 84, the Office finds that the application does not fulfil such requirements with respect to: [] the request [] the reproduction of the industrial design [] Fees. and therefore, hereby requests that the applicant(s), <u>within 2 months</u> from the date of this invitation, file the correction.	
IV. SIGNATURE** <div style="display: flex; justify-content: space-around; align-items: center;"> <div style="text-align: center;"> Director General ARIPO </div> <div style="text-align: center;"> Date </div> </div>	

* Type name and address of applicant's representative.

** Type name of Director General under signature.

Harare Protocol on Patents, Utility Models and Industrial Designs



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

ARIPO Form No. 33 HARARE PROTOCOL NOTIFICATION OF FILING DATE OF APPLICATION FOR REGISTRATION OF INDUSTRIAL DESIGN (Rule 84(4);(5)) To*:	For Official Use Received on:
	Applicant's or Representative's File Reference:
I. IN THE MATTER OF APPLICATION FOR REGISTRATION OF INDUSTRIAL DESIGN Application No. given by ARIPO Office**: Application No. given by receiving Office:	
II. APPLICANT(S) Name: Address:	
III. NOTIFICATION We hereby notify you, pursuant to Rule 84(4) and (5), that the above-identified application has been accorded (date) as its filing date. This notification is being sent to***:	
IV. SIGNATURE Director General ARIPO Date	

* A notification Form No. 33 shall be sent to the applicant's representative and to the industrial property office of each designated State.

** Indicated Application number given by the ARIPO Office in all subsequent communications concerning this application.

*** Indicate all those to whom a notification Form No. 33 is being sent in connection with the above-identified application.

Harare Protocol on Patents, Utility Models and Industrial Designs



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

ARIPO Form No. 34 HARARE PROTOCOL NOTIFICATION OF COMPLIANCE WITH FORMAL REQUIREMENTS FOR REGISTRATION OF INDUSTRIAL DESIGN (Rule 85) To*:	<p align="center">For Official Use</p> Received on: Applicant's or Representative's File Reference:
I. IN THE MATTER OF APPLICATION FOR REGISTRATION OF INDUSTRIAL DESIGN Application No.: _____ Filing Date: _____	
II. APPLICANT(S) Name: _____ Address: _____	
III. NOTIFICATION We hereby notify you, pursuant to Rule 85, that the above-identified application complies with the prescribed formal requirements (a copy of the above-identified application is attached hereto). We hereby request the applicant(s) to make payment of the registration and publication fee within 1 month from the expiration of the period specified in Rule 86(1). Within 4 months from the date of this notification, each designated State may, pursuant to Rule 86, make a written communication to the ARIPO Office to the effect that, if the industrial design is registered by the ARIPO Office pursuant to the above-identified application, said registration shall have effect (ARIPO Form 34A) or shall have no effect (ARIPO Form 37). Upon expiration of the said 4 months and subject to the payment of the registration and publication fee by the applicant(s), the ARIPO Office shall register the industrial design in accordance with Rule 86, and the registration shall have effect in those designated States which have not made the communication referred to in the preceding paragraph.	
IV. SIGNATURE** <div style="display: flex; justify-content: space-around; align-items: center;"> <div style="text-align: center;"> DIRECTOR GENERAL ARIPO Office </div> <div style="text-align: center;"> Date </div> </div>	

* A notification Form No. 34 shall be sent to the applicant and to the industrial property office of each designated State.

** Type name of Director General under signature.

Harare Protocol on Patents, Utility Models and Industrial Designs



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

<p>ARIPO Form No. 34A HARARE PROTOCOL</p> <p>COMMUNICATION BY DESIGNATED STATE THAT REGISTRATION OF INDUSTRIAL DESIGN SHALL HAVE EFFECT IN ITS TERRITORY (Section 72 (2)(a))</p> <p>To: Director General ARIPO P.O. Box 4228 HARARE Zimbabwe</p>	<p>For Official Use</p> <p>Received on:</p>
<p>I. IN THE MATTER OF APPLICATION FOR REGISTRATION OF INDUSTRIAL DESIGN</p> <p>Application No.: _____ Filing Date: _____</p>	
<p>II. APPLICANT(S)</p> <p>Name: _____</p> <p>Address: _____</p>	
<p>III. COMMUNICATION</p> <p>On behalf of.....(designated State), we hereby communicate to the ARIPO Office, pursuant to Section 72(2)(a) that, if the said Office registers the industrial design the said registration shall have effect in the territory of (designated State).</p>	
<p>IV. SIGNATURE*</p> <p>..... Date</p> <p>INDUSTRIAL PROPERTY OFFICE**</p>	

* Type name and title under signature.

** Type name and State of the industrial property office of the designated State.



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

ARIPO Form No. 35 HARARE PROTOCOL NOTIFICATION OF NON-COMPLIANCE WITH FORMAL REQUIREMENTS AND INVITATION TO COMPLY (Rule 85) To*:	<p align="center">For Official Use</p> Received on: Applicant's or Representative's File Reference:
I. IN THE MATTER OF APPLICATION FOR REGISTRATION OF INDUSTRIAL DESIGN Application No.: _____ Filing Date: _____	
II. APPLICANT(S) Name: Address:	
III. NOTIFICATION After examining, pursuant to Rule 85, the Office finds that the application does not fulfil formal requirements and therefore hereby requests that the applicant(s), <u>within 1 month</u> from the date of this invitation, file the following:..... (specify) together with the payment of the prescribed fee. <p align="center">IF THE APPLICANT FAILS TO COMPLY WITH THE INVITATION, THE APPLICATION SHALL BE DEEMED WITHDRAWN IN ACCORDANCE WITH RULE 85.</p>	
This notification is being sent to**:	
IV. SIGNATURE*** <div style="display: flex; justify-content: space-between;"> <div style="text-align: center;"> DIRECTOR GENERAL ARIPO Office </div> <div style="text-align: center;"> Date </div> </div>	

* A notification Form No. 35 shall be sent to the applicant.
 ** Indicate all those to whom a notification Form No. 35 is being sent in connection with the above-identified application.
 *** Type name of Director General under signature.

Harare Protocol on Patents, Utility Models and Industrial Designs



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

<p>ARIPO Form No. 35A HARARE PROTOCOL</p> <p>REQUEST BY THE APPLICANT TO COMPLY WITH FORMAL REQUIREMENTS</p> <p>Rule 85(2)</p> <p>To: Director General ARIPO Office P.O. Box 4228 HARARE Zimbabwe</p>	<p style="text-align: center;">For Official Use</p> <p>Received on:</p> <hr/> <p>Applicant's or Representative's File Reference:</p>
<p>I. IN THE MATTER OF:</p> <p>[] Application for grant of patent [] Application for registration of utility model</p> <p>Application No.:</p> <p>Filing Date:</p>	
<p>II. APPLICANT(S)</p> <p>Name:</p> <p>Address:</p>	
<p>III. REQUEST</p> <p>The above-identified applicant(s), in response to the notification, with respect to the above-identified application, of non-compliance with formal requirements and the invitation to comply, which notification and invitation were transmitted on ARIPO Form No. 35, dated....., submit(s) the following to reconsider the matter.</p>	
<p>IV. SIGNATURE*</p> <p>.....</p> <p style="text-align: right;">Date</p>	

* Type name and title under signature.



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

ARIPO Form No. 35B HARARE PROTOCOL NOTIFICATION OF DECISION TO REFUSE APPLICATION ON GROUNDS OF NON-COMPLIANCE WITH FORMAL REQUIREMENTS AND TIME LIMITS (Rules 85(2); 43(3)) To*:	For Official Use
	Applicant's or Representative's File Reference:
I. IN THE MATTER OF APPLICATION FOR REGISTRATION OF INDUSTRIAL DESIGN Application No.: FilingDate:.....	
II. APPLICANT(S) Name: Address:	
III. NOTIFICATION <input type="checkbox"/> We hereby notify the applicant(s) that pursuant to Rules 85(2) and 43(3), the above-identified application has been deemed withdrawn on grounds of non-compliance with the prescribed formal requirements and failure to respond to the invitation by the Office to correct the application, which was transmitted to the applicant(s) on ARIPO Form No. 35, dated THE APPLICANT MAY: (a) Request for restoration of the right in accordance with Rule 66. (b) Request, in accordance with Rule 74, on ARIPO Form No. 23, that the application be treated, in any designated State, as an application according to the national law of that State. This notification is being sent to*:.....	
IV. SIGNATURE** <div style="display: flex; justify-content: space-between; align-items: center;"> <div style="width: 60%; text-align: center;"> DIRECTOR GENERAL ARIPO Office </div> <div style="width: 30%; text-align: center;"> Date </div> </div>	

* Indicate all those to whom a notification Form No. 35B is being sent in connection with the above-identified application.

** Type the name of the Director General under signature.

Harare Protocol on Patents, Utility Models and Industrial Designs



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

<p>ARIPO Form No. 36 HARARE PROTOCOL</p> <p>DECLARATION OF WITHDRAWAL OF INDUSTRIAL DESIGN APPLICATION; ADDITION OR REDUCTION OF DESIGNATED STATES (Section 32)</p> <p>To: Director General ARIPO P.O. Box 4228 HARARE Zimbabwe</p>	<p style="text-align: center;">For Official Use</p> <p>Received on:</p> <hr/> <p>Applicant's or Representative's File Reference:</p>
<p>I. IN THE MATTER OF:</p> <p><input type="checkbox"/> Application for registration of industrial design No.:</p> <p><input type="checkbox"/> Industrial design No.:</p>	
<p>II. PERSON(S) REQUESTING</p> <p>Name:</p> <p>In the capacity of:</p> <p>Address:</p>	
<p>III. REQUEST*</p> <p>....., I/we hereby request that the above-identified application/ registration,</p> <p><input type="checkbox"/> be withdrawn.</p> <p>The designated States to be</p> <p><input type="checkbox"/> added.</p> <p><input type="checkbox"/> reduced.</p> <p>(Specify)..... with effect from(Date).</p> <p>Please mark your records accordingly.</p>	
<p>IV. SIGNATURE(S)**</p> <p>.....</p> <p style="text-align: right;">Date</p>	

* Clearly identify the entry or document.

** Type name(s) under signature(s). Note that, pursuant to section 32 a declaration of withdrawal must be signed by the applicant or by all applicants if there are more than one.

Harare Protocol on Patents, Utility Models and Industrial Designs



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

<p>ARIPO Form No. 37 HARARE PROTOCOL</p> <p>COMMUNICATION BY DESIGNATED STATE THAT REGISTRATION OF INDUSTRIAL DESIGN SHALL HAVE NO EFFECT IN ITS TERRITORY (Section 72(2)(b))</p> <p>To: Director General ARIPO Office P.O. Box 4228 HARARE Zimbabwe</p>	<p align="center">For Official Use (by ARIPO Office)</p> <p>Received on:</p>
<p>I. IN THE MATTER OF APPLICATION FOR REGISTRATION OF INDUSTRIAL DESIGN</p> <p>Application No.: _____ Filing Date: _____</p>	
<p>II. APPLICANT(S)</p> <p>Name: _____</p> <p>Address: _____</p>	
<p>III. COMMUNICATION</p> <p>On behalf of.....(designated State), we hereby communicate to the ARIPO Office, pursuant to Section 72(2)(b) that, if the Office registers the industrial design to the above-identified application in accordance with its decision that said application complies with the prescribed formal requirements, as notified on ARIPO Form No. 34, dated, the said registration shall have no effect in the territory of..... (designated State) for the following reasons as prescribed by the national law (citing relevant statutory provisions, copies of which shall be attached to this communication):</p> <p>.....</p> <p>.....</p>	
<p>IV. SIGNATURE*</p> <p align="center">.....</p> <p align="right">Date</p> <p>INDUSTRIAL PROPERTY OFFICE**</p>	

* Type name and title under signature.

** Type name and State of the industrial property office of the designated State.

Harare Protocol on Patents, Utility Models and Industrial Designs



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

<p>ARIPO Form No. 37A HARARE PROTOCOL</p> <p>NOTIFICATION OR REQUEST TO ACCEPT OR RECONSIDER DECISION BY DESIGNATED STATE THAT INDUSTRIAL DESIGN SHALL HAVE NO EFFECT IN ITS TERRITORY (Section 72(3))</p> <p>To: Director General ARIPO Office P.O. Box 4228 HARARE Zimbabwe</p>	<p>For Official Use</p> <p>Received on:</p>
<p>I. IN THE MATTER OF APPLICATION FOR REGISTRATION OF INDUSTRIAL DESIGN</p> <p>Application No.: _____ Filing Date: _____</p>	
<p>II. APPLICANT(S)</p> <p>Name: _____</p> <p>Address: _____</p>	
<p>III. REQUEST</p> <p>The above identified applicant(s), in pursuant to Section 72(3) and in response to the notification that the industrial design shall have no effect in the territory of..... (designated State) hereby request the designated State to reconsider its decision based on the attached document.</p>	
<p>IV. SIGNATURE*</p> <p>..... Date</p>	

* Type name and title under signature.

Harare Protocol on Patents, Utility Models and Industrial Designs



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

<p>ARIPO Form No. 37B HARARE PROTOCOL</p> <p>COMMUNICATION BY DESIGNATED STATE OF NOTICE OF ACCEPTANCE OF APPLICATION AFTER RECONSIDERING APPLICANT'S REQUEST (Section 72(3))</p> <p>To: Director General ARIPO P.O. Box 4228 HARARE Zimbabwe</p>	<p>For Official Use</p> <p>Received on:</p>
<p>I. IN THE MATTER OF APPLICATION FOR REGISTRATION OF INDUSTRIAL DESIGN</p> <p>Application No.: _____ Filing Date: _____</p>	
<p>II. APPLICANT(S)</p> <p>Name: _____</p> <p>Address: _____</p>	
<p>III. COMMUNICATION</p> <p>On behalf of(designated State), we hereby communicate to the ARIPO Office, that the above identified application has been accepted with respect to the territory of.....(designated State).</p>	
<p>IV. SIGNATURE*</p> <p align="center">.....</p> <p align="right">Date</p> <p>INDUSTRIAL PROPERTY OFFICE**</p>	

* Type name and title under signature.

** Type name and State of the industrial property Office of the designated State.

Harare Protocol on Patents, Utility Models and Industrial Designs



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

<p>ARIPO Form No. 37C HARARE PROTOCOL</p> <p>COMMUNICATION BY DESIGNATED STATE OF NOTICE OF FINAL REFUSAL OF APPLICATION AFTER RECONSIDERING APPLICANT'S REQUEST (Section 48(3))</p> <p>To: Director General ARIPO P.O. Box 4228 HARARE, Zimbabwe</p>	<p>For Official Use</p> <p>Received on:</p>
<p>I. IN THE MATTER OF</p> <p>[] Application for grant of patent [] Application for registration of utility model</p> <p>Application No.: Filing Date:</p>	<p>Applicant's or Representative's File Reference:</p>
<p>II. APPLICANT(S)</p> <p>Name:</p> <p>Address:</p>	
<p>III. COMMUNICATION</p> <p>On behalf of (designated State)*, we hereby communicate to the ARIPO Office that the above identified application dated has been refused with respect to the territory of (designated State)*.</p>	
<p>IV. SIGNATURE**</p> <p>.....</p> <p style="text-align: right;">Date</p>	

* Type name and State of the Industrial Property Office of the designated State.

** Type name and title under signature.

Harare Protocol on Patents, Utility Models and Industrial Designs



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

<p>ARIPO Form No. 37D HARARE PROTOCOL</p> <p>COMMUNICATION BY DESIGNATED STATE THAT REGISTRATION OF INDUSTRIAL DESIGN SHALL HAVE EFFECT IN ITS TERRITORY (Section 72(2)(a))</p> <p>To: Director General ARIPO P.O. Box 4228 HARARE Zimbabwe</p>	<p>For Official Use</p> <p>Received on:</p>
<p>I. IN THE MATTER OF APPLICATION FOR REGISTRATION OF INDUSTRIAL DESIGN</p> <p>Application No.: _____ Filing Date: _____</p>	
<p>II. APPLICANT(S)</p> <p>Name: _____</p> <p>Address: _____</p>	
<p>III. COMMUNICATION</p> <p>On behalf of (designated State), we hereby communicate to the ARIPO Office, pursuant to Section 72(2)(a) that, if the said Office registers the industrial design the said registration shall have effect in the territory of (designated State).</p>	
<p>IV. SIGNATURE*</p> <p align="center">.....</p> <p align="right">..... Date</p> <p>INDUSTRIAL PROPERTY OFFICE**</p>	

* Type name and title under signature.

** Type name and State of the industrial property Office of the designated State.

Harare Protocol on Patents, Utility Models and Industrial Designs



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

<p>ARIPO Form No. 38 HARARE PROTOCOL</p> <p>REQUEST FOR CONVERSION OF APPLICATION FOR REGISTRATION OF INDUSTRIAL DESIGN INTO NATIONAL APPLICATION (Section 53; Rule 74)</p> <p>To: Director General ARIPO P.O. Box 4228 HARARE Zimbabwe</p>	<p style="text-align: center;">For Official Use</p> <p>Received on:</p> <hr/> <p>Applicant's or Representative's File Reference:</p>
<p>I. IN THE MATTER OF APPLICATION FOR REGISTRATION OF INDUSTRIAL DESIGN</p> <p>Application No.: _____ Filing Date: _____</p>	
<p>II. APPLICANT(S)</p> <p>Name: _____</p> <p>Address: _____</p>	
<p>III. REQUEST</p> <p>The applicant(s) hereby request(s), pursuant to Section 53 and Rule 74, that the above-identified application be treated in each of the following designated States as an application according to the national law of that State.</p> <p>.....</p> <p>.....</p>	
<p>IV. SIGNATURE*</p> <p>.....</p> <p style="text-align: right;">Date</p>	

* Type name under signature.

Harare Protocol on Patents, Utility Models and Industrial Designs



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

ARIPO Form No. 39 HARARE PROTOCOL CERTIFICATE OF REGISTRATION OF INDUSTRIAL DESIGN (Rule 91)	For Official Use
<p>In accordance with Rule 91, it is hereby certified that an industrial design having the Registration No.:has been registered in the name of:</p> <p style="margin-left: 40px;">Name:</p> <p style="margin-left: 40px;">Address:</p> <p>on..... (date), with effect in the following designated Contracting States:</p> <p>in respect of an industrial design disclosed in an application for registration of that industrial design, having a:</p> <p style="margin-left: 40px;">Filing date:</p> <p style="margin-left: 40px;">Priority date:</p> <p>being an industrial design for: <div style="text-align: center;">(title)</div> created by:</p> <p style="margin-left: 40px;">Name:</p> <p style="margin-left: 40px;">Address:</p> <p>A copy of the reproduction of the industrial design accompanies this Certificate.</p>	
<p>Dated this day of, 20.....</p> <p>.....</p> <p>DIRECTOR GENERAL</p> <p>ARIPO OFFICE</p>	

* Type name and title under signature.

** Type name and State of the industrial property Office of the designated State.

Harare Protocol on Patents, Utility Models and Industrial Designs



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

<p>ARIPO Form No. 40 HARARE PROTOCOL</p> <p>NOTIFICATION BY DESIGNATED STATE OF REGISTRATION OF LICENCE, ASSIGNMENT, TRANSMISSION OR OTHER SIMILAR RIGHT PERTAINING TO PATENT OR UTILITY MODEL OR INDUSTRIAL DESIGN, GRANTED, REGISTERED OR APPLIED FOR UNDER PROTOCOL. (Rule 12(2))</p> <p>To: Director General ARIPO Office P.O. Box 4228 HARARE Zimbabwe</p>	<p>For Official Use</p> <p>Received on:</p>
<p>I. IN THE MATTER OF:</p> <p><input type="checkbox"/> Application for grant of patent No.: Filing date:</p> <p><input type="checkbox"/> Patent No.: Date of grant:</p> <p><input type="checkbox"/> Application for registration of utility model No.: Filing date:</p> <p><input type="checkbox"/> Registration of utility model No.: Registration date:</p> <p><input type="checkbox"/> Application for registration of industrial design No.: Filing date:</p> <p><input type="checkbox"/> Registration of industrial design No.: Registration date:</p>	
<p>II. NOTIFICATION</p> <p>Pursuant to Rule 12(2), we hereby notify you that a licence/assignment/transmission/..... (other similar right)* pertaining to the above-identified matter has been registered, as required by our national laws, and provide you with the following particulars with respect thereto:</p> <p><input type="checkbox"/> copies of the items/particulars recorded, including copies of the documents registered therewith (specify).....</p>	
<p>III. ADDITIONAL INFORMATION OR COMMENTS</p>	
<p>IV. SIGNATURE**</p> <p style="text-align: center;">.....</p> <p style="text-align: right;">Date</p> <p>INDUSTRIAL PROPERTY OFFICE***</p>	

* Delete whichever term does not apply and, if neither licence nor assignment nor transmission applies, indicate exact right concerned.

** Type name and title under signature.

Harare Protocol on Patents, Utility Models and Industrial Designs



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

<p>ARIPO Form No. 41 HARARE PROTOCOL</p> <p>APPLICATION TO REGISTER ASSIGNMENT, TRANSMISSION OR OTHER FORM OF TRANSFER (Rule 12(1); (3))</p> <p>To: Director General ARIPO P.O. Box 4228 HARARE Zimbabwe</p>	<p align="center">For Official Use</p> <p>Received on:</p> <p>Applicant’s or Representative’s File Reference:</p>
<p>I. IN THE MATTER OF:</p> <p>[] Application for Grant of Patent No.: Filing date:</p> <p>[] Patent No.: Date of grant:</p> <p>[] Application for registration of Utility Model:Filing date:</p> <p>[] Utility Model No.: Registration date:</p> <p>[] Application for registration of Industrial Design No.: Filing date:</p> <p>[] Registration of Industrial Design No.: Registration date:</p>	
<p>II. PRESENT APPLICANT(S)/OWNER(S) ON RECORD</p> <p>Name:</p> <p>Address:</p>	
<p>III. APPLICATION</p> <p>The new applicant(s)/owner(s), below-identified, hereby applies(y), pursuant to Rule 12(1) and (3) to the Office to register with respect to the following designated Contracting States whose national laws do not provide for such registration, the assignment/transmission/(other form of transfer)* pertaining to the above-identified matter:</p>	
<p>IV. NEW APPLICANT(S)/OWNER(S)</p> <p>Name:</p> <p>Address:</p> <p>Nationality:</p> <p>Country of residence or principal place of business:</p> <p>Telephone Number: E-mail Address:</p>	

* Delete whichever term does not apply and, if neither assignment nor transmission applies, indicate exact form of transfer concerned.

Harare Protocol on Patents, Utility Models and Industrial Designs

ARIPO Form No. 41 (cont'd)

V. REPRESENTATIVE OF NEW APPLICANT(S)/OWNER(S) (if any)

The following representative has been appointed by the new applicant(s)/owner(s) in the power of attorney on ARIPO Form No. 4

accompanying this request.

to be filed within two months from the filing of this request.

Name:

Address:

Telephone Number:

Email Address:

VI. ADDITIONAL INFORMATION

The following items accompany this request:

the original or a certified copy of the assignment, signed by or/on behalf of the contracting parties.

other documents evidencing the change of ownership (specify).

other (specify).

VII. SIGNATURE(S)

.....
(New Applicant(s)/Owner(s)/Representative
for New Applicant(s)/Owner(s)**

.....
Date

(in case of assignment also)

.....
(Present Applicant(s)/Owner(s)/Representative
for Present Applicant(s)/ Owner(s) **

.....
Date

** Type name(s) under signature(s) and delete whichever does not apply.

Harare Protocol on Patents, Utility Models and Industrial Designs

ARIPO Form No. 42 (cont'd)

V. ADDITIONAL INFORMATION

The following items accompany this request:

a copy of the licence contract, signed by or on behalf of the contracting parties

other (specify).....

VI. SIGNATURE**

.....
(Applicant(s)/Owner(s) as Licensor(s))

.....
Date

* If licence contract does not apply, delete term and indicate exact right concerned and change other corresponding terms in Form accordingly.

** Type name(s) under signature(s).

Harare Protocol on Patents, Utility Models and Industrial Designs



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

ARIPO Form No. 43 HARARE PROTOCOL NOTICE OF RECORDAL OF LICENCE/ ASSIGNMENT/CHANGE OF APPLICANT'S DETAILS (Rule 12; Rule 54) To:*	For Official Use Received on: Applicant's or Representative's File Reference:												
I. IN THE MATTER OF: <table style="width: 100%; border: none;"> <tr> <td style="width: 60%;"><input type="checkbox"/> Application for Grant of Patent, No.:</td> <td style="width: 40%;">Filing date:</td> </tr> <tr> <td><input type="checkbox"/> Patent No.:</td> <td>Date of grant:</td> </tr> <tr> <td><input type="checkbox"/> Application for Registration of Utility Model, No.:</td> <td>Filing date:</td> </tr> <tr> <td><input type="checkbox"/> Utility Model No.:</td> <td>Registration date:</td> </tr> <tr> <td><input type="checkbox"/> Application for Registration of Industrial Design, No.:</td> <td>Filing date:</td> </tr> <tr> <td><input type="checkbox"/> Registration of Industrial Design, No.:</td> <td>Registration date:</td> </tr> </table>		<input type="checkbox"/> Application for Grant of Patent, No.:	Filing date:	<input type="checkbox"/> Patent No.:	Date of grant:	<input type="checkbox"/> Application for Registration of Utility Model, No.:	Filing date:	<input type="checkbox"/> Utility Model No.:	Registration date:	<input type="checkbox"/> Application for Registration of Industrial Design, No.:	Filing date:	<input type="checkbox"/> Registration of Industrial Design, No.:	Registration date:
<input type="checkbox"/> Application for Grant of Patent, No.:	Filing date:												
<input type="checkbox"/> Patent No.:	Date of grant:												
<input type="checkbox"/> Application for Registration of Utility Model, No.:	Filing date:												
<input type="checkbox"/> Utility Model No.:	Registration date:												
<input type="checkbox"/> Application for Registration of Industrial Design, No.:	Filing date:												
<input type="checkbox"/> Registration of Industrial Design, No.:	Registration date:												
II. APPLICANT'S DETAILS Name: In the capacity of: Address:													
III REQUEST** Further to your request on ARIPO Form No.....dated, we hereby advise that the following has been recorded as requested: <table style="width: 100%; border: none;"> <tr> <td style="width: 50%;"><input type="checkbox"/> Recordal of licence</td> <td style="width: 50%;"><input type="checkbox"/> Change of applicant's details</td> </tr> <tr> <td><input type="checkbox"/> Recordal of assignment</td> <td><input type="checkbox"/> Others</td> </tr> </table> The matter is now recorded as licensed/assigned/changed to:		<input type="checkbox"/> Recordal of licence	<input type="checkbox"/> Change of applicant's details	<input type="checkbox"/> Recordal of assignment	<input type="checkbox"/> Others								
<input type="checkbox"/> Recordal of licence	<input type="checkbox"/> Change of applicant's details												
<input type="checkbox"/> Recordal of assignment	<input type="checkbox"/> Others												
IV. SIGNATURE*** <table style="width: 100%; border: none;"> <tr> <td style="width: 60%; text-align: center;"> DIRECTOR GENERAL ARIPO OFFICE </td> <td style="width: 40%; text-align: center;"> Date </td> </tr> </table>	 DIRECTOR GENERAL ARIPO OFFICE Date										
..... DIRECTOR GENERAL ARIPO OFFICE Date												

* Type name and address of applicant's representative.

** Clearly identify the entry or document to be altered or corrected.

*** Type name of Director General under signature.

Harare Protocol on Patents, Utility Models and Industrial Designs



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

<p>ARIPO Form No. 44 HARARE PROTOCOL</p> <p>REQUEST FOR EXTENSION OF TIME LIMITS (Rule 65)</p> <p>To: Director General ARIPO P.O. Box 4228 HARARE Zimbabwe</p>	<p style="text-align: center;">For Official Use</p> <p>Received on:</p> <hr/> <p>Applicant's or Representative's File Reference:</p>												
<p>I. IN THE MATTER OF:</p> <table style="width: 100%; border: none;"> <tr> <td style="width: 60%;"><input type="checkbox"/> Application for Grant of Patent, No.:</td> <td>Filing date:</td> </tr> <tr> <td><input type="checkbox"/> Patent No.:</td> <td>Date of grant:</td> </tr> <tr> <td><input type="checkbox"/> Application for Registration of Utility Model, No.:</td> <td>Filing date:</td> </tr> <tr> <td><input type="checkbox"/> Utility Model No.:</td> <td>Registration date:</td> </tr> <tr> <td><input type="checkbox"/> Application for Registration of Industrial Design, No.:</td> <td>Filing date:</td> </tr> <tr> <td><input type="checkbox"/> Registration of Industrial Design No.:</td> <td>Registration date:</td> </tr> </table>		<input type="checkbox"/> Application for Grant of Patent, No.:	Filing date:	<input type="checkbox"/> Patent No.:	Date of grant:	<input type="checkbox"/> Application for Registration of Utility Model, No.:	Filing date:	<input type="checkbox"/> Utility Model No.:	Registration date:	<input type="checkbox"/> Application for Registration of Industrial Design, No.:	Filing date:	<input type="checkbox"/> Registration of Industrial Design No.:	Registration date:
<input type="checkbox"/> Application for Grant of Patent, No.:	Filing date:												
<input type="checkbox"/> Patent No.:	Date of grant:												
<input type="checkbox"/> Application for Registration of Utility Model, No.:	Filing date:												
<input type="checkbox"/> Utility Model No.:	Registration date:												
<input type="checkbox"/> Application for Registration of Industrial Design, No.:	Filing date:												
<input type="checkbox"/> Registration of Industrial Design No.:	Registration date:												
<p>II. PERSON(S) REQUESTING</p> <p>Name:</p> <p>In the capacity of:</p> <p>Address:</p>													
<p>III. REQUEST*</p> <p>I/We hereby request for an extension of time until(Date) to lodge/respond to the following:</p> <p><input type="checkbox"/> ARIPO Form No.</p> <p><input type="checkbox"/> Deed of assignment</p> <p><input type="checkbox"/> Others (Specify)</p> <p>.....</p> <p>.....</p>													

* Clearly identify the entry or document to be altered or corrected.

Harare Protocol on Patents, Utility Models and Industrial Designs

ARIPO Form No. 44 (cont'd)

IV. PAYMENT OF REQUISITE FEE*

Attached is our fee as prescribed

Attached is a receipt for the fee paid for this purpose.

The prescribed fee will be lodged within 21 days from the date of submission of this request.

V. SIGNATURE**

..... Date

* Check the box that best describes your manner for payment of the fee for this Form.

** Type name of under signature.



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

<p>ARIPO Form No. 45 HARARE PROTOCOL</p> <p>GRANT OF EXTENSION OF TIME LIMITS (Rule 65)</p> <p>To*:</p>	<p style="text-align: center;">For Official Use</p> <p>Received on:</p> <hr/> <p>Applicant's or Representative's File Reference:</p>												
<p>I. IN THE MATTER OF**:</p> <table style="width: 100%; border: none;"> <tr> <td style="width: 60%;"><input type="checkbox"/> Application for Grant of Patent, No.:</td> <td>Filing date:</td> </tr> <tr> <td><input type="checkbox"/> Patent No.:</td> <td>Date of grant:</td> </tr> <tr> <td><input type="checkbox"/> Application for Registration of Utility Model, No.:</td> <td>Filing date:</td> </tr> <tr> <td><input type="checkbox"/> Utility Model No.:</td> <td>Registration date:</td> </tr> <tr> <td><input type="checkbox"/> Application for Registration of Industrial Design, No.:</td> <td>Filing date:</td> </tr> <tr> <td><input type="checkbox"/> Registration of Industrial Design No.:</td> <td>Registration date:</td> </tr> </table>		<input type="checkbox"/> Application for Grant of Patent, No.:	Filing date:	<input type="checkbox"/> Patent No.:	Date of grant:	<input type="checkbox"/> Application for Registration of Utility Model, No.:	Filing date:	<input type="checkbox"/> Utility Model No.:	Registration date:	<input type="checkbox"/> Application for Registration of Industrial Design, No.:	Filing date:	<input type="checkbox"/> Registration of Industrial Design No.:	Registration date:
<input type="checkbox"/> Application for Grant of Patent, No.:	Filing date:												
<input type="checkbox"/> Patent No.:	Date of grant:												
<input type="checkbox"/> Application for Registration of Utility Model, No.:	Filing date:												
<input type="checkbox"/> Utility Model No.:	Registration date:												
<input type="checkbox"/> Application for Registration of Industrial Design, No.:	Filing date:												
<input type="checkbox"/> Registration of Industrial Design No.:	Registration date:												
<p>II. APPLICANT'S(S') DETAILS</p> <p>Name:</p> <p>In the capacity of:</p> <p>Address:</p>													
<p>III. TIME GRANTED</p> <p>We hereby advise that your request for an extension of time to lodge/respond to the following:</p> <p><input type="checkbox"/> ARIPO Form No.</p> <p><input type="checkbox"/> Deed of assignment</p> <p><input type="checkbox"/> Others (Specify)</p> <p>..... has been granted until(Date).</p>													
<p>IV. SIGNATURE***</p> <p style="text-align: center;">.....</p> <p style="text-align: right;">Date</p>													

* Type name and address of applicant's representative.

**Clearly identify the matter for which a detail is to be altered or corrected.

*** Type name(s) under signature.

Harare Protocol on Patents, Utility Models and Industrial Designs



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

ARIPO Form No. 46 HARARE PROTOCOL NOTIFICATION OF WITHDRAWAL OF APPLICATION; REDUCTION OF DESIGNATED STATES IN RELATION TO AN APPLICATION FOR A PATENT OR AN APPLICATION FOR REGISTRATION OF A UTILITY MODEL OR INDUSTRIAL DESIGN (Section 32) To*:	For Official Use Received on: Applicant's or Representative's File Reference:												
I. IN THE MATTER OF: <table style="width:100%; margin-left: 20px;"> <tr> <td style="width:60%;"><input type="checkbox"/> Application for Grant of Patent, No.:</td> <td style="width:40%;">Filing date:</td> </tr> <tr> <td><input type="checkbox"/> Patent No.:</td> <td>Date of grant:</td> </tr> <tr> <td><input type="checkbox"/> Application for Registration of Utility Model, No.:</td> <td>Filing date:</td> </tr> <tr> <td><input type="checkbox"/> Utility Model No.:</td> <td>Registration date:</td> </tr> <tr> <td><input type="checkbox"/> Application for Registration of Industrial Design, No.:</td> <td>Filing date:</td> </tr> <tr> <td><input type="checkbox"/> Industrial Design No.:</td> <td>Registration date:</td> </tr> </table>		<input type="checkbox"/> Application for Grant of Patent, No.:	Filing date:	<input type="checkbox"/> Patent No.:	Date of grant:	<input type="checkbox"/> Application for Registration of Utility Model, No.:	Filing date:	<input type="checkbox"/> Utility Model No.:	Registration date:	<input type="checkbox"/> Application for Registration of Industrial Design, No.:	Filing date:	<input type="checkbox"/> Industrial Design No.:	Registration date:
<input type="checkbox"/> Application for Grant of Patent, No.:	Filing date:												
<input type="checkbox"/> Patent No.:	Date of grant:												
<input type="checkbox"/> Application for Registration of Utility Model, No.:	Filing date:												
<input type="checkbox"/> Utility Model No.:	Registration date:												
<input type="checkbox"/> Application for Registration of Industrial Design, No.:	Filing date:												
<input type="checkbox"/> Industrial Design No.:	Registration date:												
II. APPLICANT(S) Name: In the capacity of: Address:													
III. NOTIFICATION Further to your request dated , we hereby advise that the above-identified application/ registration has been: <input type="checkbox"/> marked withdrawn. <input type="checkbox"/> endorsed with a withdrawal of designated states to: <input type="checkbox"/> endorsed with an addition of designated states to:.....													
IV. SIGNATURE** <table style="width:100%; margin-left: 100px;"> <tr> <td style="width:50%; text-align: center;"> DIRECTOR GENERAL ARIPO Office </td> <td style="width:50%; text-align: center;"> Date </td> </tr> </table>	 DIRECTOR GENERAL ARIPO Office Date										
..... DIRECTOR GENERAL ARIPO Office Date												

* Type name and address of applicant's representative.

** Type name of Director General under signature.

Harare Protocol on Patents, Utility Models and Industrial Designs



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

<p>ARIPO Form No. 47 HARARE PROTOCOL</p> <p>ACKNOWLEDGEMENT OF RECEIPT (Rule 23)</p> <p>To*:</p>	<p>For Official Use</p> <p>Tracking on:</p> <hr/> <p>Applicant's or Representative's File Reference:</p>
<p>I. IN THE MATTER OF</p> <p><input type="checkbox"/> Application for Grant of a Patent</p> <p><input type="checkbox"/> Application for Registration of a Utility Model</p> <p><input type="checkbox"/> Application for Registration of a Design</p> <p><input type="checkbox"/> Other documents:</p> <p>Tracking No. given by e-Service:</p> <p>Application No. given by ARIPO Office:</p>	
<p>II. APPLICANT(S)</p> <p>Name:</p> <p>Address:</p>	
<p>III. NOTIFICATION</p> <p>We hereby notify you, pursuant to Rule 23, that the above-identified application or document has been received</p>	
<p>IV. SIGNATURE</p> <p>.....</p> <p style="text-align: center;">DIRECTOR GENERAL ARIPO Office</p> <p style="text-align: right;">..... Date</p>	

* Type name and address of applicant's representative.

Harare Protocol on Patents, Utility Models and Industrial Designs



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

ARIPO Form No. 48 HARARE PROTOCOL REQUEST FOR RESTORATION OF RIGHTS (Section 55; Rule 66) To: Director General ARIPO Office P.O. Box 4228 HARARE Zimbabwe	<p align="center">For Official Use</p> Received on: Applicant's or Representative's File Reference:												
I. IN THE MATTER OF: <table style="width:100%; border: none;"> <tr> <td style="width:60%;"><input type="checkbox"/> Application for Grant of Patent, No.:</td> <td>Filing date:</td> </tr> <tr> <td><input type="checkbox"/> Application for Utility Model, No:</td> <td>Filing date:</td> </tr> <tr> <td><input type="checkbox"/> Application for Industrial Design, No:</td> <td>Filing date:</td> </tr> <tr> <td><input type="checkbox"/> Granted Patent, No:</td> <td>Filing date:</td> </tr> <tr> <td><input type="checkbox"/> Registered Utility Model, No:</td> <td>Filing date:</td> </tr> <tr> <td><input type="checkbox"/> Registered Industrial Design, No:</td> <td>Filing date:</td> </tr> </table>		<input type="checkbox"/> Application for Grant of Patent, No.:	Filing date:	<input type="checkbox"/> Application for Utility Model, No:	Filing date:	<input type="checkbox"/> Application for Industrial Design, No:	Filing date:	<input type="checkbox"/> Granted Patent, No:	Filing date:	<input type="checkbox"/> Registered Utility Model, No:	Filing date:	<input type="checkbox"/> Registered Industrial Design, No:	Filing date:
<input type="checkbox"/> Application for Grant of Patent, No.:	Filing date:												
<input type="checkbox"/> Application for Utility Model, No:	Filing date:												
<input type="checkbox"/> Application for Industrial Design, No:	Filing date:												
<input type="checkbox"/> Granted Patent, No:	Filing date:												
<input type="checkbox"/> Registered Utility Model, No:	Filing date:												
<input type="checkbox"/> Registered Industrial Design, No:	Filing date:												
II. PERSON(S) REQUESTING Name: In the capacity of: Address:													
III. REQUEST I/We hereby request the restoration of rights of the above-identified application in accordance with Section 55 and Rule 66 on the following grounds (specify):..... Attached: <input type="checkbox"/> proof of payment.													
IV. SIGNATURE(S)* <div style="display: flex; justify-content: space-between; align-items: flex-end;"> <div style="width: 60%; border-top: 1px dotted black; text-align: center;">.....</div> <div style="width: 35%; border-top: 1px dotted black; text-align: center;">Date</div> </div>													

* Type name(s) under signature(s)

Harare Protocol on Patents, Utility Models and Industrial Designs



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

ARIPO Form No. 49 HARARE PROTOCOL NOTIFICATION OF DECISION TO ACCEPT OR REJECT THE REQUEST FOR RESTORATION OF RIGHT (Section 55; Rule 66) To:*	For Official Use Received on: <hr/> Applicant's or Representative's File Reference:												
I. IN THE MATTER OF: <table style="width: 100%; border: none;"> <tr> <td style="width: 60%;">[] Application for Grant of Patent, No.:</td> <td>Filing date:</td> </tr> <tr> <td>[] Application for Utility Model, No:</td> <td>Filing date:</td> </tr> <tr> <td>[] Application for Industrial Design, No:</td> <td>Filing date:</td> </tr> <tr> <td>[] Granted Patent, No:</td> <td>Filing date:</td> </tr> <tr> <td>[] Registered Utility Model, No:</td> <td>Filing date:</td> </tr> <tr> <td>[] Registered Industrial Design, No:</td> <td>Filing date:</td> </tr> </table>		[] Application for Grant of Patent, No.:	Filing date:	[] Application for Utility Model, No:	Filing date:	[] Application for Industrial Design, No:	Filing date:	[] Granted Patent, No:	Filing date:	[] Registered Utility Model, No:	Filing date:	[] Registered Industrial Design, No:	Filing date:
[] Application for Grant of Patent, No.:	Filing date:												
[] Application for Utility Model, No:	Filing date:												
[] Application for Industrial Design, No:	Filing date:												
[] Granted Patent, No:	Filing date:												
[] Registered Utility Model, No:	Filing date:												
[] Registered Industrial Design, No:	Filing date:												
II. PERSON(S) REQUESTING Name: In the capacity of: Address:													
III. NOTIFICATION We hereby notify you, pursuant to Section 55 and Rule 66, that your request for restoration of the right of the above-identified application has been: [] accepted. [] rejected. Reasons for rejection.....													
IV. SIGNATURE ** <div style="display: flex; justify-content: space-between; align-items: flex-end; margin-top: 20px;"> <div style="border-top: 1px dotted black; width: 60%;"></div> <div style="border-top: 1px dotted black; width: 30%; text-align: center;">Date</div> </div>													

* Type name and address of person(s) to whom this Form is being sent.

** Type name of Director General under signature.



ARIPO Office

*11 Natal Road, Belgravia
P.O. Box 4228, Harare, Zimbabwe*

Tel: (+263) (4) 794054/65/66

Mobile: (+263) (0) 731 559 987, 731 020 609, (+263) (0) 715 837 323

Fax: (+263) (4) 794072/3

Email: mail@aripo.org

Website: www.aripo.org